REQUEST FOR PROPOSALS
(INDIVIDUAL CONSULTANTS)

FOR

Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC

RFP Ref: GCA-PR-23-370

CLOSING DATE: TUESDAY, 14th November 2023
CLOSING TIME: NOT LATER THAN 16:00:00 hours (04:00:00 p.m. o’clock), Central European Time (CET)

PROPOSALS RECEIVED AFTER THE CLOSING DATE AND TIME SHALL BE REJECTED

Issued on: 31st October 2023
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**Acronyms and Abbreviations**
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<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AAAP</td>
<td>Africa Adaptation Acceleration Program</td>
</tr>
<tr>
<td>AfDB</td>
<td>African Development Bank</td>
</tr>
<tr>
<td>ADB</td>
<td>Asian Development Bank</td>
</tr>
<tr>
<td>AU</td>
<td>African Union</td>
</tr>
<tr>
<td>CapEx</td>
<td>Capital Expenses</td>
</tr>
<tr>
<td>CET</td>
<td>Central European Time</td>
</tr>
<tr>
<td>COP</td>
<td>Conference of the Parties to the United Nations Climate Change Framework Convention (United Nations Climate Change Conference)</td>
</tr>
<tr>
<td>CPP</td>
<td>Climate Prosperity Plan</td>
</tr>
<tr>
<td>CRA</td>
<td>Climate Risk Assessment</td>
</tr>
<tr>
<td>CV</td>
<td>Curriculum Vitae</td>
</tr>
<tr>
<td>CVF</td>
<td>Climate Vulnerable Forum</td>
</tr>
<tr>
<td>CSDAT</td>
<td>Climate Smart Digital Agricultural Technologies for Food Security</td>
</tr>
<tr>
<td>DAE</td>
<td>Direct Access Entity</td>
</tr>
<tr>
<td>EOI</td>
<td>Expression of Interest</td>
</tr>
<tr>
<td>EU</td>
<td>European Union</td>
</tr>
<tr>
<td>FWA</td>
<td>Framework Agreement</td>
</tr>
<tr>
<td>GCA</td>
<td>Global Center on Adaptation</td>
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<tr>
<td>IFI</td>
<td>International Finance Institutions</td>
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<tr>
<td>IPCC</td>
<td>Intergovernmental Panel on Climate Change</td>
</tr>
<tr>
<td>IPDC</td>
<td>International Panel on Deltas and Coastal Areas</td>
</tr>
<tr>
<td>LLA</td>
<td>Locally Led Adaptation</td>
</tr>
<tr>
<td>LMA</td>
<td>Labour Market Assessment</td>
</tr>
<tr>
<td>MDBs</td>
<td>Multilateral Development Banks</td>
</tr>
<tr>
<td>N/A</td>
<td>Not Applicable</td>
</tr>
<tr>
<td>NAP</td>
<td>National Adaptation Plan</td>
</tr>
<tr>
<td>NBS</td>
<td>Nature-based Solutions</td>
</tr>
<tr>
<td>NDP</td>
<td>National Development Plan</td>
</tr>
<tr>
<td>NTP</td>
<td>National Transport Policy</td>
</tr>
<tr>
<td>R4I</td>
<td>Research for Impact</td>
</tr>
<tr>
<td>RFP</td>
<td>Request for Proposal</td>
</tr>
<tr>
<td>VAT</td>
<td>Value Added Tax</td>
</tr>
</tbody>
</table>
1. **Introduction**

1.1 **Global Center on Adaptation**

The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyze action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future. Adapting to impacts of climate change provides a “win-win” for livelihoods, food security, water supply, health, security, and economic growth. The work of the GCA elevates the visibility and political importance of climate adaptation and facilitates solutions, such as smarter investments, new technologies and better planning to become more resilient to climate related threats. GCA is a rapidly growing organization with offices in Abidjan, Beijing, Dhaka, Groningen, and Rotterdam.

The GCA’s ambitious 2020-2025 business plan and strategy have three pillars:

- Programs: Food Security; Using Nature for more resilient infrastructure; Water for Urban Growth and Resilience; Climate Finance; Youth Leadership.
- Knowledge: Building adaptation knowledge globally through cutting edge products such as the State and Trends in Adaptation Report and the Knowledge Exchange Platform.
- Advocacy and Awareness: Formulating policy messages to move the global, regional, and local adaptation agendas forward.

1.2 **Program Background Information**

GCA’s regional office in Beijing was launched in June 2019 by the Premier of China, Li Keqiang, Co-Chair of the GCA Board, Ban Ki-moon and Prime Minister of the Kingdom of the Netherlands, Mark Rutte. In September 2019, the flagship report of the Global Center on Adaptation (previously: Global Commission on Adaptation) “Adapt Now: A Global Call for Leadership on Climate Resilience” was launched in Beijing by the then Minister of Ecology and Environment of China, Li Ganjie and Ban Ki-moon.

With GCA China support, the Ministry of Ecology and Environment (MEE) and 17 other ministries jointly deliberated and passed the National Strategy on Climate Adaptation 2035 (The 2035 Strategy) in February 2022. The 50-page National Climate Adaptation Strategy provides overall guidance, communication, coordination, strengthening assessment of climate change impacts, and improving the ability of major sectors and vulnerable regions to adapt to climate change. The strategy emphasizes “proactive adaptation” to enhance China’s abilities to actively prepare for, rather than passively respond to, climate impacts. Based on the 2035 Strategy, provinces are preparing provincial level climate adaptation action plans. In parallel, MEE will be implementing a 28 climate-resilient pilot cities program which is building on an initiative started by the National Development and Reform Commission (NDRC) and the Ministry of Housing and Construction (MOHURD) to develop climate-resilient cities.
2. Description of Services

2.1 Purpose of this Assignment

GCA will launch the project of Support to China’s pilot resilient city under IPDC. The overall goal is to support the implementation of the National Adaptation Strategy 2035 by contributing to the roll-out of the 28 climate-resilient pilot cities program. The immediate objective is to support one pilot coastal city in its effort to strengthen its climate resilience capacity to plan, design, and implement climate resilience policies and programs. This endeavor is set to be accomplished through an array of knowledge exchange initiatives aimed at disseminating the valuable insights and expertise garnered from our endeavors in China to a broader spectrum of urban authorities and stakeholders.

The detailed Terms of Reference and Deliverables for this assignment are presented in Annex 3.

The total duration of this assignment is estimated at up to March 2024.

Bidders shall respond to the strategic requirements of GCA with a strong emphasis on responsiveness to GCA technical requirements and performance, substantive progress reports, achievement of tasks and activities to match the Scope of Services/Terms of Reference and the production of the deliverables on time, to highest applicable standards. For further information See Annex 3 – Terms of Reference.

2.2 Information about the Contract Package

GCA intends to enter into a contract that covers the Terms of Reference and Deliverables described in Annex 3. The maximum financial envelope for this assignment is 30,000 (thirty thousand) euros exclusive of VAT.

3. Preparation of Proposals

3.1 RFP Indicative Schedule

The timetable for the procurement process presented below is indicative and, while GCA does not intend to depart from the timetable, it reserves the right to do so at any time respecting the GCA/EU/Donor Procurement Regulations.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Estimated date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Request for Proposals dispatched to Devex and on GCA website</td>
<td>31 October 2023</td>
</tr>
<tr>
<td>Deadline for sending a request for clarifications to GCA</td>
<td>07 November 2023</td>
</tr>
<tr>
<td>Deadline for submission of proposals</td>
<td>14 November 2023</td>
</tr>
<tr>
<td>Bid opening</td>
<td>15 November 2023</td>
</tr>
<tr>
<td>Evaluation of the proposals</td>
<td>22 November 2023</td>
</tr>
<tr>
<td>Notification of the award and the evaluation results sent to bidders</td>
<td>23 November 2023</td>
</tr>
<tr>
<td>Signature of Contract</td>
<td>27 November 2023</td>
</tr>
</tbody>
</table>
3.2 Communications, Clarifications and Amendment of RFP
The point of contact for all questions or requests for additional information is procurement@gca.org. All contact with personnel employed by the Global Center on Adaptation with respect to this RFP is prohibited, except for messages to the above email address. Improper contact may constitute grounds for rejection of your proposal. All inquiries regarding this RFP must be submitted in writing. Interest to bid and questions shall be sent to the above email address before the date mentioned in the timeline and must be labelled “Clarification request - Ref GCA-PR-23-370 - Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC”. Each inquiry must include the inquirer’s name and telephone number. The Global Center on Adaptation will share the answers to all questions of a reasonable nature with all the parties that have expressed their interest.

Clarifications by GCA will be communicated, in a suitably anonymous form, to all bidders on the GCA website and/or by email. No approach of any kind in connection with this request for proposal should be made to any other person within, or associated with, GCA. Failure to adhere to this requirement may result in exclusion from this Call for Proposals. Please note that GCA will not enter a detailed discussion on the requested services at this stage.

The closing date for clarifications concerning this Call for Proposals is **November 07, 2023, 16:00:00 hours (04:00:00 p.m. o’clock), Central European Time (CET)**.

Bidder’s are cautioned that the timing of submitting a clarification is based on when the proposal is received by the GCA, not when a clarification is submitted by a bidder. As transmission can be delayed due to file transfer size, transmission speed or other technical factors, bidders should plan to submit clarifications well in advance of the clarification Deadline to avoid submitting late due to technical issues. Bidders submitting near the clarification Deadline do so at their own risk.

All clarifications from each bidder must be placed in one consolidated request.

3.3 Language
The proposals, all correspondence and documents related to the RFP exchanged by the bidder and GCA, must be written in English.

Supporting documents and printed literature that the bidder provides may be in another language, provided they are accompanied by an accurate translation into English.

3.4 Cost of Submitting Proposals
GCA will not reimburse any costs incurred by interested bidders with connection with preparation and submission of their responses to this RFP.

3.5 Alteration or Withdrawal of Proposals
Bidders may alter or withdraw their proposals by written notification to GCA prior to the deadline for submission of proposals referred to in Section 4.2.4. No submission may be altered after this deadline. Withdrawals must be unconditional and will end all participation in the this RFP procedure.

3.6 Signature of the Proposal
The signature of the authorized representative of the bidder (single bidder or lead partner in case of a joint proposal) in Annex 1 will be considered as the signature of the proposal, binding the bidder to the terms included in the proposal.
3.7 Validity of Proposals
The proposals submitted in response to this RFP must be valid for the period of 90 days as of the deadline for submission indicated in Section 4.2.4. The bidder shall provide a statement confirming the period of validity of its proposal when submitting the required documents for this RFP.

GCA shall endeavor to conclude the RFP process within the validity period of the RFP. If, however, there are any circumstances that require GCA to request for validity extension from bidders, GCA shall do so in writing. Bidders have the right to accept or reject the request. In the latter case, the bidder’s proposal shall not be evaluated.

3.8 Currency
Proposals must be presented in EUR.

3.9 Content of this RFP
The package of this RFP comprises the following:
   a) Instructions to bidders (this RFP document)
   b) Annex 1: Proposal Submission/Identification Form
   c) Annex 2: Declaration of Honor
   d) Annex 3: Scope of Work/Terms of Reference
   e) Annex 4: Financial Proposal form
   f) Annex 5: Contract for Services

3.10 Supplier Code of Conduct
GCA subscribes to the UN Supplier Code of Conduct | UN Procurement Division. By participating in this RFP, bidders agree to comply with this code.

4. Submission and Opening of Proposals
4.1 Submission of the Proposal
If you are interested in participating in this "Request for Proposal", you are requested to submit your proposal no later than November 14, 2023, 16:00:00 hours (04:00:00 p.m. o’clock), Central European Time (CET).

Bidders are cautioned that the timing of submission is based on when the proposal is received by the GCA, not when a proposal is submitted by a bidder. As transmission can be delayed due to file transfer size, transmission speed or other technical factors, bidders should plan to submit proposals well in advance of the Submission Deadline to avoid submitting late due to technical issues. Bidders submitting near the Submission Deadline do so at their own risk.

Proposals received after the closing date and time will be rejected. GCA will confirm receipt of proposals within 24 hours from the closing date and time. Bidders that do not receive this confirmation must contact GCA within 48 hours from the closing date and time. After 48 hours from the closing date and time, GCA shall not respond to any queries related to whether a bidder’s proposal was received.

Your proposal and all supporting documents must be sent in PDF format (25MB maximum size), as an attachment, and must reach GCA at this email address: procurement@gca.org
within the deadline stipulated above. Submissions requesting GCA to click on links to download documents shall not be accepted.

The proposal must be drafted in English. The email subject heading should be clearly marked with the following information:

“Request for Proposals: Ref GCA-PR-23-370 – Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC”

Please adhere to the following instructions, unless otherwise provided in the relevant Bid Documents. The Submission must be drafted in English and contain:

- Proposal Submission/Identification Form (Annex 1)
- A signed Declaration of Honor (Annex 2)
- A Technical Proposal: Shall contain the "Technical Proposal" and supporting documentation, with clear and concise description of your proposed actions to execute the Scope of Work/Terms of Reference and Deliverables (Annex 3). The Technical Proposal should not exceed 10 pages (excluding supporting documentation, CVs, and company profile) and 25MB in size for successful delivery. The GCA will not be held responsible for non-delivery of proposals exceeding 25MB. Especially, we would like to draw your attention to the following required documents:
  - A comprehensive and tailored CV to demonstrate the applicability to the role
  - A carefully drafted cover letter in demonstrating your skills, abilities and experience to the deliverables
- A Financial Proposal: Shall contain the “Financial Proposal Form” (Annex 4). The Financial Proposal should not exceed 10 pages and 25MB in size for successful delivery. The GCA will not be held responsible for non-delivery of proposals exceeding 25MB.
- All the supporting documentation in relation to the evaluation criteria.
- Bids must be sent in two separate e-mails. One containing the Financial Proposal and one containing the Technical Proposal.
- The Technical Proposal must have the Reference RFP-GCA-PR-23-370-TECHNICAL Proposal in the "Subject” line of the e-mail. No other characters or spacing should be included in the Subject line.
- The Financial Proposal must have the Reference RFP-GCA-PR-23-370-FINANCIAL Proposal in the “Subject” line of the e-mail. No other characters or spacing should be included in the Subject line.
- All financial information must ONLY be included in the Financial Proposal. No Financial proposals, quotes or any other related financial information should appear in the Technical Proposal.
- Proposals submitted by e-mail, which do not comply with these requirements, e.g. combining the financial and technical proposals in one submission or submitted in any way, other than outlined above, will be rejected.
- In case of a joint proposal, all the partners (except the lead partner) shall submit a power of attorney, signed by an authorized representative of each partner, designating the lead partner to represent them and to sign the contract on their behalf in relation to this call for proposals.

Late submissions shall be rejected. Non-compliance with the above requirements regarding the presentation of the RFP may lead to the exclusion from the RFP process for this contract.
4.2 Opening of Proposals
The opening of proposals is for the purpose of checking and registering the content of each bid and to determine whether the proposal is complete and meet the minimum content requirements.

There will be no public opening session for the proposals received. Offers will be opened privately by GCA after the closing time specified for the receipt of proposals. No public announcement of the contents of any offer will be made at any time.

5. Evaluation of Proposals and Bidders
The evaluation of bidders and proposals will be done in accordance with the following subsequent steps:

- Verification that the bidder fulfils the Exclusion Criteria in Section 5.1 and that it is not in one of the situations covered by the exclusion criteria listed in EU Directive 2014/24/EU.
- Verification that the bidder has the appropriate capacities to perform the contract based on the Selection Criteria described in Section 5.2 (only for those bidders that were not excluded based on the Exclusion Criteria).
- Evaluation of the bidder based on the Award Criteria described in Section 5.3 (only for those bidders that have met the Selection Criteria).
- The Evaluation Committee shall evaluate the technical proposals first and shall thereafter open and evaluate the financial proposals of only those bidders whose technical proposals meet the minimum technical score.
- Where individual scoring is adopted, the Evaluation Committee shall discuss scores where there are significant discrepancies prior to computing the average score for each criterion.
- During financial evaluation, where there is a discrepancy between the amounts in figures and in words, the amount in words shall govern. Where there is a discrepancy between the unit rate and the line-item total resulting from multiplying the unit rate by the quantity, the unit rate shall govern, unless in the opinion of the Evaluation Committee there is an obviously gross misplacement of the decimal point in the unit rate, in which case the line-item total shall govern, and the unit rate shall be corrected.

The proposal must comply with the GCA strategic requirements set in this RFP as a precondition to be assessed. In a case of non-compliance with the Exclusion Criteria, the bidder will be informed of the grounds for rejection without being given feedback on the content of the proposal other than on the non-compliant elements.

The evaluation of proposals shall be carried out by an Evaluation Committee made up of representatives of GCA. Any attempt by a bidder to influence the Evaluation Committee in the process of examination, clarification, evaluation, due diligence checks, etc., to obtain information on how the procedure is progressing or to influence GCA in its decision concerning the award of the contract, will result in the immediate rejection of the bidder’s proposal.

In the interest of transparency and equal treatment, without being able to modify their proposals, bidders may be required, at the sole written request of the Evaluation Committee, to provide clarifications within 48 hours. Requests for clarifications will only seek minor clarifications of information already submitted by the bidder. No modifications to a proposal can be sought or accepted through a request for clarification (except for the correction of arithmetical errors discovered during the evaluation of the proposal).
5.1 **Exclusion Criteria**
Participation in this RFP is open on equal terms to any natural and legal companies not in any of the situations listed in Article 57 of the EU Directive 2014/24/EU.

Bidders shall provide a Declaration of Honor (see Annex 2), duly signed and dated, including a statement that they are not in any of the situations listed in Article 57 of EU Directive 2014/24/EU. In case of a joint proposal such declaration shall be submitted for each partner. The declaration shall also be submitted for the subcontractors, when relevant.

Besides the submission of the signed Declaration of Honor, the bidder undertakes to inform GCA, without delay, of any changes to their situation in this regard.

Bidders may be excluded from participation in this RFP if they are found to be in one of the situations for exclusion or fail to submit the above-mentioned declaration.

**Necessary Qualification Documents:**
- A comprehensive and tailored CV to demonstrate the applicability to the role
- A carefully drafted cover letter in demonstrating your skills, abilities and experience to the deliverables

5.2 **Selection Criteria**
Bidders will be evaluated against each Selection Criterion based on the documentary evidence submitted by the bidder. Failure to submit the evidence requested will lead to a rejection of the proposal. Bidders will be evaluated against the Selection Criteria on scoring basis. Bidders who meet the Selection Criteria will be put on an equal footing for the next stage of the evaluation process based on the Award Criteria.

Bidders shall be evaluated against the following Selection Criteria:
(1) Professional and Legal Capacity
(2) Financial and Economic Standing
(3) Technical Standing

The bidders who do not meet all the Selection Criteria shall not proceed to the next evaluation stage and their proposals shall not be evaluated further based on the Award Criteria.

5.3 **Award Criteria**
Proposals will be rated on both technical and financial bases, using the best value for money approach. The selection method will choose the highest rated proposal using the combined scoring method, which assigns the weight distribution between the technical and financial proposals. The overall combined score will be 100 points, the weight distribution shall be 70 points for the technical proposal and 30 points for the financial proposals.

*It is important to note that the required minimum cumulative score (threshold) for the Technical Proposal is 60% (42 points). Only proposals obtaining the minimum score (threshold) or more in the Technical Evaluation will progress to the Financial Evaluation.*

Technical Proposals scoring less than the minimum score (threshold) will be considered of insufficient quality and shall be rejected. The contract shall be awarded to the top ranked bidder who submitted the most economically advantageous tender based on the best price quality ratio in accordance with the following criteria:
<table>
<thead>
<tr>
<th>Award Criterion</th>
<th>Maximum Score</th>
<th>Minimum Score/Threshold</th>
</tr>
</thead>
<tbody>
<tr>
<td>TC.1. An advanced university degree in MSc or equivalent in Engineering, International Development, Climate Change, or a similar field.</td>
<td>10</td>
<td>6</td>
</tr>
<tr>
<td>Master’s degree (6 points), and Doctor’s degree (10 points)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TC.2. Responsiveness to the TORs and proposed methodology</td>
<td>15</td>
<td>9</td>
</tr>
<tr>
<td>TC.2.1. Degree of innovation</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>TC.2.2. Level of detail</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>TC.2.3. Proposed work plan</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>TC.3. Consultant’s Qualifications</td>
<td>35</td>
<td>21</td>
</tr>
<tr>
<td>TC.3.1 Expertise in conducting research and providing written outputs with high quality, including summary reports of interviews, consultations, and meetings.</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>At least 5 products (6 points), 10-15 products (10 points), and more than 15 products (15 points).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TC.3.2. Experience working with international partners and Chinese government officials, in particular, related to knowledge dissemination and dialogue facilitation.</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>At least 5 years in a similar role (6 points) and more than 5 years (10 points).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TC.3.3. Proven knowledge of climate change impacts on urban, including floods, drought, and heat; finance for climate-resilient cities; Familiarity with and knowledge of Integrated Coastal Zone Management in China.</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>At least 5 similar experiences (6 points) and more than 5 similar experiences (10 points).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TC.4. Professional proficiency in Chinese and English languages to coordinate with the Project Team and Chinese Counterparts, prepare the technique report, and implement the project.</td>
<td>10</td>
<td>6</td>
</tr>
<tr>
<td>Proficiency in both CH and EN (10), Non-proficiency in both CH and EN (0)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Technical Criteria Score</td>
<td>70</td>
<td>42</td>
</tr>
<tr>
<td>Total Financial Criteria Score</td>
<td>30</td>
<td></td>
</tr>
</tbody>
</table>
Bids scoring less than the minimum score for any main technical award criterion (TC.1, TC.2, TC.3 and TC.4) will be considered of insufficient quality and shall be rejected.

For the purposes of the evaluation of the Financial Proposal, the bidder offering the lowest price shall receive the maximum total score of 30 points. The score of all other financial proposals will be calculated using the following formula:

\[ \text{Financial score} = \left( \frac{\text{Lowest bid}}{\text{Current bid}} \right) \times \text{Maximum points to be awarded} \]

After careful scoring of each bidder’s proposal (technical and financial), GCA shall award the contract to the bidder that obtains the highest combined technical and financial score. The decision will be communicated by email to all bidders.

6. RFP Cancellation
GCA reserves the right to cancel this RFP process at any point. GCA shall not be liable for any compensation with respect to interested bidders whose submissions have not been accepted, nor shall it be so liable if it decides not to award the contract.

Cancellation may occur where:

1) The RFP procedure has been unsuccessful. For example, where no technically/financially responsive proposal has been received or there has been no response at all.
2) The economic or technical parameters of the project have been fundamentally altered.
3) Exceptional circumstances or force majeure render normal performance of the project impossible.
4) All technically compliant proposals significantly exceed the financial resources available.
5) There have been irregularities in the procedure where these have prevented fair competition.

In the event of cancellation of RFP procurement proceedings, bidders will be notified by GCA.

7. Ownership of Proposals Submitted
Any document submitted in reply to this RFP will become the property of GCA and will be regarded as confidential.

8. Liabilities for Errors
GCA, its employees and agents shall not be held liable or accountable for any error or omission in any part of this RFP or response to bidders’ questions. While the GCA, and/or its employees and agents have made conscious efforts to ensure an accurate representation of information in this RFP, the information contained in the RFP is supplied solely as a guideline for bidders. The information is not guaranteed or warranted to be accurate by GCA, and/or its employees or agents, nor is it necessarily comprehensive or exhaustive. Nothing in this RFP is intended to relieve bidders from the responsibility of conducting their own investigations and research and forming their own opinions and conclusions with respect to the matters addressed in this RFP. Bidders will be solely responsible to ensure that their proposal meets all requirements of the RFP, to advise GCA immediately of any apparent discrepancies or errors in the RFP, and to request clarification if in doubt concerning the meaning or intent of anything in the RFP.
### Annexes

#### Annex 1: Proposal Submission/Identification Form

GCA-PR-23-370: Request for Proposal for Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC

<table>
<thead>
<tr>
<th>IDENTIFICATION OF BIDDER</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Name</td>
<td></td>
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<tr>
<td>Legal Form</td>
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<tr>
<td>Date of Registration</td>
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<tr>
<td>Country of Registration</td>
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<tr>
<td>Registration Number</td>
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<tr>
<td>VAT Number</td>
<td></td>
</tr>
<tr>
<td>Address</td>
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<tr>
<td>E-mail</td>
<td></td>
</tr>
<tr>
<td>Authorized representative(s)</td>
<td></td>
</tr>
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<table>
<thead>
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<th>CONTACT POINT FOR THIS CALL FOR TENDER</th>
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</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
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<tr>
<td>Position</td>
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<tr>
<td>Company</td>
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<tr>
<td>Telephone Number</td>
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<tr>
<td>E-mail</td>
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<table>
<thead>
<tr>
<th>BIDDER’S BANK ACCOUNT INFORMATION</th>
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</thead>
<tbody>
<tr>
<td>Bank account holder’s full name:</td>
<td></td>
</tr>
<tr>
<td>Name and address of recipient’s bank:</td>
<td></td>
</tr>
<tr>
<td>Recipient’s account number/IBAN:</td>
<td></td>
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<tr>
<td>Recipient bank’s SWIFT or BIC code:</td>
<td></td>
</tr>
<tr>
<td>Recipient bank’s routing information:</td>
<td></td>
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<tr>
<td>Bank account currency:</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>SIGNATURE OF PROPOSAL</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>I, the undersigned, confirm:</td>
<td></td>
</tr>
<tr>
<td>1. The acceptance of the conditions in the Request for Proposal.</td>
<td></td>
</tr>
<tr>
<td>2. The acceptance of the contract terms and conditions in their entirety and without reservation.</td>
<td></td>
</tr>
</tbody>
</table>
3. That the period of validity of my proposal is 90 days from the deadline of this Request for Proposal.
4. Compliance with the requirements relating to the Scope of Work/Terms of Reference as defined in Annex 3 of this Request for Proposal, and
5. That the information given in this proposal is correct.

| Place and Date |  
| Signature |  
| Full Name |  

Annex 05.02: Request for Proposal for Individual Consultants | May 2023
Annex 2: Declaration on Honor for Individual Consultants

The undersigned [insert name of the signatory of this form], representing:

<table>
<thead>
<tr>
<th>Full official name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>I.D. or Passport number:</td>
</tr>
<tr>
<td>Full residential address:</td>
</tr>
</tbody>
</table>

I – Situations of exclusion

<table>
<thead>
<tr>
<th>(1) declares that the above-mentioned individual is in one of the following situations:</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) it is bankrupt, subject to insolvency or winding-up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended, or it is in any analogous situation arising from a similar procedure;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(b) it has been established by a final judgement or a final administrative decision that the individual is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the applicable law;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(c) it has been established by a final judgement or a final administrative decision that the individual is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the s/he belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibility where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of eligibility or selection criteria or in the performance of a contract or an agreement;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(ii) entering into agreement with other parties with the aim of distorting competition;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(iii) violating intellectual property rights;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(iv) attempting to influence the decision-making process of the contracting authority during the award procedure;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(v) attempting to obtain confidential information that may confer upon its undue advantages in the award procedure;</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>(d) it has been established by a final judgement that the individual is guilty of any of the following:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Annex 05.02: Request for Proposal for Individual Consultants | May 2023

II – Remedial measures

If the individual consultant declares one of the situations of exclusion listed above, s/he must indicate measures s/he has taken to remedy the exclusion situation, thus demonstrating his/her reliability. This may include e.g. technical or personal measures to prevent further occurrence, compensation for damage or payment of fines or of any taxes or social security contributions. The relevant documentary evidence which illustrates the remedial measures taken must be provided in annex to this declaration. This does not apply for situations referred to in point (d) of this declaration.

III – Evidence upon request

Upon request the individual consultant must provide recent certificates issued by the competent authorities and/or a recent extract from the judicial record or, failing that, an equivalent document recently issued by a judicial or administrative authority in the country of establishment of the consultant showing that those requirements are satisfied. These documents must provide evidence covering all taxes and social security contributions for which the consultant is liable, including for example, VAT, income/company tax and social security contributions.

V – Selection criteria

(2) declares that the above-mentioned consultant complies with the following selection criteria

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) It has the legal capacity to pursue the professional activity needed for performing the Services</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>
(b) It fulfils the applicable technical and professional criteria necessary for providing the Services.

Vi – Final

The signatory declares that the above-mentioned consultant has truthfully provided the information herein.

The above-mentioned consultant shall immediately inform the contracting authority of any changes in the situation as declared.

The above-mentioned consultant may be subject to rejection from the contracting or selection procedure and to legal claims if any of the declarations or information provided as a condition for contracting with GCA prove to be false.

The above-mentioned consultant will comply with the UN Supplier Code of Conduct, to the extent applicable. The code is available on: https://www.un.org/Depts/ptd/about-us/un-supplier-code-conduct

Full name:

Date:

Signature:
Annex 3: Scope of Work/Terms of Reference

1. Background information

The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyze action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future. Adapting to impacts of climate change provides a “win-win” for health, livelihoods, food security, water supply, human security, and economic growth. The work of the GCA elevates the visibility and political importance of climate adaptation and facilitates solutions, such as smarter investments, new technologies and better planning to become more resilient to climate-related threats. GCA is a rapidly growing organization with offices in Abidjan, Beijing, Dhaka, Groningen, and Rotterdam.

The GCA has an ambitious 2020-2025 business plan with three pillars:
- Programs and Action: Food Security; Using Nature for more resilient infrastructure; Water for Urban Growth and Resilience; Climate Finance; Youth Leadership
- Knowledge Acceleration: Building adaptation knowledge globally through cutting edge products such as the State and Trends in Adaptation Report and the Knowledge Exchange Platform
- Agenda Setting and Advocacy: Formulating policy messages to move the global, regional, and local adaptation agendas forward.

GCA’s regional office in Beijing was launched in June 2019 by the Premier of China, Li Keqiang, Co-Chair of the GCA Board, Ban Ki-moon and Prime Minister of the Kingdom of the Netherlands, Mark Rutte. In September 2019, the flagship report of the Global Center on Adaptation (previously: Global Commission on Adaptation) “Adapt Now: A Global Call for Leadership on Climate Resilience” was launched in Beijing by the then Minister of Ecology and Environment of China, Li Ganjie and Ban Ki-moon.

With GCA China support, the Ministry of Ecology and Environment (MEE) and 17 other ministries jointly deliberated and passed the National Strategy on Climate Adaptation 2035 (The 2035 Strategy) in February 2022. The 50-page National Climate Adaptation Strategy provides overall guidance, communication, coordination, strengthening assessment of climate change impacts, and improving the ability of major sectors and vulnerable regions to adapt to climate change. The strategy emphasizes “proactive adaptation” to enhance China’s abilities to actively prepare for, rather than passively respond to, climate impacts. Based on the 2035 Strategy, provinces are preparing provincial level climate adaptation action plans. In parallel, MEE will be implementing a 28 climate-resilient pilot cities program which is building on an initiative started by the National Development and Reform Commission (NDRC) and the Ministry of Housing and Construction (MOHURD) to develop climate-resilient cities.

2. Objective of the Assignment
GCA will launch the project of Support to China’s pilot resilient city under IPDC. The overall goal is to support the implementation of the National Adaptation Strategy 2035 by contributing to the roll-out of the 28 climate-resilient pilot cities program. The immediate objective is to support one pilot coastal city in its effort to strengthen its climate resilience capacity to plan, design, and implement climate resilience policies and programs. This endeavor is set to be accomplished through an array of knowledge exchange initiatives aimed at disseminating the valuable insights and expertise garnered from our endeavors in China to a broader spectrum of urban authorities and stakeholders.

3. **Scope of the Services to be Performed**

GCA is looking for a team, including an International Consultant (separate procurement: GCA-PR-23-369) and a Chinese National consultant (this procurement: GCA-PR-23-370) to design and conduct a series of activities for a knowledge exchange project on “Support to China’s pilot resilient city under IPDC”. The scope of the service will cover the following outputs:

a. **An in-person launch workshop for the city**: The design and delivery of an in-person introductory and workshop to officials from the selected pilot cities, focusing on climate hazards analysis at municipal level, best practices and lessons learned from international experience, climate finance including innovative tools, and case studies on key sectors such as water and infrastructure.

b. **Knowledge Exchange Events**: Two Online Knowledge Exchange Events: the project will facilitate knowledge exchange between China’s pilot city and the IPDC community. These events can bring together experts, scientists, practitioners, and policymakers from delta regions worldwide. Through interactive sessions, participants can learn from China’s experiences, discuss challenges, and explore collaborative solutions. The events can encompass the scientific and implementation layers of the IPDC, fostering a multidisciplinary approach to address climate resilience in deltas.

c. **Urban Climate-Resilience Roadmap Publication**: A climate-resilience roadmap publication endorsed by MEE and the city governments providing step-by-step guidance for other Chinese cities facing similar climate risks and seeking to implement climate adaptation solutions. The roadmap will serve as an actionable resource in enabling city governments to (a) develop Terms of Reference for identifying climate risk and vulnerability hotspots in their respective cities; (b) conduct prioritization exercise amongst various climate adaptation solutions (e.g. planning, infrastructure, policy); (c) bundle solutions into financeable investment packages; and (d) incorporate climate adaptation into sustainable urban planning, specifically targeting coastal cities, to bolster their ability to plan, design, mobilize finance and execute climate-resilient policies and initiatives.

d. **Final Report Launch Event**: A final event will be organized to launch the Report with participation of MEE leaders, Mayor of the selected coastal city and the CEO of GCA.
The above should pay special attention to assessing various climate risks of coastal areas, including sea-level rise, coastal erosion, storm surges, encompass flood risks, typhoons, and compound events, etc. Close attention should be placed on the areas of resilient infrastructure and Nature-based solutions for the coastal areas to enhance climate resilience. It will accelerate the capacity building of the government officials to better understand the solution and integration of climate adaptation into urban management strategy and scale up the adaptation action on vulnerable areas.

4. Key Tasks and Activities to be Performed

Working under the direct supervision of the GCA project lead and in close coordination with the Ministry of Environment and Ecology of the People’s Republic of China, the selected National Consultant will undertake the tasks listed below.

Task 1 - Inception Phase: At the inception of this assignment, the consultant conducts a needs assessment by reviewing available information/documents and working with local government counterparts to understand the local challenges and opportunities, the knowledge gaps, and the areas of support that GCA can provide. With support from the international consultant, the national consultant should prepare an inception report that presents detailed information about the basic social, economic, and climate risks the city is facing and a work plan including a timetable to implement this project.

Task 2 - Implementing the Work Plan: Support local counterparts in organizing an in-person launch workshop for the city, two knowledge exchange events, and a high-level event.

- **Delivery of one on-site training:** In consultations with relevant government officers and International Consultants develop and implement a training proposal including the list of topics, international/national speakers, training methodologies, and timetable, which should be confirmed with the GCA team, MEE, and local government counterparts. The consultant will be responsible for helping to organize and implement the workshop as well as preparing a summary of the training.

- **Delivery of Two knowledge exchange events:** In consultations with relevant government officials, international consultants, and GCA, develop a proposal for two knowledge exchange events that should include: topics, participants, approach/methodology, timeframe, etc. The proposal should be approved by GCA in consultation with MEE and local government counterparts. The consultant will be responsible for helping to organize and implement the two events and prepare a summary of the two events.

- **High-level event:** Working closely with MEE, local government counterparts, and GCA, help to organize the high-level event to launch the roadmap report. This includes reaching an agreement on the venue, the agenda, speakers, etc. as well as preparing letters of invitation and other relevant documents which should be in both English and Chinese. The consultant should also work with local counterparts. Prepare short articles for the media to get maximum visibility of the event.
Task 3 – Support to the development of the final report: The consultant should provide support to the international consultant to prepare the roadmap report including providing related materials, arranging surveys and interviews (if needed) to supplement the recommendations, and supporting the editing and translation of the final report.

5. Expected Outcome and Deliverables

Deliverables

- **Deliverable 1**: An inception report including a work plan.

- **Deliverable 2**: Organize the one on-site training and prepare a summary of the training course.

- **Deliverable 3**: Delivery of two online knowledge exchange events in accordance with the project work plan, effectively achieving the project’s objectives and desired outcomes. In this part, a summary for each event should be prepared to document and take stock of the discussion.

- **Deliverable 4**: Provide support to the drafting of the final report ensuring its production meets the highest quality standards through desk research, including review, translation from Chinese to English, help to search relevant Chinese references, and other tasks required by GCA and International Consultant.

- **Deliverable 5**: Help organize a high-level event to launch the final report.

Bidders are expected to include in their proposed work plan a more detailed breakdown of the timeline in weeks or days, as appropriate.

6. Institutional and Organization Arrangements

The National Consultant will report to the GCA project lead and work closely with the International Consultant. The consultant will meet weekly with the GCA project lead and international consultant to discuss progress and raise any implementation issues/challenges that require interventions from GCA or MEE.

7. Location and Period of Execution

The work of the National Consultant will be both in the selected China coastal pilot city and remotely. The period of work within no more than 50 working days.

8. Payment Schedule

1. **First payment**: 50% of the Fee after completion of Deliverables 1 and 2 by the Consultant and acceptance by GCA;
2. **Final payment**: The remaining amount of the Fee (50%) after all Deliverables and the final result are successfully achieved by the Consultant and accepted by GCA.
Annex 4: Financial Proposal Form

The Financial Proposal must include two parts, otherwise it will be deemed as non-compliant:

**Part 1** - The exact template provided below, including the 5 points underneath (without any changes to the wording) and the signature of the authorized representative.

**Part 2** - A separate itemized cost breakdown of the firm fixed price covering the entire Scope of Work (SOW)/Terms of Reference (TORs).

The abovementioned two parts (Part 1 and Part 2) **must** be sent in one consolidated document.

**Part 1:**

Bidders are required to complete this Financial Proposal Form. No other forms are accepted by the GCA. Failure to submit the Financial Proposal using this Financial Proposal Form is deemed to be **non-compliant** and the bidder’s Financial Proposal shall **not** be considered for further evaluation.

<table>
<thead>
<tr>
<th>[Bidder’s Name]</th>
<th>Description</th>
<th>Unit of Measure</th>
<th>Total Lump Sum Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Chinese National Consultant for the project of Supporting China’s pilot on</td>
<td>Lump Sum</td>
<td></td>
</tr>
<tr>
<td></td>
<td>resilient cities under IPDC</td>
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<tr>
<td></td>
<td>Total Firm Fixed Price (EUR)</td>
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</tr>
</tbody>
</table>

I confirm that the submitted Total Firm Fixed Price is:

1. Fixed and not subject to revision,
2. In Euros,
3. Independent of exchange rates,
4. Inclusive of all costs, management fees, administrative costs, travel costs, insurance, profit, and taxes directly or indirectly related to the performance of the contract, which may be borne by the vendor/bidder (e.g., cost of administration, taxes, contract management costs, etc.), and
5. Exclusive of VAT.

**Bidder’s Authorized Representative:**

Position:

Date:

Signature (authorized representative):

**Part 2:**
Please submit a separate itemized cost breakdown of the firm fixed price in Part 1 above using the template below, including the details of hourly/daily rates and associated tasks, travel costs and all associated overheads and related cost items covering the entire Scope of Work (SOW)/Terms of Reference (TORs).

<table>
<thead>
<tr>
<th>Categories</th>
<th>Unit of Measure</th>
<th>Quantity</th>
<th>Unit Rate</th>
<th>Total Fee (EUR)</th>
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</thead>
<tbody>
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<td><strong>Remuneration</strong></td>
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<tr>
<td>Personnel 1</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personnel xx</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td><strong>Total</strong></td>
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<tr>
<td><strong>Reimbursables</strong></td>
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<tr>
<td>Subsistence allowance</td>
<td>Day</td>
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<tr>
<td>Transportation costs</td>
<td>Trip</td>
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<tr>
<td>Xxx</td>
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<tr>
<td><strong>Total</strong></td>
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<tr>
<td><strong>Expenses Related to Deliverables</strong></td>
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<tr>
<td>Deliverable 1 [describe deliverable]</td>
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<tr>
<td><strong>Total</strong></td>
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<tr>
<td><strong>Other Expenses</strong></td>
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<td><strong>Total</strong></td>
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Failure to submit a financial proposal or submission of an incomplete or ambiguous financial proposal may lead to rejection of the proposal without further evaluation.
Annex 5: Contract for Services

CONTRACT NO. GCA-PR-23-370

BETWEEN

THE GLOBAL CENTER ON ADAPTATION

AND

[CONTRACTOR]

FOR

Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC
This Contract is entered into between the **Global Center on Adaptation**, a foundation, incorporated under Dutch law, having its headquarters at Antoine Platekade 1006, 3072 ME Rotterdam, The Netherlands (hereinafter referred to as the “GCA”) duly represented by Prof. Dr. Patrick Verkooijen and [Contractor], registered at [Contractor’s address] and duly represented by [Contractor’s authorized representative] (hereinafter referred to as the “Contractor”. The GCA and the Contractor are collectively referred to herein as the “Parties”, and individually as a “Party”.

**WITNESSETH**

WHEREAS:

a) The GCA acts as a solutions broker, bringing together governments, the private sector, civil society, intergovernmental bodies, and knowledge institutions that can accelerate adaptation action;

b) Related to the above-mentioned mission, the GCA indicated a necessity for services related to Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC;

c) The Contractor represents that it possesses the requisite knowledge, skill, personnel, resources and experience, and that it is fully qualified, ready, willing and able to provide such services in accordance with the terms and conditions set forth in this Contract;

d) The Parties elect to enter into a contract only on the basis of a contract for services within the meaning of Article 7:400 and further of the Dutch Civil Code (BW);

e) The Parties explicitly acknowledge that they do not elect to enter into an employment agreement within the meaning of Book 7610 and further of the Dutch Civil Code;

f) The Parties explicitly acknowledge that a fictitious employment ("fictieve dienstbetrekking") of homeworkers ("thuiswerkers") or equivalent workers ("gelijkgestelden") as referred to in Articles 2b and 2c of the Implementing Decree
Wage Tax Act 1965 (Uitvoeringsbesluit Loonbelasting 1965) and Articles 1 and 5 of the Decree of December 24, 1986, Stb. 1986, 655 are out of scope and therefore agree upon this contract before payment;

g) This Contract is consistent with the model contract ("modelovereenkomst") published by the Dutch Tax Authorities (DTA) on 29-02-2016 under number 9015550000-06-2;

h) The Parties wish to set out the terms and conditions of their agreements in this contract (hereinafter referred to as the “Contract”).

NOW THEREFORE, in consideration of the mutual promises and covenants herein contained, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

ARTICLE 1

8.1 Contract Documents
1.1 This document, together with the Annexes attached hereto and referred to below, all of which are incorporated herein and made part hereof, constitute the entire contract between the GCA and the Contractor for the provision of Chinese National Consultant for the project of Supporting China’s pilot on resilient cities under IPDC (the “Contract” or this “Contract”):

   Annex 1             Statement of Acknowledgment
   Annex 2             Declaration on Honor
   Annex 3             Terms of Reference/Statement of Work/Specifications

1.2 The documents comprising this Contract are complementary of one another, but in case of ambiguities, discrepancies or inconsistencies between or among them, the following order of priority shall apply:

   1.2.1 This document,
   1.2.2 Annex 1 – Statement of Acknowledgment,
   1.2.3 Annex 2 – Declaration on Honor,
   1.2.4 Annex 3 – Terms of Reference/Statement of Work/Specifications, and

1.3 This Contract embodies the entire agreement between the Parties regarding the subject matter hereof and supersedes all prior representations, agreements, contracts and proposals, whether written or oral, by and between the Parties on this subject. No promises, understandings, obligations or agreements, oral or otherwise, relating to the subject matter hereof exist between the Parties except as herein expressly set forth.
1.4 Any notice, document or receipt issued in connection with this Contract shall be consistent with the terms and conditions of this Contract, and in case of any ambiguity, discrepancy or inconsistency, the terms and conditions of this Contract shall prevail.

1.5 The following documents are referred to in this Contract only as aids in interpretation of the rights and obligations of the Parties under the Contract but shall not be construed, for any purposes or under any circumstances, as creating any such rights or obligations:

1.5.1 Request for Proposal GCA-PR-23-370 dated DD MM YYYY,
1.5.2 Addenda No. XX, XX dated DD MM YYYY, DD MM YYYY respectively,
1.5.3 The Contractor’s bid in response to Request for Proposal GCA-PR-23-370.

1.6 The documents referred to in Article 1.5 above are not attached hereto but are known to, and in the possession of, the Parties.

ARTICLE 2

8.2 The Services

2.1 Contractor is obliged to provide the services as referred to in the preamble sub b and further specified in Annex 3 (hereinafter referred to as the “Services”).

2.2 The output of the Services to be provided by the Contractor to the GCA is hereinafter referred to as “Deliverables”.

ARTICLE 3

8.3 Execution of Services

3.1 The GCA instructs the Contractor to perform the Services and the Contractor accepts the full responsibility to perform the Services, according to the terms and conditions of this Contract, correctly and in time as per the starting date.

3.2 The Contractor shall be at liberty to perform the Services to its sole discretion, subject to the terms and conditions under the Contract. Where applicable, the GCA will facilitate Contractor in the needed cooperation with others in order to perform the agreed Services.

3.3 The Contractor shall execute the Services autonomously. The Contractor is fully independent in performing the Services without supervision or guidance of the GCA and shall be entitled to perform the Services as it sees fit. The Contractor is an independent contractor, and not an employee of the GCA, within the meaning of all applicable laws and regulations. The Contractor shall determine the method, details, and means of performing the Services in accordance with this Contract. The GCA can only give directions and instructions concerning the results and deliverables of the Services.
3.4 The Contractor shall diligently and faithfully, to the best of its ability, perform the Services and shall exercise all necessary skill, care and diligence to be expected from a properly qualified, competent and professional contractor experienced in performing services in the nature similar to the Services to be performed under this Contract. The Contractor shall be available to the GCA for the duration of the Services and the term of this Contract.

3.5 The Services will be performed at the Contractor’s office or at the residential address of any of its employees. Progress meetings will take place remotely or at GCA’s office as outlined in the description of the Services.

3.6 The Contractor shall arrange for his/her own office facilities and arrangements which are necessary for efficiently performing the Services, including but not limited to desk space, ICT hardware, communications equipment, telephone subscription, internet connection, etcetera and shall supply all labor, tools, materials, and equipment needed to perform the Services at its own expense.

3.7 The Contractor shall inform GCA's contact person about the progress of the Services and about any relevant development and/or irregularity in relation thereto.

3.8 The Contractor undertakes to inform GCA as soon as it becomes aware of any facts or circumstances that may entail that it is not available to (partly) perform the Services. In case of inability to perform the Services, Contractor shall inform GCA promptly about the reasons and the expected duration thereof. No fee or any (other) reimbursements or compensation will be due by GCA in case (i) no Services have been carried out by Contractor (over the subject period); or (ii) Services do not comply with the terms and conditions of this Contract. Prepayments, if any, paid by GCA to the Contractor for Services not performed, will be duly paid back by the Contractor to GCA at its first request.

3.9 The GCA will provide Contractor with the mandate to use the information needed to perform the agreed Services satisfactorily.

ARTICLE 4

8.4 Duration of the Contract

4.1 The Services will start on [Date] and finish on [Date]. Time is of the essence for the performance of the Services which shall be performed in strict accordance with the schedule of delivery as provided in Annex 3.

4.2 The Contractor will be explicitly allowed to enter into service contracts and/or perform activities for other third parties.

ARTICLE 5
8.5 Fee, Invoice and Payment

5.1 Subject to the terms of this Contract, GCA will pay the Contractor a fixed fee of € [amount] exclusive of VAT (hereinafter referred to as the “Fee”) for the satisfactory performance of the Services. The Fee shall be deemed to be inclusive of (i) compensation for performing the Services and complying with all requests, and (ii) all costs incurred by the Contractor during the performance of Services. The Contractor undertakes to pay all applicable taxes, duties, fees, levies and other impositions and will indemnify GCA against any cost, claim, expense, damage or liability in respect of any failure by the Contractor to do.

5.2 The GCA will not compensate for any costs of expenses, unless explicitly approved in advance in writing by GCA’s contact person.

5.3 Invoicing for the Services will be carried out by the Contractor in accordance with the following schedule:

5.4 All invoices shall bear a clear description of activities performed and deliverables achieved. Evidence of deliverables shall be attached to the invoice, if applicable.

5.5 The GCA retains the right to request additional details related to the performance of the Services by the Contractor if it deems this necessary. The Contractor agrees to provide all reasonable information.

5.6 In case of an audit of GCA’s expenses related to the Services, the Contractor shall cooperate fully and shall respond to all of the GCA’s and the auditor’s requests for information truthfully and timely. The Contractor shall grant the auditor access to its premises if this is necessary to conduct the audit.

5.7 All invoices must be addressed to: The Global Center on Adaptation, Antoine Platekade 1006, 3072 ME Rotterdam and shall be sent by email to finance@gca.org.

5.8 Subject to the approval by the GCA, the invoices of the Contractor shall be paid by GCA within thirty (30) calendar days of receipt.

5.9 The GCA will transfer the payments to the following Contractor’s bank account:

<table>
<thead>
<tr>
<th>Bank account holder’s full name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and address of recipient’s bank:</td>
</tr>
<tr>
<td>Recipient’s account number/IBAN:</td>
</tr>
<tr>
<td>Recipient bank’s SWIFT or BIC code:</td>
</tr>
<tr>
<td>Recipient bank’s routing information:</td>
</tr>
<tr>
<td>Bank account currency:</td>
</tr>
</tbody>
</table>

5.10 Without prejudice to any other rights or remedies to which GCA may be entitled under this Contract, in the event of delayed delivery of Services or insufficient or inadequate...
performance by the Contractor of its obligations under this Contract, GCA shall be entitled to adjust the Fee, the respective dates of payment and the schedule of the Deliverables to reflect the actual progress of the Services.

ARTICLE 6

8.6 Deliverables

6.1 Upon the delivery of the Deliverables or any portion thereof in accordance with Article 2, GCA will evaluate the same whether to accept or reject.

6.2 If the Deliverables or any portion thereof fails GCA’s acceptance test, the GCA will inform the Contractor of the reasons for such failure. The Contractor will endeavor to identify and remedy the cause for any such defects within the due dates as set out in the description of the Services, or another such period as may be agreed between Parties. The Contractor will re-deliver the Deliverables or any portion thereof to GCA. GCA will repeat the acceptance test and will inform the Contractor of the result thereof.

6.3 If following the procedure set out in Article 6.2 any Deliverables or portion thereof fails in a material respect to pass any repeated acceptance test, then Parties may agree:

6.3.1 To repeat the procedure set out in Article 6.2; or

6.3.2 To engage a third party with equivalent industry experience to identify and rectify any defects, the costs of which shall be borne by the Contractor; or

6.3.3 To terminate this Contract on immediate written notice and the GCA shall owe the Contractor no further payments in respect of the rejected Deliverables and any prepayments relating to these undelivered Deliverables shall be paid back by the Contractor to GCA.

ARTICLE 7

8.7 Representations and Warranties

7.1 The Contractor shall complete and sign the Declaration on Honor attached to this Contract as Annex 2.

7.2 Contractor warrants that none of the situations of exclusion, as described in Sections I and II of the Declaration on Honor (Annex 2), applies.

7.3 Contractor warrants that all relevant contributions for national and employees’ insurance and other taxes to which it is (or: could be held) liable in the Netherlands and/or in any other country will be paid within the relevant time-limits set.

7.4 Parties warrant that at the date of this Contract there exists no fact or event which would preclude the Party from entering into this Contract or carrying out its obligations under this Contract.
7.5 Contractor warrants that for a 90-day period from the final payment under this Contract (hereinafter the “Warranty Period”), the Deliverables shall be free from material defects. If, during the Warranty Period, the GCA believes that there is a breach of this Article 7.5, then GCA will notify the Contractor in writing, setting forth the nature of such claimed breach. The Contractor shall, at no additional charge to GCA, promptly take such action as may be reasonably required to correct such breach within the period of time that GCA determines at its sole discretion.

7.6 Contractor warrants that the Services provided hereunder, and the Deliverables delivered to GCA shall not infringe or violate the intellectual property rights or any common law right or any personal, proprietary, or other right of any kind whatsoever of any person, firm or third party.

7.7 Contractor warrants that the Services will be provided in accordance with all applicable laws, regulations and industry guidelines as applicable from time to time.

7.8 Contractor warrants that it shall only process GCA’s data in order to perform its obligations under this Contract and shall only process the data in accordance with the General Data Protection Regulation (GDPR) and other applicable privacy regulations.

7.9 The Contractor shall not, and shall procure that its employees, agents, subcontractors and representatives shall not offer, solicit, make or accept any payments or advantages of any kind whatsoever or transfer anything of value, whether directly or indirectly, to any government official, person or entity in order to influence any decision, obtain or retain business, secure any improper advantage and/or otherwise in connection with this Contract.

7.10 The Contractor warrants that it shall not commit any other act which shall be unlawful under, and/or in contravention of, applicable anti-bribery laws and international anti-corruption treaties.

ARTICLE 8

8.8 Indemnification

8.1 The Contractor shall indemnify, defend, to the fullest extent possible under applicable law, including reasonable attorney’s fees and expenses, and hold harmless the GCA against all claims, fines, suits, losses, costs and damages in connection with and/or resulting from any default in relation to Article 7.

8.2 The Contractor shall further indemnify, defend, to the fullest extent possible under applicable law, including reasonable attorney’s fees and expenses, and hold harmless the GCA against all claims, fines, costs, suits, losses, and damages in connection with or arising from (i) any act or omission to act in relation to the Services, unless such act or omission to act directly results from any act of the GCA for which it can be seriously
blamed, or (ii) any breach of this Contract or any applicable national or international law by the Contractor or by those for whom it is responsible. The Contractor waives any entitlement pursuant to article 7:658 sub 4 (BW) Dutch Civil Code.

8.3 Without prejudice to any other rights set out in this Contract, each Party (hereinafter the “Indemnifying Party”) hereby agrees to indemnify, defend and hold harmless the other Party (hereinafter the “Indemnified Party”) from and against any and all losses arising out of or in relation to third-party claims of any kind which, if proven by a non-appealable decision by the courts, would constitute a breach of the warranties made by the Indemnifying Party.

8.4 If a claim is made that may give rise to a claim for indemnity under this Article 8, then the Indemnified Party shall notify the Indemnifying Party of such claim immediately, give the Indemnifying Party all reasonable co-operation and shall not negotiate the claim without the consent of the Indemnifying Party in writing.

8.5 Should changes occur during the term of this Contract that are (or could be) relevant to the assessment of the legal relationship between the Parties for tax purposes, national insurance and/or civil law, the Contractor shall inform GCA immediately in writing.

ARTICLE 9

8.9 Confidentiality and Marks

9.1 The Contractor shall keep confidential and shall not, during the performance of the Services or at any time after the expiry or termination of this Contract, disclose to any person or make use of (i) any agreements, financial information, correspondence, documents or other information relating to the GCA which the Contractor has obtained during the course of this Contract or the provision of the Services; (ii) any other confidential information which the Contractor has obtained in the course of this Contract or the provision of the Services or (iii) any information contained in this Agreement, in each case without the prior written consent of the GCA. The Contractor undertakes to take sufficient measures to ensure confidentiality with respect to all business data and information of GCA and/or of its business partners, unless (i) the use or disclosure of this information and data is necessary for the proper performance of the Services, or (ii) such information and data have become generally known without this being due to breach of the duty of confidentiality, or (iii) the disclosure of such information is required by court order or any other legal process.

9.2 The Contractor undertakes to use the data and information referred to in Article 9.1 only to perform the Services.

9.3 If the Contract is terminated or dissolved, or upon any reasonable request of the GCA, the Contractor must ensure that all materials, electronic media, documentation and other information that includes data and/or information made available by the GCA shall
be returned to the GCA immediately and all digital or other copies are destroyed, deleted and removed.

**ARTICLE 10**

8.10 **Intellectual, Industrial and Proprietary Rights**

10.1 All right, title and interest in the Deliverables, including any products, methods, works and/or materials developed by the Contractor, in whole or in part, during or in connection with this Contract, shall automatically vest in the GCA upon creation and the GCA shall be the sole and unlimited owner thereof and of rights therein throughout the world perpetually. GCA shall retain all such rights therein, including but not limited to statutory copyrights, and all renewals thereof, as a copyright author and proprietor.

10.2 The Contractor agrees to execute and deliver, and to use maximum endeavors to cause any subcontractor to execute and deliver, to GCA any and all materials, instruments, source documents, designs, instructions and codes reasonably required by the GCA in connection with the use, adaption, execution and enjoyment of the Deliverables and of the GCA’s rights therein and thereto.

10.3 Contractor undertakes to do everything necessary to ensure that all existing and any future Intellectual and/or Industrial Property Rights pertaining to the Deliverables are (and remain) vested with GCA or with third party designated by the GCA. The Fee or rates that Contractor charges to GCA in the context of the Contract shall be deemed, in as far as necessary, to include payment for these Intellectual and/or Industrial Property Rights. To effect this transfer, the following acts must at any rate be performed: by signing the Contract, Contractor transfers to GCA all existing and future Intellectual and/or Industrial Property Rights to existing and future Deliverables and the results of the Services.

10.4 Insofar as these acts prove not to ensure that all Intellectual and/or Industrial Property Rights are transferred to the GCA, the Contractor undertakes, if the situation arises, to do everything possible to effect the transfer, without the Contractor being allowed to attach further conditions to its cooperation. As long as this has not been done, Contractor hereby gives the GCA: an irrevocable authorization to exercise and protect the powers arising from the relevant Intellectual and/or Industrial Property Rights in and out of court; an exclusive, royalty-free, transferable, irrevocable, perpetual, and worldwide license, to use all Deliverables and all Intellectual and/or Industrial Property Rights pertaining thereto.

10.5 Notwithstanding the foregoing, insofar as Contractor has made any material available to GCA, to which material Contractor has Intellectual and/or Industrial Property Rights, Contractor states that it shall grant GCA a non-exclusive and non-transferable right to use this material.

10.6 The Contractor shall hold or obtain all consents, permissions and/or clearances in third-party intellectual property rights necessary to enable it to perform the Services. The
Contractor shall be liable for any violation of legal provisions or rights of third parties in respect of all rights used in the Deliverables or during the performance of the Services. The Contractor, to the extent permitted by law, agrees to indemnify and hold harmless the GCA from all claims and causes of action asserted by third parties based on (alleged) Intellectual and/or Industrial Property Rights or on any other basis.

10.7 It is acknowledged and agreed by the Parties that GCA owns all property rights and may use, adapt, add to and subtract from the Deliverables and combine these with other artistic or literary material and to publish the result by any means, it being understood that the Contractor (including its employees and subcontractors) hereby waives and agrees not to exercise or enforce any so-called “moral rights” which may now or may hereafter be recognized.

10.8 GCA grants Contractor a non-exclusive, non-transferable, non-assignable and limited right and license to use the following only to perform the Services in accordance with this Contract: The GCA’s trademarks, trade names, tag lines logos or service marks and any information made available to the Contractor by the GCA.

ARTICLE 11

8.11 Termination of the Contract

11.1 In addition to the statutory provisions pertaining to termination, in the cases below, without judicial intervention or further notice of default, whole or partial termination of this Contract shall be possible, with immediate effect, by:

11.1.1 Either Party, if the other Party becomes insolvent, has applied for a suspension of payment, or that other Party has been adjudicated bankrupt;

11.1.2 Either Party, if the other Party is prevented by force majeure from complying with its obligations wholly or in part for a period of three months or more;

11.1.3 Either Party, if the other Party discontinues its business operations;

11.1.4 The GCA, if the Contractor, after a written demand from the GCA allowing a reasonable time for compliance, still fails attributably to comply promptly, properly or at all with any obligation under this Contract;

11.1.5 The GCA, if there is any doubt about the reliability and/or integrity of the Contractor, resulting from the screening process including but not limited to, for example, the condition that it has been determined that the Contractor has been involved in serious incidents or irregularities with previously contracted persons, clients, employers and/or on previous assignments;

11.1.6 The GCA, if one or more of the exclusion criteria mentioned in section I or section II of the signed Declaration on Honor becomes true for the Contractor.

11.2 Termination of this Contract shall not affect the other rights and/or recovery possibilities of the Party terminating it.
11.3 In the event of termination by GCA due to the fault of the Contractor, the GCA shall withhold and deduct from payment to Contractor the amount reasonably deemed necessary to complete the Services and deliver the Deliverables.

11.4 Unless and to the extent otherwise specified in this Contract, on the termination of this Contract for any reason, the GCA shall be responsible for paying the part of the Fee for Deliverables that have been accepted by the GCA up to and including the effective date of termination.

11.5 In the event that either Party wishes to terminate this Contract, a 30-day notice period will be taken into account by both the Contractor and the GCA, except for the situations mentioned in Article 11.1 above.

11.6 The following Articles shall survive any termination, cancellation or expiration of this Contract, together with any other provisions herein that by their nature shall survive: Article 7 (Warranties), Article 8 (Indemnification), Article 9 (Confidentiality and Marks), Article 10 (Intellectual, Industry and Proprietary Rights), Article 14.8 (Notice) and Article 15 (Applicable Law).

ARTICLE 12

8.12 Liability

12.1 In case the Dutch Tax Authorities and/or the Implementing Authority with regard to social security (Uitvoeringsinstelling, "UWV") decide(s) that one or more of the invoices paid under this Contract are subject to wage tax and / or social security contributions, GCA shall levy the statutory deductions due and these shall be borne by Contractor, except for the premiums in respect of employees' insurances (premies werknemersverzekeringen) and the income dependent contribution pursuant to the Act on Insurance for Care (inkomensafhankelijke bijdrage Zorgverzekeringswet). From that moment all the fees involved will be considered as (gross) wages including holiday allowance and be reduced to the level where payment without wage taxes and / or social security contributions can take place.

ARTICLE 13

8.13 Sickness and Inability to Work

13.1 The Contractor agrees to bear the risks pertaining to the Services, including sickness and inability to work, and if the Contractor takes out insurance to cover such risks, the Contractor shall bear the cost of such insurance.

13.2 The Contractor shall not invoice the GCA any days on which the Contractor was unable to perform the Services due to illness, leave or any other reason.

ARTICLE 14
8.14 **Final Provisions**

14.1 The Contractor shall sign the GCA’s Statement of Acknowledgment in **Annex 1** which forms part of this Contract.

14.2 Amendments to this Contract shall be valid only insofar as they have been explicitly agreed in writing by both the signatories of this Contract, or any of their successors. The persons mentioned in Article 14.8 below, other than authorized representatives/signatories, are not legally representing any of the Parties and cannot amend this Contract verbally or in writing.

14.3 Contractor hereby declares that it has not offered or given GCA staff any benefit in order to obtain this Contract.

14.4 Contractor shall not be entitled to assign or otherwise transfer this Contract nor any of its rights or obligations under this Contract without the prior written consent of the GCA.

14.5 If at any time one or more of the provisions of this Contract becomes invalid, illegal or unenforceable under any law, the validity, legality and enforceability of the remaining provisions of this Contract shall not in any way be affected or impaired.

14.6 The Contractor and the GCA must always inform each other about:
14.6.1 Possible problems arising in the performance of this Contract;
14.6.2 Relevant changes that can be expected in the relation to the Services or this Contract.

14.7 Both Parties consent that this Contract, together with its amendments, may be signed electronically and such electronic signature shall be deemed valid.

14.8 The contact persons and contact details regarding the content and delivery of the Services are:
**For GCA:** [name], [email], [telephone]
**For Contractor:** [name], [email], [telephone]

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**ARTICLE 15**

8.15 **Applicable Law**

15.1 This Contract and any agreement resulting from this Contract shall be governed by and construed in accordance with the laws of the Netherlands. Any dispute shall be settled exclusively by the competent courts in the Netherlands.
IN WITNESS WHEREOF, the Parties have, through their authorized representatives, signed this Contract on the date herein below written.

For and on behalf of:  
Stichting The Global Center on Adaptation  
______________________________
Name: Prof. Dr. Patrick Verkooijen  
Position: Chief Executive Officer  
Date:

For and on behalf of:  
[Contractor]

Name:  
Position:  
Date:

Annex 1 – Statement of Acknowledgment

I acknowledge that I have received a copy of the Code of Conduct, which describes the standards of behavior expected by GCA and I agree to act in accord with those standards as a condition of my agreement with GCA.

I have read and understood the Anti-Fraud Policy, which describes acts or omissions that are considered as fraud or corruption and that are not permitted by GCA. I agree to act in accord with the Anti-Fraud Policy as a condition of my agreement with GCA.

I also acknowledge that I understand the reporting process for complaints and any suspicions of misconduct, illegal actions, or violations of the Code of Conduct or any other GCA policies and rules. I will use the webform on GCA’s website at https://gca.org/about-us/contact-us/ to report such incidents. In case I encounter difficulties accessing the online complaint form, I will send an email to speakup@gca.org to report integrity violations or to safeguarding@gca.org to report incidents of Sexual Exploitation, Abuse, and Harassment. If I need to report anything urgently, I will call or use WhatsApp to contact the Whistle Officer at +31 643147051. I understand that it is my duty to speak up immediately if I witness an incident, suspect an integrity violation, suspect a breach of a policy, feel harassed, or have a complaint regarding GCA or its associated parties or individuals.

I understand that if I have questions at any time about any of these documents, I will consult the GCA contact person or the Human Resources staff for clarification.

Finally, I understand that the contents of the documents may change at any time at the sole discretion of GCA, which relevant changes GCA informs me of. Changes are effective as of the date of their publication.

Signature:

Name: