SIMPLIFIED REQUEST FOR PROPOSAL (RFP) (FIRMS)

Business Quality Review for the Infrastructure and Nature Based Solutions Program, asset-level support.

Ref.: GCA-PR-23-420

CLOSING DATE: Friday, 22 DECEMBER 2023
CLOSING TIME: NOT LATER THAN 16:00:00 hours (04:00:00 p.m. o'clock), Central European Time (CET)

PROPOSALS RECEIVED AFTER THE CLOSING DATE AND TIME SHALL BE REJECTED

Issued on: Friday, 1 December 2023
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1. Background

1.1. **GCA Overview:** The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyze action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future. Adapting to impacts of climate change provides a “win-win” for livelihoods, food security, water supply, health, security, and economic growth. The work of the GCA elevates the visibility and political importance of climate adaptation and facilitates solutions, such as smarter investments, new technologies and better planning to become more resilient to climate related threats. GCA is a rapidly growing organization with offices in Abidjan, Beijing, Dhaka, Groningen, and Rotterdam.

1.2. **The Services:** To achieve its ambitious 2020-2025 business plan and strategy, GCA intends to procure Business Quality Review for the Infrastructure and Nature Based Solutions Program and asset-level support. Your Proposal, as specified in Annex 3: Scope of Work/Terms of Reference is hereby invited.

2. Proposal Submission

2.1. Proposals and all supporting documents must be uploaded to the link: [GCA-PR-23-420 - Business Quality Review for the Infrastructure and Nature Based Solutions Program, asset-level support (Submit Proposal)](https://example.com) in PDF format, no later than 22 December 2023, 16:00:00 hours (04:00:00 p.m. o’clock), Central European Time (CET).

2.2. Proposals received after the closing date and time will be rejected. GCA will confirm receipt of Proposals within 24 hours from the closing date and time. Bidders that do not receive this confirmation must contact GCA within 48 hours from the closing date and time. After 48 hours from the closing date and time, GCA shall not respond to any queries related to whether a bidder’s Proposal was received.

2.3. The point of contact for all questions or requests for additional information is procurement@gca.org. The email subject heading should be clearly marked with the following information: “GCA-PR-23-420 - Business Quality Review for the Infrastructure and Nature Based Solutions Program, asset-level support”. All contact with personnel employed by the Global Center on Adaptation with respect to this RFP is prohibited, except for messages to the above email address. Improper contact may constitute grounds for rejection of your proposal. All inquiries regarding this RFP must be submitted in writing. Each inquiry must include the inquirer’s name, firm and telephone number. The Global Center on Adaptation will share the answers to all questions of a reasonable nature with all the parties that have expressed their interest. The closing date for clarifications concerning this RFP is 14 December 2023, 16:00:00 hours (04:00:00 p.m. o’clock), Central European Time (CET).

2.4. The Proposal must be drafted in English.

2.5. The Proposal must be uploaded to the link in Section 2.1 in Two separate documents labelled/named “RFP-GCA-PR-23-420 -TECHNICAL Proposal” and “RFP-GCA-PR-23-420 -FINANCIAL Proposal. When uploading the Proposal, the first and last name must be the name of the organization submitting the Proposal as shown in the screenshot below.
2.6. The **first document** (Technical Proposal) **should not exceed 10 pages (excluding supporting documentation, CVs, and company profile)** and **25MB in size for successful delivery**. The GCA will not be held responsible for non-delivery of Proposals exceeding 25MB. Minimum information to be included in the Technical Proposal are described in paragraph 3.1.

2.7. The **second document** (Financial Proposal) shall contain the Financial Proposal Form (Annex 4). The Financial Proposal **shall not exceed 10 pages and 25MB in size for successful delivery**. The GCA will not be held responsible for non-delivery of proposals exceeding 25MB.

2.8. All financial information must **ONLY** be included in the Financial Proposal. No Financial proposals, quotes or any other related financial information should appear in the Technical Proposal.

2.9. Proposals which do not comply with these requirements, e.g. combining the financial and technical proposals in one submission or submitted in any way, other than outlined above, will be rejected.

2.10. In case of a joint proposal, all the partners (except the lead partner) shall submit a **power of attorney**, signed by an authorized representative of each partner, designating the lead partner to represent them and to sign the contract on their behalf in relation to this call for proposals.
2.11. Non-compliance with the above requirements regarding the presentation of the RFP may lead to the exclusion from the RFP process for this contract.

2.12. There will be no public opening session for the Proposals received. Proposals will be opened privately by GCA after the closing time specified for the receipt of Proposals. No public announcement of the contents of any offer will be made at any time.

2.13. GCA subscribes to the UN Supplier Code of Conduct | UN Procurement Division. By participating in this RFP, bidders agree to comply with this code.

3. Minimum Information to be included in the Proposals

3.1. The submission/Proposal must be drafted in English and contain:
   - Proposal Submission/Identification Form (Annex 1)
   - A signed Declaration of Honor (Annex 2)
   - A Technical Proposal: Shall contain the “Technical Proposal” and supporting documentation. The technical proposal should briefly present the experience and proposed approach to execute the scope of work (Annex 3), especially regarding:
     - assessing advanced analytics to quantify climate risks, identify and provide cost-benefits adaptation and resilience options in the context of infrastructure systems locally, regionally and globally, with the overall goal to inform investment projects and policy measures
     - providing recommendations for innovation in the context of infrastructure systems locally, regionally and globally, with the overall goal to inform investment projects and policy measures
     - team organization and team members to deliver the scope of work, with expected overall timeline.
   - The Technical Proposal should not exceed 10 pages (excluding supporting documentation, CVs, and company profile).
   - Organization Experience (project references illustrating the firm’s previous most relevant experience).
   - Team Composition (Core experts and Supporting experts) – (Name, surname, Proposed role, Languages, summary of expertise).
   - Team CVs (Annex) (Name and surname, Proposed role, Nationality and location, Education, Most relevant training, Professional certifications, or membership in professional associations, Countries of work experience, Languages, Employment history, Summary of projects (or other work) undertaken that best illustrates expertise for role in this assignment.
   - All the supporting documentation in relation to the evaluation criteria.

3.2. If discounts are provided, please state clearly the discounts provided. Discounts cannot be introduced after submission.
3.3. The payment terms must be at least 30 days from the date of receipt and acceptance by GCA of an original invoice.

3.4. The Proposal submitted in response to this RFP must be valid for the period of 90 days as of the deadline for submission indicated in Section 2.1.

4. Evaluation and Award of Contract

4.1. Prior to the detailed evaluation of Proposals, the GCA shall determine whether each Proposal meets the eligibility criteria; has been properly signed and is substantially responsive to the requirements of the RFP. To evaluate a Proposal, the GCA will apply the methodology and criteria defined hereinafter, no other criteria or methodology shall be permitted:

- Exclusion Criteria
- Selection Criteria
- Award Criteria

4.2. A substantially responsive Proposal is one which conforms to all the terms, conditions, and Terms of Reference/Scope of Work of the RFP. At each evaluation stage, only bidders passing/meeting the criteria/requirements for that stage will proceed to the subsequent evaluation stage.

4.3. **Exclusion Criteria:** Participation in this RFP is open on equal terms to any natural and legal companies not in any of the situations listed in Article 57 of the EU Directive 2014/24/EU.

4.4. Bidders shall provide a Declaration of Honor (see Annex 2), duly signed and dated, including a statement that they are not in any of the situations listed in Article 57 of EU Directive 2014/24/EU. In case of a joint proposal such declaration shall be submitted for each partner. The declaration shall also be submitted for the subcontractors, when relevant.

4.5. Bidders may be excluded from participation in this RFP if they are found to be in one of the situations for exclusion or fail to submit the above-mentioned declaration.

4.6. **Selection Criteria:** Bidders shall be evaluated against the following Selection Criteria: Not Applicable

4.7. **Award Criteria:** Proposals will be rated on both technical and financial bases, using the best value for money approach.

4.8. **It is important to note that the required minimum technical score is 48 points (60% of the total technical points). Only Offers obtaining the minimum technical score or more will progress to the financial evaluation. Offers scoring less than the minimum technical score on one or more technical criterion will be considered of insufficient quality and shall be rejected.**
4.9. The contract shall be awarded to the bidder that obtains the highest combined technical and financial score.

<table>
<thead>
<tr>
<th>Award Criterion</th>
<th>Max. Score</th>
<th>Min. Score (Threshold)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TC 1</strong>: Ability to provide and therefore assess quality of advanced analytics to quantify climate risks, identify and provide cost-benefits adaptation and resilience options in the context of infrastructure systems locally, regionally and globally, with the overall goal to inform investment projects and policy-measures, based on the approach described in the Technical Proposal and demonstrated by company profile, at least 5 reference projects examples, and / or CV of team members.</td>
<td>30</td>
<td>18</td>
</tr>
<tr>
<td><strong>TC 2</strong>: Ability to provide recommendations for innovation, at the project and at the portfolio level, in the context of infrastructure systems locally, regionally and globally, with the overall goal to inform investment projects and policy-measures, based on the approach described in the Technical proposal and demonstrated by company profile, at least 5 reference projects examples, and / or CV of team members.</td>
<td>30</td>
<td>18</td>
</tr>
<tr>
<td><strong>TC 3</strong>: Team organization and capacity to deliver the scope of work, based on the approach described in the Technical Proposal and demonstrated by CV of team members.</td>
<td>10</td>
<td>6</td>
</tr>
<tr>
<td><strong>TC 4</strong>: Fluency in English (proof to be provided through the writing quality of the technical Proposal and in form of qualifications/certificates or CV of team members)</td>
<td>10</td>
<td>6</td>
</tr>
</tbody>
</table>

| Total Technical Points | 80 | 48 |
| Total Financial Points | 20 | -  |
| **Combined Technical and Financial Score** | 100 | -  |

4.10. GCA may award the contract to the next best evaluated bidder(s) in the event of failure to conclude a contract/PO with the best evaluated bidder.

4.11. If a Proposal is not substantially responsive, it shall be rejected by GCA, and may not subsequently be made responsive by correction or withdrawal of the nonconforming deviation or reservation.

4.12. Proposals determined to be substantially responsive shall be checked by GCA for any arithmetic errors. Errors shall be corrected as follows:
  - Where there is a discrepancy between the amounts in figures and in words, the amount in words shall govern; and
• Where there is a discrepancy between the unit rate and the line-item total resulting from multiplying the unit rate by the quantity, the unit rate as quoted shall govern, unless in the opinion of GCA there is an obviously gross misplacement of the decimal point in the unit rate, in which case the line-item total as quoted shall govern, and the unit rate shall be corrected.

• The amount stated in the Proposal shall be adjusted by GCA in accordance with the above procedure for correction of errors and, with the concurrence of the bidder, shall be considered as binding upon the bidder.

4.13. GCA is not bound to accept the lowest-priced, highest-ranked technically compliant or best evaluated Proposal and reserves the right to accept or reject any or all the Proposals without assigning any reason whatsoever.

5. Cancellation, Ownership and Liabilities for Errors

5.1. GCA reserves the right to cancel this RFP process at any point. GCA shall not be liable for any compensation with respect to interested bidders whose submissions have not been accepted, nor shall it be so liable if it decides not to award the contract. Cancellation may occur where:

• No responsive proposals were received or there was no response at all.
• There has been a fundamental change in the procurement requirements.
• Exceptional circumstances or force majeure render normal performance of the project impossible.
• All technically compliant proposals significantly exceed the financial resources available.
• There have been irregularities in the procedure where these have prevented fair competition.

5.2. Any document submitted in reply to this RFP will become the property of GCA and will be regarded as confidential.

5.3. GCA, its employees and agents shall not be held liable or accountable for any error or omission in any part of this RFP or response to bidders’ questions. While the GCA, and/or its employees and agents have made conscious efforts to ensure an accurate representation of information in this RFP, the information contained in the RFP is supplied solely as a guideline for bidders. The information is not guaranteed or warranted to be accurate by GCA, and/or its employees or agents, nor is it necessarily comprehensive or exhaustive. Nothing in this RFP is intended to relieve bidders from the responsibility of conducting their own investigations and research and forming their own opinions and conclusions with respect to the matters addressed in this RFP. Bidders will be solely responsible to ensure that their proposal meets all requirements of the RFP, to advise GCA immediately of any apparent discrepancies or errors in the RFP, and to request clarification if in doubt concerning the meaning or intent of anything in the RFP.
6. Contract
GCA will issue a contract. Acceptance of the contract (including terms and conditions of contract) by the consultant is considered as signing of the contract.
Annexes

Annex 1: Proposal Submission/Identification Form

GCA-PR-23-420: Request for Proposal for Business Line Review

<table>
<thead>
<tr>
<th>IDENTIFICATION OF BIDDER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
</tr>
<tr>
<td>Legal Form</td>
</tr>
<tr>
<td>Date of Registration</td>
</tr>
<tr>
<td>Country of Registration</td>
</tr>
<tr>
<td>Registration Number</td>
</tr>
<tr>
<td>VAT Number</td>
</tr>
<tr>
<td>Address</td>
</tr>
<tr>
<td>E-mail</td>
</tr>
<tr>
<td>Authorized representative(s)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CONTACT POINT FOR THIS CALL FOR TENDER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
</tr>
<tr>
<td>Position</td>
</tr>
<tr>
<td>Company</td>
</tr>
<tr>
<td>Telephone Number</td>
</tr>
<tr>
<td>E-mail</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>BIDDER’S BANK ACCOUNT INFORMATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bank account holder’s full name:</td>
</tr>
<tr>
<td>Name and address of recipient’s bank:</td>
</tr>
<tr>
<td>Recipient’s account number/IBAN:</td>
</tr>
<tr>
<td>Recipient bank’s SWIFT or BIC code:</td>
</tr>
<tr>
<td>Recipient bank’s routing information:</td>
</tr>
<tr>
<td>Bank account currency:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SIGNATURE OF PROPOSAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>I, the undersigned, confirm:</td>
</tr>
<tr>
<td>1. The acceptance of the conditions in the Request for Proposal.</td>
</tr>
<tr>
<td>2. The acceptance of the contract terms and conditions in their entirety and without reservation.</td>
</tr>
<tr>
<td>3. That the period of validity of my proposal is 90 days from the deadline of this Request for Proposal.</td>
</tr>
</tbody>
</table>
4. Compliance with the requirements relating to the Scope of Work/Terms of Reference as defined in Annex 3 of this Request for Proposal, and
5. That the information given in this proposal is correct.

| Place and Date |  |
| Signature      |  |
| Full Name      |  |
Annex 2: Declaration of Honor

The undersigned [insert name of the signatory of this form], representing:

Full official name:
Official legal form:
Statutory registration number:
Full official address:
VAT registration number:
(‘the Organization’)

I – Situations of exclusion

(1) declares that the above-mentioned Organization is in one of the following situations:

<table>
<thead>
<tr>
<th></th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) it is bankrupt, subject to insolvency or winding-up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended, or it is in any analogous situation arising from a similar procedure;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(b) it has been established by a final judgement or a final administrative decision that the Organization is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the applicable law;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(c) it has been established by a final judgement or a final administrative decision that the Organization is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the Organization belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibility where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of eligibility or selection criteria or in the performance of a contract or an agreement;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(ii) entering into agreement with other parties with the aim of distorting competition;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(iii) violating intellectual property rights;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(iv) attempting to influence the decision-making process of the contracting authority during the award procedure;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(v) attempting to obtain confidential information that may confer upon its undue advantages in the award procedure;</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>(d) it has been established by a final judgement that the Organization is guilty of any of the following:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(i) fraud, as defined in applicable laws and regulations;</td>
<td>☐</td>
<td>☐</td>
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</table>
(ii) corruption, as defined in applicable laws and regulations; □ □ □

(iii) conduct related to a criminal organization; □ □ □

(iv) money laundering or terrorist financing, as defined in applicable laws and regulations; □ □ □

(v) terrorist offences or offences linked to terrorist activities, or inciting, aiding, abetting, or attempting to commit such offences; □ □ □

(vi) child labor or other offences concerning trafficking in human beings as defined in applicable laws and regulations; □ □ □

(e) it has been established by a final judgment or final administrative decision that the Organization has created an entity under a different jurisdiction with the intent to circumvent fiscal, social or any other legal obligations in the jurisdiction of its registered office, central administration, or principal place of business. □ □ □

II – Situations of exclusion concerning natural or legal person with power of representation, decision-making or control over the legal Organization and beneficial owners

(2) declares that a natural or legal person who is a member of the administrative, management or supervisory body of the Organization, or who has powers of representation, decision, or control with regard to the above-mentioned Organization (this covers e.g., company directors, members of management or supervisory bodies, and cases where one natural or legal person holds a majority of shares) is in one of the following situations: YES NO N/A

<table>
<thead>
<tr>
<th>Situation</th>
<th>YES</th>
<th>NO</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Situation (c) above (grave professional misconduct)</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Situation (d) above (fraud, corruption, or other criminal offence)</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
<tr>
<td>Situation (e) above (creation of an entity with the intent to circumvent legal obligations)</td>
<td>□</td>
<td>□</td>
<td>□</td>
</tr>
</tbody>
</table>

III – Remedial measures

If the Organization declares one of the situations of exclusion listed above, it must indicate measures it has taken to remedy the exclusion situation, thus demonstrating its reliability. This may include e.g., technical, organizational and personnel measures to prevent further occurrence, compensation of damage or payment of fines or of any taxes or social security contributions. The relevant documentary evidence which illustrates the remedial measures taken must be provided in annex to this declaration. This does not apply for situations referred to in point (d) of this declaration.

IV – Evidence upon request
Upon request the Organization must provide information on natural or legal persons that are members of the administrative, management or supervisory body or that have powers of representation, decision, or control, including legal and natural persons within the ownership and control structure and beneficial owners. It must also upon request provide production of recent certificates issued by the competent authorities and/or a recent extract from the judicial record or, failing that, an equivalent document recently issued by a judicial or administrative authority in the country of establishment of the Organization showing that those requirements are satisfied. These documents must provide evidence covering all taxes and social security contributions for which the Organization is liable, including for example, VAT, income/company tax and social security contributions.

### V – Selection criteria

(3) declares that the above-mentioned Organization complies with the following selection criteria

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

- (a) It has the legal and regulatory capacity to pursue the professional activity needed for performing the Services
- (b) It fulfils the applicable technical and professional criteria necessary for providing the Services.

### VI – Final

The signatory declares that the above-mentioned Organization has truthfully provided the information herein.

The above-mentioned Organization shall immediately inform the contracting authority of any changes in the situation as declared.

The above-mentioned Organization may be subject to rejection from the contracting or selection procedure and to legal claims if any of the declarations or information provided as a condition for contracting with GCA prove to be false.


Full name:

Date:

Signature:
Annex 3: Scope of Work/Terms of Reference

TERMS OF REFERENCE (TORs)

1. Background Information

The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyse action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future. Adapting to impacts of climate change provides a “win-win” for livelihoods, food security, water supply, health, security, and economic growth. GCA’s work elevates the visibility and political importance of climate adaptation and facilitates solutions, such as smarter investments, new technologies and better planning to become more resilient to climate related threats. GCA is a rapidly growing organization with offices in Abidjan, Beijing, Dhaka, Groningen, and Rotterdam.

GCA’s 2020-2025 business plan and strategy have three pillars:

- Programs: Food Security; Using Nature for more resilient infrastructure; Water for Urban Growth and Resilience; Climate Finance; Youth Leadership.
- Knowledge: Building adaptation knowledge globally through cutting edge products such as the State and Trends in Adaptation Report and the Knowledge Exchange Platform.
- Advocacy and Awareness: Formulating policy messages to move the global, regional, and local adaptation agendas forward.

GCA and the African Development Bank (AfDB) launched the Africa Adaptation Acceleration Program (AAAP) at the Climate Adaptation Summit in Jan 2021. At the Africa Leaders’ Dialogue in 2021, the AAAP was endorsed by the continent as the implementation vehicle to realize the vision of the Africa Adaptation Initiative. The African Union-backed program aims to mobilize $25 billion to drive adaptation on the African continent through four strategic pillars on food security, infrastructure, youth and employment, and climate finance. These results are being delivered through partnerships with Multilateral Development Banks and other leading implementation organizations, stakeholders, political and technical bodies. Within the framework of this partnership, GCA leads upstream activities with the broad goal of leveraging climate finance to mainstream climate risk in infrastructure investments.

Infrastructure activities are delivered through the Africa Infrastructure Resilience Accelerator (AIRA) pillar of AAAP, in which the GCA Infrastructure and Nature-based program fits. GCA’s activities within the Infrastructure and nature-based solutions program aim to mainstream adaptation and resilience into investments at both the national infrastructure system and infrastructure project levels. GCA is working with IFI and institutional partners to influence large-scale public and public-private investments, identifying and analyzing technically and financially viable options that lessen infrastructure disruptions caused by climate hazards and mitigate climate risks to infrastructure assets, services and served communities.

Program activities are structure in three business lines, with specific value propositions:

1. Climate Resilient Infrastructure Assets & Green Adaptation Solutions
2. National Risks and Resilience Assessment and Adaptation options prioritization
3. Masterclass for Climate Resilient Infrastructure PPPs
The present business quality review will focus on the first business line tailored to support infrastructure investment projects at the asset-level. In 2022 and 2023 under this business line, GCA has launched or completed work on a total of 15 projects susceptible (when completed) to influence the design of investments worth over US$3.6 billion and strengthening the resilience of infrastructure systems, across transport (seaport, rail, road) and energy (solar generation, transmission) sectors.

Specific interventions under the Climate Resilient Infrastructure Assets & Green Adaptation Solutions business are co-designed with the partner IFIs (e.g., African Development Bank, World Bank) and project stakeholders, and can include:
- High-granularity climate risks analysis on the investment project.
- Identification, appraisal and impact-based prioritization of green and grey adaptation and resilience options that would mitigate the impact of climate change to the project (assets, services, and communities).
- Targeted deliverables are provided based on the analysis, depending on specific project needs, such as:
- Financing rationale for the project stakeholders to request additional finance for adaptation and resilience options
- Technical guidelines for implementation through the technical design and operations and maintenance phases, and depending on the contractual framework (Public procurement, PPP).

2. Objective of the Assignment

The primary objective of this assignment is to conduct a comprehensive quality review and analysis of the activities delivered under the business line 1. Climate Resilient Infrastructure Assets & Green Adaptation Solutions. The goal is to assess projects’ performance, ensure that the technical analysis and methodologies deployed were highly advanced, matching the project’s needs from the IFIs and project’s stakeholders perspective, and identify areas for improvement and innovation for future projects in 2024.

The review will be based on discussion with the GCA Infrastructure team and research team, as well as project review. Projects review processes will be tailored for completed projects (the quality or ex-post review) and for the started projects (ex-ante review).

The analysis aims to achieve several key outcomes:

- **Quality Assurance**: Ensuring that the technical deliverables meet or exceed the specifications and quality benchmarks set forth in the Request for Proposals (RFPs).
- **Methodology Review**: Assessing the analytical methodologies regarding data collection, including addressing the data gaps, climate modeling, and quantification of the direct and indirect damages arising from the exposure and vulnerability to climate hazards, and benchmarking them against best practices.
- **Innovation**: Identifying opportunities to incorporate cutting-edge technology or methods that can make GCA technical support to investment projects more effective, efficient, and innovative. Potential areas for innovation to be assessed include:
  - flexible planning under deep uncertainties, especially for phased investments and / or in the context of operations and maintenance planning
  - securing climate hazards assessments in data scarce environments,
NBS specific investment rationales
- reflecting social & gender vulnerabilities in infrastructure climate risks
  assessment and adaptation options design
- evaluating indirect effects of infrastructure disruptions to the communities
  with socio-economic indicators, e.g., assessing the impact of transport
  systems’ disruption to food security.

- **Strategic Recommendations**: Providing actionable recommendations for value
  propositions to be added in future projects, that are not only based on the analysis
  but also strategically align with the objectives of the business line

This will provide a robust foundation to improve GCA project ideation and implementation
mechanisms, ensuring they are more efficient, inclusive, and innovative.

3. **Scope of the Services to be Performed**

Within the objectives described in the previous section, the assignment will include a review
of completed and started GCA projects, with the following tasks divided in two parts:

**Part 1 – Sample of projects detailed performance review**

Review a sample of completed assignments (at least 6) and started assignment (4)
undertaken in various locations, across infrastructure sectors, from the projects listed in
Table 1. The review will include GCA terms of reference, the intermediate and final deliverables
completed, and any dissemination activities undertaken by the GCA. The review will highlight
the following, within the high-level objectives of the mission:

1. **Quality and Methodology Review**
   a. Conduct a detailed quality review of the technical deliverables against the
      original Terms of Reference specifications.
   b. Compare both the scope of the assignment and the actual deliverables to
      cutting-edge best practices in the industry, focusing on methodology and
      execution.
   c. Provide a methodological and operational risk analysis per project.
   d. Assess stakeholders engagement efforts and opportunities for improvement

2. **Recommendations and Innovation**
   a. Propose methodological requirements that could be included in the Terms of
      Reference, specifically addressing:
      i. Data collection strategies and how to handle data gaps
      ii. Climate modelling techniques, time horizon considered and uncertainties
          definition leading to the next modeling steps (e.g., vulnerability assessment)
      iii. Vulnerability and damage assessment, including direct and indirect
          impacts and cost-benefits evaluation of risks mitigation options
      iv. Approaches for incorporating uncertainties and flexible options
          throughout the modelling process, from climate modelling to cost-
          benefit appraisals
   b. Identify and propose practical ways to further include the following elements
      within GCA scope of work:
i. Nature-Based Solutions (NBS) related specific appraisals including environmental co-benefits assessment and specific opportunities to access finance (e.g., carbon markets)

ii. Gender considerations

iii. Generate innovative solutions and recommendations aimed at advancing the cutting edge of our technical support services.

<table>
<thead>
<tr>
<th>Project name</th>
<th>Status</th>
<th>Specific focus for GCA activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>AfDB DtP Facility – G5 Sahel DtP Financing Facility</td>
<td>Completed</td>
<td></td>
</tr>
<tr>
<td>AfDB Tanzania Burundi Railway</td>
<td>Started (RFP review)</td>
<td>Impact of railway corridor disruptions to agricultural production in Burundi</td>
</tr>
<tr>
<td>AfDB Kampala-Malaba Meter-gauge Railway Refurbishment Project</td>
<td>Completed</td>
<td>NBS Investment case delivered</td>
</tr>
<tr>
<td>WBG Niger Road Project</td>
<td>Completed</td>
<td>Impact of roads disruption to food security assessment.</td>
</tr>
<tr>
<td>AfDB Kenya-South Sudan Link road (Leseru-Kitale, Morpus-Lokichar) upgrading</td>
<td>Completed</td>
<td></td>
</tr>
<tr>
<td>AfDB Autoroute Dakar Saint-Louis Phase I</td>
<td>Completed</td>
<td></td>
</tr>
<tr>
<td>WBG Tanzania Rail Project (TIRP-2)</td>
<td>Started (RFP review)</td>
<td>Planning interventions for flood management at the basin level under deep uncertainties</td>
</tr>
<tr>
<td>AfDB Banjul Port 4&lt;sup&gt;th&lt;/sup&gt; Expansion Project</td>
<td>Completed</td>
<td></td>
</tr>
<tr>
<td>AfDB Eastern Connectivity 19 transmission line</td>
<td>Started (RFP review)</td>
<td>Disaggregated impact of transmission line disruption per gender</td>
</tr>
<tr>
<td>AfDB Transgabonaise Road PPP</td>
<td>Completed</td>
<td></td>
</tr>
<tr>
<td>AfDB Port of Cotonou Expansion</td>
<td>Completed</td>
<td>Financing Rational to access climate Finance</td>
</tr>
<tr>
<td>WBG Sao Tome Roads Project</td>
<td>Started (RFP review)</td>
<td>Roads resilience in the context of Small Islands State</td>
</tr>
</tbody>
</table>

*Table 1: List of project to include in the review with status and specific focus if any*

**Part 2 - Cross-cutting observations and recommendations**

Based on the detailed project review, draw cross-cutting recommendations in line with the business review objectives (*Deliverable 1*), that include

1. Quality and Methodology Review key observations and learnings at the portfolio level
2. Recommendations and Innovation key observations at the portfolio level
3. Risk and Performance Analysis at the portfolio level, in line with the business line’s objectives to influence infrastructure investment projects and scale up adaptation efforts.
4. Stakeholders engagement
5. Innovation and cutting-edge analytics for impact, proposed approaches to develop in future projects.

Additionally, develop guidelines for ex-ante/ex-post impact assessment of GCA activities and projects (Deliverable 2). The goal is to provide methodological insights and processes regarding the ex-ante and ex-post evaluation of GCA activities per investment project, with the overall objective to link GCA outputs with investment project level objectives. Guidelines will include:
- a structured framework on steps to follow for assessing the impact of GCA activities per project,
- proposals for feedback mechanisms, and adaptive strategies to deliver GCA activities.

4. Expected Outcome and Deliverables

Deliverable 0: Inception report: The Service Provider should submit a brief inception report including workplan with detailed tasks and timeline. Inception report will have to be presented to GCA, be reviewed by the GCA, for Service Provider to finalize.

Deliverable 1: Comprehensive Business Review Report: The Service Provider should provide a detailed report summarizing the findings of the review, at the project and at the portfolio level and addressing the attention points highlighted in the scope of work. This report should include an executive summary, analysis of key data, analysis and recommendations. The report will be discussed and reviewed by the GCA before finalization by the Service Provider.

Recommendations for improvement should be connected to the program and business line objectives, with actionable recommendations for GCA to maintain its infrastructure and nature-based solutions works to the edge of technical innovation. These recommendations should be based on the analysis reviews and best practices identified.

The service provider expected to discuss and present the findings and recommendations to GCA’s stakeholders, including GCA research for impact team, management, and engage in a consultation process to ensure a shared understanding and commitment to the proposed improvements.

Deliverable 2: Methodological guidelines for Projects review report: proposing the framework for GCA project review processes intended, with two specific evaluation paths: completed projects (the quality or ex-post review) and started projects (ex-ante review).

5. Institutional and Organization Arrangements

The execution and delivery of the work will be coordinated with Program Lead, Infrastructure and Nature-Based Solutions, and Manager, Research for Impact at GCA. Meetings with relevant team members and project stakeholders will be facilitated by GCA upon requests.

6. Key experts

The proposal with short project brief should list the key experts and team members to be mobilized to fulfil the scope of work (Annex 3) within the required timeframe. Key expertise area should include:
- Climate Modelling
• GIS Modelling and Digital Communication
• Climate Resilient Infrastructure systems across sectors and systems (Transport, Energy, Water, and Social and Natural environments)
• Engineering solutions for assets resilience and adaptation (design, operations and maintenance)
• Nature Based solutions and Green Adaptation Solutions for infrastructure systems
• Costs and benefits economical evaluation of adaptation and resilience options for infrastructure assets or systems
• Resilience and Adaptation options prioritization and articulation with decision making at the policy-making and investment decision levels.

7. **Location and Period of Execution**

The operational base for GCA is Rotterdam, The Netherlands, meetings can be held online within Central European Time zone normal office hours.

No site-visit nor in-person meeting are required to fulfill the scope of work. Submissions of deliverables and meetings can be organized online.

The expected duration of the assignment is 3 months after notification of the assignment. The Service Provider should refine this high-level timeline, within this limit, in its submission.

8. **Payment Schedule**

1. **First payment**: 30% of the Fee at the assignment start (work order notification)
2. **Final payment**: The remaining amount of the Fee after all Deliverables and the final result are successfully achieved by the Consultant and accepted by GCA.

9. **Services and Facilities to be provided by GCA**

GCA will provide the Service provider with inputs data: all project level information as listed in Table 1.

10. **Services and Facilities to be provided by the Consultant**

The Service Provider is expected to use its own IT systems, hardware and software with its own registration, as needed to fulfil the scope of work.
Annex 4: Financial Proposal Form

The Financial Proposal must include two parts, otherwise it will be deemed as non-compliant:

Part 1 - The exact template provided below, including the 5 points underneath (without any changes to the wording) and the signature of the authorized representative.

Part 2 - A separate itemized cost breakdown of the firm fixed price covering the entire Scope of Work (SOW)/Terms of Reference (TORs).

The abovementioned two parts (Part 1 and Part 2) must be sent in one consolidated document.

Part 1:
Bidders are required to complete this Financial Proposal Form. No other forms are accepted by the GCA. Failure to submit the Financial Proposal using this Financial Proposal Form is deemed to be non-compliant and the bidder’s Financial Proposal shall not be considered for further evaluation.

<table>
<thead>
<tr>
<th>Description</th>
<th>Unit of Measure</th>
<th>Total Lump Sum Fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>Business Line Review</td>
<td>Lump Sum</td>
<td></td>
</tr>
<tr>
<td>Total Firm Fixed Price (EUR)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

I confirm that the submitted Total Firm Fixed Price is:
1. Fixed and not subject to revision,
2. In Euros,
3. Independent of exchange rates,
4. Inclusive of all costs, management fees, administrative costs, travel costs, insurance, profit, and taxes directly or indirectly related to the performance of the contract, which may be borne by the vendor/bidder (e.g., cost of administration, taxes, contract management costs, etc.), and
5. Exclusive of VAT.

Bidder’s Authorized Representative:

Position:

Date:

Signature (authorized representative):
Part 2:
Please submit a separate itemized cost breakdown of the firm fixed price in Part 1 above using the template below, including the details of key personnel hourly rates and associated tasks, travel costs and all associated overheads and related cost items covering the entire Scope of Work (SOW)/Terms of Reference (TORs). Please note, the below are examples of categories and what examples within each category could be applicable.

<table>
<thead>
<tr>
<th>Categories</th>
<th>Unit of Measure</th>
<th>Quantity</th>
<th>Unit Rate</th>
<th>Total Fee (EUR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Remuneration</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personnel 1</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personnel xx</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td><strong>Total</strong></td>
</tr>
<tr>
<td>Reimbursables</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subsistence allowance</td>
<td>Day</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transportation costs</td>
<td>Trip</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Xxx</td>
<td></td>
<td></td>
<td></td>
<td><strong>Total</strong></td>
</tr>
<tr>
<td>Expenses Related to Deliverables</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Deliverable 1 [describe deliverable]</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Xxx</td>
<td></td>
<td></td>
<td></td>
<td><strong>Total</strong></td>
</tr>
<tr>
<td>Other Expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Xxx</td>
<td></td>
<td></td>
<td></td>
<td><strong>Total</strong></td>
</tr>
</tbody>
</table>

Failure to submit a financial proposal or submission of an incomplete or ambiguous financial proposal may lead to rejection of the proposal without further evaluation.
Annex 5: Purchase Order/Contract

CONTRACT NO. GCA-PR-23-420
BETWEEN
THE GLOBAL CENTER ON ADAPTATION
AND
[CONTRACTOR]
FOR
BUSINESS LINE REVIEW

This Contract is entered into between the Global Center on Adaptation, a foundation, incorporated under Dutch law, having its headquarters at Antoine Platekade 1006, 3072 ME Rotterdam, The Netherlands (hereinafter referred to as the “GCA”) duly represented by Prof. Dr. Patrick Verkooijen and [Contractor], registered at [Contractor’s address] and duly represented by [Contractor’s authorized representative] (hereinafter referred to as the “Contractor”. The GCA and the Contractor are collectively referred to herein as the “Parties”, and individually as a “Party”.

WITNESSETH

WHEREAS:

a) The GCA acts as a solutions broker, bringing together governments, the private sector, civil society, intergovernmental bodies, and knowledge institutions that can accelerate adaptation action;

b) Related to the above-mentioned mission, the GCA indicated a necessity for services related to [Contract title/description of the services];

c) The Contractor represents that it possesses the requisite knowledge, skill, personnel, resources and experience, and that it is fully qualified, ready, willing and able to provide such services in accordance with the terms and conditions set forth in this Contract;
d) The Parties elect to enter into a contract only on the basis of a contract for services within the meaning of Article 7:400 and further of the Dutch Civil Code (BW);

e) The Parties explicitly acknowledge that they do not elect to enter into an employment agreement within the meaning of Book 7610 and further of the Dutch Civil Code;

f) The Parties explicitly acknowledge that a fictitious employment ("fictieve dienstbetrekking") of homeworkers ("thuiswerkers") or equivalent workers ("gelijkgestelden") as referred to in Articles 2b and 2c of the Implementing Decree Wage Tax Act 1965 (Uitvoeringsbesluit Loonbelasting 1965) and Articles 1 and 5 of the Decree of December 24, 1986, Stb. 1986, 655 are out of scope and therefore agree upon this contract before payment;

g) This Contract is consistent with the model contract ("modelovereenkomst") published by the Dutch Tax Authorities (DTA) on 29-02-2016 under number 9015550000-06-2;

h) The Parties wish to set out the terms and conditions of their agreements in this contract (hereinafter referred to as the “Contract”).

NOW THEREFORE, in consideration of the mutual promises and covenants herein contained, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

ARTICLE 1

Contract Documents

1.1 This document, together with the Annexes attached hereto and referred to below, all of which are incorporated herein and made part hereof, constitute the entire contract between the GCA and the Contractor for the provision of [Contract title/description of the services] (the “Contract” or this “Contract”):

<table>
<thead>
<tr>
<th>Annex</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annex 1</td>
<td>Statement of Acknowledgment</td>
</tr>
<tr>
<td>Annex 2</td>
<td>Declaration on Honor</td>
</tr>
<tr>
<td>Annex 3</td>
<td>Terms of Reference/Statement of Work/Specifications</td>
</tr>
<tr>
<td>Annex XX</td>
<td>Xxxx (Other necessary Annexes)</td>
</tr>
</tbody>
</table>

1.2 The documents comprising this Contract are complementary of one another, but in case of ambiguities, discrepancies or inconsistencies between or among them, the following order of priority shall apply:

1.2.1 This document,
1.2.2 Annex 1 – Statement of Acknowledgment,
1.3 This Contract embodies the entire agreement between the Parties regarding the subject matter hereof and supersedes all prior representations, agreements, contracts and proposals, whether written or oral, by and between the Parties on this subject. No promises, understandings, obligations or agreements, oral or otherwise, relating to the subject matter hereof exist between the Parties except as herein expressly set forth.

1.4 Any notice, document or receipt issued in connection with this Contract shall be consistent with the terms and conditions of this Contract, and in case of any ambiguity, discrepancy or inconsistency, the terms and conditions of this Contract shall prevail.

1.5 The following documents are referred to in this Contract only as aids in interpretation of the rights and obligations of the Parties under the Contract but shall not be construed, for any purposes or under any circumstances, as creating any such rights or obligations:

1.5.1 Request for Proposal GCA-PR-XX-XXX dated 7 May 2023,
1.5.2 Addenda No. XX, XX dated 7 May 2023, 7 May 2023 respectively,
1.5.3 The Contractor’s bid in response to Request for Proposal GCA-PR-XX-XXX.

1.6 The documents referred to in Article 1.5 above are not attached hereto but are known to, and in the possession of, the Parties.

ARTICLE 2

The Services

2
2.1 Contractor is obliged to provide the services as referred to in the preamble sub b and further specified in Annex 3 (hereinafter referred to as the “Services”).

2.2 The output of the Services to be provided by the Contractor to the GCA is hereinafter referred to as “Deliverables”.

ARTICLE 3

Execution of Services

3
3.1 The GCA instructs the Contractor to perform the Services and the Contractor accepts the full responsibility to perform the Services, according to the terms and conditions of this Contract, correctly and in time as per the starting date.

3.2 The Contractor shall be at liberty to perform the Services to its sole discretion, subject to the terms and conditions under the Contract. Where applicable, the GCA will facilitate
Contractor in the needed cooperation with others in order to perform the agreed Services.

3.3 The Contractor shall execute the Services autonomously. The Contractor is fully independent in performing the Services without supervision or guidance of the GCA and shall be entitled to perform the Services as it sees fit. The Contractor is an independent contractor, and not an employee of the GCA, within the meaning of all applicable laws and regulations. The Contractor shall determine the method, details, and means of performing the Services in accordance with this Contract. The GCA can only give directions and instructions concerning the results and deliverables of the Services.

3.4 The Contractor shall diligently and faithfully, to the best of its ability, perform the Services and shall exercise all necessary skill, care and diligence to be expected from a properly qualified, competent and professional contractor experienced in performing services in the nature similar to the Services to be performed under this Contract. The Contractor shall be available to the GCA for the duration of the Services and the term of this Contract.

3.5 The Services will be performed at the Contractor’s office or at the residential address of any of its employees. Progress meetings will take place remotely or at GCA’s office as outlined in the description of the Services.

3.6 The Contractor shall arrange for his/her own office facilities and arrangements which are necessary for efficiently performing the Services, including but not limited to desk space, ICT hardware, communications equipment, telephone subscription, internet connection, etcetera and shall supply all labor, tools, materials, and equipment needed to perform the Services at its own expense.

3.7 The Contractor shall inform GCA’s contact person about the progress of the Services and about any relevant development and/or irregularity in relation thereto.

3.8 The Contractor undertakes to inform GCA as soon as it becomes aware of any facts or circumstances that may entail that it is not available to (partly) perform the Services. In case of inability to perform the Services, Contractor shall inform GCA promptly about the reasons and the expected duration thereof. No fee or any (other) reimbursements or compensation will be due by GCA in case (i) no Services have been carried out by Contractor (over the subject period); or (ii) Services do not comply with the terms and conditions of this Contract. Prepayments, if any, paid by GCA to the Contractor for Services not performed, will be duly paid back by the Contractor to GCA at its first request.

3.9 The GCA will provide Contractor with the mandate to use the information needed to perform the agreed Services satisfactorily.

ARTICLE 4
**Duration of the Contract**

4

4.1 The Services will start on [Date] and finish on [Date]. Time is of the essence for the performance of the Services which shall be performed in strict accordance with the schedule of delivery as provided in Annex 3.

4.2 The Contractor will be explicitly allowed to enter into service contracts and/or perform activities for other third parties.

**ARTICLE 5**

**Fee, Invoice and Payment**

5

5.1 Subject to the terms of this Contract, GCA will pay the Contractor a fixed fee of € [amount] exclusive of VAT (hereinafter referred to as the “Fee”) for the satisfactory performance of the Services. The Fee shall be deemed to be inclusive of (i) compensation for performing the Services and complying with all requests, and (ii) all costs incurred by the Contractor during the performance of Services. The Contractor undertakes to pay all applicable taxes, duties, fees, levies and other impositions and will indemnify GCA against any cost, claim, expense, damage or liability in respect of any failure by the Contractor to do.

5.2 The GCA will not compensate for any costs of expenses, unless explicitly approved in advance in writing by GCA’s contact person.

5.3 Invoicing for the Services will be carried out by the Contractor in accordance with the following schedule:

5.3.1 **First payment**: [20] % of the Fee after the acceptance of GCA as per Article 6 of this Contract of the delivery of Deliverable 1 as laid out in Annex XX;

5.3.2 **Second payment**: [20] % of the Fee after the acceptance of GCA as per Article 6 of this Contract of the delivery of Deliverable 2 as laid out in Annex XX;

5.3.3 **Final payment**: The remaining amount of the Fee after all deliverables and the final result as described in Annex XX are successfully achieved by the Contractor and accepted by GCA as per Article 6 of this Contract.

5.4 All invoices shall bear a clear description of activities performed and deliverables achieved. Evidence of deliverables shall be attached to the invoice, if applicable.

5.5 The GCA retains the right to request additional details related to the performance of the Services by the Contractor if it deems this necessary. The Contractor agrees to provide all reasonable information.

5.6 In case of an audit of GCA’s expenses related to the Services, the Contractor shall cooperate fully and shall respond to all of the GCA’s and the auditor’s requests for information truthfully and timely. The Contractor shall grant the auditor access to its premises if this is necessary to conduct the audit.
5.7 All invoices must be addressed to: The Global Center on Adaptation, Antoine Platekade 1006, 3072 ME Rotterdam and shall be sent by email to finance@gca.org.

5.8 Subject to the approval by the GCA, the invoices of the Contractor shall be paid by GCA within thirty (30) calendar days of receipt.

5.9 The GCA will transfer the payments to the following Contractor’s bank account:

<table>
<thead>
<tr>
<th>Bank account holder’s full name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and address of recipient’s bank:</td>
</tr>
<tr>
<td>Recipient’s account number/IBAN:</td>
</tr>
<tr>
<td>Recipient bank’s SWIFT or BIC code:</td>
</tr>
<tr>
<td>Recipient bank’s routing information:</td>
</tr>
<tr>
<td>Bank account currency:</td>
</tr>
</tbody>
</table>

5.10 Without prejudice to any other rights or remedies to which GCA may be entitled under this Contract, in the event of delayed delivery of Services or insufficient or inadequate performance by the Contractor of its obligations under this Contract, GCA shall be entitled to adjust the Fee, the respective dates of payment and the schedule of the Deliverables to reflect the actual progress of the Services.

**ARTICLE 6**

**Deliverables**

6

6.1 Upon the delivery of the Deliverables or any portion thereof in accordance with Article 2, GCA will evaluate the same whether to accept or reject.

6.2 If the Deliverables or any portion thereof fails GCA’s acceptance test, the GCA will inform the Contractor of the reasons for such failure. The Contractor will endeavor to identify and remedy the cause for any such defects within the due dates as set out in the description of the Services, or another such period as may be agreed between Parties. The Contractor will re-deliver the Deliverables or any portion thereof to GCA. GCA will repeat the acceptance test and will inform the Contractor of the result thereof.

6.3 If following the procedure set out in Article 6.2 any Deliverables or portion thereof fails in a material respect to pass any repeated acceptance test, then Parties may agree:

6.3.1 To repeat the procedure set out in Article 6.2; or
6.3.2 To engage a third party with equivalent industry experience to identify and rectify any defects, the costs of which shall be borne by the Contractor; or
6.3.3 To terminate this Contract on immediate written notice and the GCA shall owe the Contractor no further payments in respect of the rejected Deliverables and any prepayments relating to these undelivered Deliverables shall be paid back by the Contractor to GCA.

ARTICLE 7

Representations and Warranties

7
7.1 The Contractor shall complete and sign the Declaration on Honor attached to this Contract as Annex 2.

7.2 Contractor warrants that none of the situations of exclusion, as described in Sections I and II of the Declaration on Honor (Annex 2), applies.

7.3 Contractor warrants that all relevant contributions for national and employees’ insurance and other taxes to which it is (or: could be held) liable in the Netherlands and/or in any other country will be paid within the relevant time-limits set.

7.4 Parties warrant that at the date of this Contract there exists no fact or event which would preclude the Party from entering into this Contract or carrying out its obligations under this Contract.

7.5 Contractor warrants that for a 90-day period from the final payment under this Contract (hereinafter the “Warranty Period”), the Deliverables shall be free from material defects. If, during the Warranty Period, the GCA believes that there is a breach of this Article 7.5, then GCA will notify the Contractor in writing, setting forth the nature of such claimed breach. The Contractor shall, at no additional charge to GCA, promptly take such action as may be reasonably required to correct such breach within the period of time that GCA determines at its sole discretion.

7.6 Contractor warrants that the Services provided hereunder, and the Deliverables delivered to GCA shall not infringe or violate the intellectual property rights or any common law right or any personal, proprietary, or other right of any kind whatsoever of any person, firm or third party.

7.7 Contractor warrants that the Services will be provided in accordance with all applicable laws, regulations and industry guidelines as applicable from time to time.

7.8 Contractor warrants that it shall only process GCA’s data in order to perform its obligations under this Contract and shall only process the data in accordance with the General Data Protection Regulation (GDPR) and other applicable privacy regulations.
7.9 The Contractor shall not, and shall procure that its employees, agents, subcontractors and representatives shall not offer, solicit, make or accept any payments or advantages of any kind whatsoever or transfer anything of value, whether directly or indirectly, to any government official, person or entity in order to influence any decision, obtain or retain business, secure any improper advantage and/or otherwise in connection with this Contract.

7.10 The Contractor warrants that it shall not commit any other act which shall be unlawful under, and/or in contravention of, applicable anti-bribery laws and international anti-corruption treaties.

ARTICLE 8

Indemnification

8.1 The Contractor shall indemnify, defend, to the fullest extent possible under applicable law, including reasonable attorney’s fees and expenses, and hold harmless the GCA against all claims, fines, suits, losses, costs and damages in connection with and/or resulting from any default in relation to Article 7.

8.2 The Contractor shall further indemnify, defend, to the fullest extent possible under applicable law, including reasonable attorney’s fees and expenses, and hold harmless the GCA against all claims, fines, costs, suits, losses, and damages in connection with or arising from (i) any act or omission to act in relation to the Services, unless such act or omission to act directly results from any act of the GCA for which it can be seriously blamed, or (ii) any breach of this Contract or any applicable national or international law by the Contractor or by those for whom it is responsible. The Contractor waives any entitlement pursuant to article 7:658 sub 4 (BW) Dutch Civil Code.

8.3 Without prejudice to any other rights set out in this Contract, each Party (hereinafter the “Indemnifying Party”) hereby agrees to indemnify, defend and hold harmless the other Party (hereinafter the “Indemnified Party”) from and against any and all losses arising out of or in relation to third-party claims of any kind which, if proven by a non-appealable decision by the courts, would constitute a breach of the warranties made by the Indemnifying Party.

8.4 If a claim is made that may give rise to a claim for indemnity under this Article 8, then the Indemnified Party shall notify the Indemnifying Party of such claim immediately, give the Indemnifying Party all reasonable co-operation and shall not negotiate the claim without the consent of the Indemnifying Party in writing.

8.5 Should changes occur during the term of this Contract that are (or could be) relevant to the assessment of the legal relationship between the Parties for tax purposes, national insurance and/or civil law, the Contractor shall inform GCA immediately in writing.
ARTICLE 9

Confidentiality and Marks

9.1 The Contractor shall keep confidential and shall not, during the performance of the Services or at any time after the expiry or termination of this Contract, disclose to any person or make use of (i) any agreements, financial information, correspondence, documents or other information relating to the GCA which the Contractor has obtained during the course of this Contract or the provision of the Services; (ii) any other confidential information which the Contractor has obtained in the course of this Contract or the provision of the Services or (iii) any information contained in this Agreement, in each case without the prior written consent of the GCA. The Contractor undertakes to take sufficient measures to ensure confidentiality with respect to all business data and information of GCA and/or of its business partners, unless (i) the use or disclosure of this information and data is necessary for the proper performance of the Services, or (ii) such information and data have become generally known without this being due to breach of the duty of confidentiality, or (iii) the disclosure of such information is required by court order or any other legal process.

9.2 The Contractor undertakes to use the data and information referred to in Article 9.1 only to perform the Services.

9.3 If the Contract is terminated or dissolved, or upon any reasonable request of the GCA, the Contractor must ensure that all materials, electronic media, documentation and other information that includes data and/or information made available by the GCA shall be returned to the GCA immediately and all digital or other copies are destroyed, deleted and removed.

ARTICLE 10

Intellectual, Industrial and Proprietary Rights

10.1 All right, title and interest in the Deliverables, including any products, methods, works and/or materials developed by the Contractor, in whole or in part, during or in connection with this Contract, shall automatically vest in the GCA upon creation and the GCA shall be the sole and unlimited owner thereof and of rights therein throughout the world perpetually. GCA shall retain all such rights therein, including but not limited to statutory copyrights, and all renewals thereof, as a copyright author and proprietor.

10.2 The Contractor agrees to execute and deliver, and to use maximum endeavors to cause any subcontractor to execute and deliver, to GCA any and all materials, instruments, source documents, designs, instructions and codes reasonably required by the GCA in connection with the use, adaption, execution and enjoyment of the Deliverables and of the GCA’s rights therein and thereto.
10.3 Contractor undertakes to do everything necessary to ensure that all existing and any future Intellectual and/or Industrial Property Rights pertaining to the Deliverables are (and remain) vested with GCA or with third party designated by the GCA. The Fee or rates that Contractor charges to GCA in the context of the Contract shall be deemed, in as far as necessary, to include payment for these Intellectual and/or Industrial Property Rights. To effect this transfer, the following acts must at any rate be performed: by signing the Contract, Contractor transfers to GCA all existing and future Intellectual and/or Industrial Property Rights to existing and future Deliverables and the results of the Services.

10.4 Insofar as these acts prove not to ensure that all Intellectual and/or Industrial Property Rights are transferred to the GCA, the Contractor undertakes, if the situation arises, to do everything possible to effect the transfer, without the Contractor being allowed to attach further conditions to its cooperation. As long as this has not been done, Contractor hereby gives the GCA: an irrevocable authorization to exercise and protect the powers arising from the relevant Intellectual and/or Industrial Property Rights in and out of court; an exclusive, royalty-free, transferable, irrevocable, perpetual, and worldwide license, to use all Deliverables and all Intellectual and/or Industrial Property Rights pertaining thereto.

10.5 Notwithstanding the foregoing, insofar as Contractor has made any material available to GCA, to which material Contractor has Intellectual and/or Industrial Property Rights, Contractor states that it shall grant GCA a non-exclusive and non-transferable right to use this material.

10.6 The Contractor shall hold or obtain all consents, permissions and/or clearances in third-party intellectual property rights necessary to enable it to perform the Services. The Contractor shall be liable for any violation of legal provisions or rights of third parties in respect of all rights used in the Deliverables or during the performance of the Services. The Contractor, to the extent permitted by law, agrees to indemnify and hold harmless the GCA from all claims and causes of action asserted by third parties based on (alleged) Intellectual and/or Industrial Property Rights or on any other basis.

10.7 It is acknowledged and agreed by the Parties that GCA owns all property rights and may use, adapt, add to and subtract from the Deliverables and combine these with other artistic or literary material and to publish the result by any means, it being understood that the Contractor (including its employees and subcontractors) hereby waives and agrees not to exercise or enforce any so-called “moral rights” which may now or may hereafter be recognized.

10.8 GCA grants Contractor a non-exclusive, non-transferable, non-assignable and limited right and license to use the following only to perform the Services in accordance with this Contract: The GCA’s trademarks, trade names, tag lines logos or service marks and any information made available to the Contractor by the GCA.

ARTICLE 11
Termination of the Contract

11

11.1 In addition to the statutory provisions pertaining to termination, in the cases below, without judicial intervention or further notice of default, whole or partial termination of this Contract shall be possible, with immediate effect, by:

11.1.1 Either Party, if the other Party becomes insolvent, has applied for a suspension of payment, or that other Party has been adjudicated bankrupt;

11.1.2 Either Party, if the other Party is prevented by force majeure from complying with its obligations wholly or in part for a period of three months or more;

11.1.3 Either Party, if the other Party discontinues its business operations;

11.1.4 The GCA, if the Contractor, after a written demand from the GCA allowing a reasonable time for compliance, still fails attributably to comply promptly, properly or at all with any obligation under this Contract;

11.1.5 The GCA, if there is any doubt about the reliability and/or integrity of the Contractor, resulting from the screening process including but not limited to, for example, the condition that it has been determined that the Contractor has been involved in serious incidents or irregularities with previously contracted persons, clients, employers and/or on previous assignments;

11.1.6 The GCA, if one or more of the exclusion criteria mentioned in section 1 or section II of the signed Declaration on Honor becomes true for the Contractor.

11.2 Termination of this Contract shall not affect the other rights and/or recovery possibilities of the Party terminating it.

11.3 In the event of termination by GCA due to the fault of the Contractor, the GCA shall withhold and deduct from payment to Contractor the amount reasonably deemed necessary to complete the Services and deliver the Deliverables.

11.4 Unless and to the extent otherwise specified in this Contract, on the termination of this Contract for any reason, the GCA shall be responsible for paying the part of the Fee for Deliverables that have been accepted by the GCA up to and including the effective date of termination.

11.5 In the event that either Party wishes to terminate this Contract, a 30-day notice period will be taken into account by both the Contractor and the GCA, except for the situations mentioned in Article 11.1 above.

11.6 The following Articles shall survive any termination, cancellation or expiration of this Contract, together with any other provisions herein that by their nature shall survive: Article 7 (Warranties), Article 8 (Indemnification), Article 9 (Confidentiality and Marks), Article 10 (Intellectual, Industry and Proprietary Rights), Article 14.8 (Notice) and Article 15 (Applicable Law).

ARTICLE 12

Liability

12
12.1 In case the Dutch Tax Authorities and/or the Implementing Authority with regard to social security (Uitvoeringsinstelling, "UWV") decide(s) that one or more of the invoices paid under this Contract are subject to wage tax and/or social security contributions, GCA shall levy the statutory deductions due and these shall be borne by Contractor, except for the premiums in respect of employees' insurances (premies werknemersverzekeringen) and the income dependent contribution pursuant to the Act on Insurance for Care (inkomensafhankelijke bijdrage Zorgverzekeringswet). From that moment all the fees involved will be considered as (gross) wages including holiday allowance and be reduced to the level where payment without wage taxes and/or social security contributions can take place.

ARTICLE 13

Sickness and Inability to Work

13.1 The Contractor agrees to bear the risks pertaining to the Services, including sickness and inability to work, and if the Contractor takes out insurance to cover such risks, the Contractor shall bear the cost of such insurance.

13.2 The Contractor shall not invoice the GCA any days on which the Contractor was unable to perform the Services due to illness, leave or any other reason.

ARTICLE 14

Final Provisions

14.1 The Contractor shall sign the GCA’s Statement of Acknowledgment in Annex 1 which forms part of this Contract.

14.2 Amendments to this Contract shall be valid only insofar as they have been explicitly agreed in writing by both the signatories of this Contract, or any of their successors. The persons mentioned in Article 14.8 below, other than authorized representatives/signatories, are not legally representing any of the Parties and cannot amend this Contract verbally or in writing.

14.3 Contractor hereby declares that it has not offered or given GCA staff any benefit in order to obtain this Contract.

14.4 Contractor shall not be entitled to assign or otherwise transfer this Contract nor any of its rights or obligations under this Contract without the prior written consent of the GCA.

14.5 If at any time one or more of the provisions of this Contract becomes invalid, illegal or unenforceable under any law, the validity, legality and enforceability of the remaining provisions of this Contract shall not in any way be affected or impaired.

14.6 The Contractor and the GCA must always inform each other about:

14.6.1 Possible problems arising in the performance of this Contract;
14.6.2 Relevant changes that can be expected in the relation to the Services or this Contract.

14.7 Both Parties consent that this Contract, together with its amendments, may be signed electronically and such electronic signature shall be deemed valid.

14.8 The contact persons and contact details regarding the content and delivery of the Services are:
   For GCA: [name], [email], [telephone]
   For Contractor: [name], [email], [telephone]

ARTICLE 15

Applicable Law

15

15.1 This Contract and any agreement resulting from this Contract shall be governed by and construed in accordance with the laws of the Netherlands. Any dispute shall be settled exclusively by the competent courts in the Netherlands.

IN WITNESS WHEREOF, the Parties have, through their authorized representatives, signed this Contract on the date herein below written.

For and on behalf of: Stichting The Global Center on Adaptation

[Contractor]

________________________________________  ____________________________
Name: Prof. Dr. Patrick Verkooijen  Name:
Position: Chief Executive Officer  Position:
Date:  Date:
Annex 1 – Statement of Acknowledgment

I acknowledge that I have received a copy of the Code of Conduct, which describes the standards of behavior expected by GCA and I agree to act in accord with those standards as a condition of my agreement with GCA.

I have read and understood the Anti-Fraud Policy, which describes acts or omissions that are considered as fraud or corruption and that are not permitted by GCA. I agree to act in accord with the Anti-Fraud Policy as a condition of my agreement with GCA.

I also acknowledge that I understand the reporting process for complaints and any suspicions of misconduct, illegal actions, or violations of the Code of Conduct or any other GCA policies and rules. I will use the webform on GCA’s website at https://gca.org/about-us/contact-us/ to report such incidents. In case I encounter difficulties accessing the online complaint form, I will send an email to speakup@gca.org to report integrity violations or to safeguarding@gca.org to report incidents of Sexual Exploitation, Abuse, and Harassment. If I need to report anything urgently, I will call or use WhatsApp to contact the Whistle Officer at +31 643147051. I understand that it is my duty to speak up immediately if I witness an incident, suspect an integrity violation, suspect a breach of a policy, feel harassed, or have a complaint regarding GCA or its associated parties or individuals.

I understand that if I have questions at any time about any of these documents, I will consult the GCA contact person or the Human Resources staff for clarification.

Finally, I understand that the contents of the documents may change at any time at the sole discretion of GCA, which relevant changes GCA informs me of. Changes are effective as of the date of their publication.

Signature:

Name:

Date: