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# REQUEST FOR PROPOSALS

## FRAMEWORK AGREEMENT (MINI-COMPETITION)

For

# Comprehensive and Rapid Climate Risk Assessments (RCRAs) in Africa and Asia

RFP Ref: GCA-PR-24-609

**CLOSING DATE: 23 December 2024**

**CLOSING TIME: NOT LATER THAN 16:00:00 hours (04:00:00 p.m. o'clock), Central European Time (CET)**

**PROPOSALS RECEIVED AFTER THE CLOSING DATE AND TIME SHALL BE REJECTED**

Issued on: 5 November 2024



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## Acronyms and Abbreviations

<b>Acronym</b>	<b>Description</b>
<b>AAAP</b>	Africa Adaptation Acceleration Program
<b>AfDB</b>	African Development Bank
<b>ADB</b>	Asian Development Bank
<b>AU</b>	African Union
<b>CapEx</b>	Capital Expenses
<b>CET</b>	Central European Time
<b>COP</b>	Conference of the Parties to the United Nations Climate Change Framework Convention (United Nations Climate Change Conference)
<b>CPP</b>	Climate Prosperity Plan
<b>CRA</b>	Climate Risk Assessment
<b>CV</b>	Curriculum Vitae
<b>CVF</b>	Climate Vulnerable Forum
<b>CSDAT</b>	Climate Smart Digital Agricultural Technologies for Food Security
<b>DAE</b>	Direct Access Entity
<b>EOI</b>	Expression of Interest
<b>EU</b>	European Union
<b>FWA</b>	Framework Agreement
<b>GCA</b>	Global Center on Adaptation
<b>IFI</b>	International Finance Institutions
<b>IPCC</b>	Intergovernmental Panel on Climate Change
<b>IPDC</b>	International Panel on Deltas and Coastal Areas
<b>LLA</b>	Locally Led Adaptation
<b>LMA</b>	Labor Market Assessment
<b>MDBs</b>	Multilateral Development Banks
<b>N/A</b>	Not Applicable
<b>NAP</b>	National Adaptation Plan
<b>NBS</b>	Nature-based Solutions
<b>NDP</b>	National Development Plan
<b>NTP</b>	National Transport Policy
<b>R4I</b>	Research for Impact
<b>RFP</b>	Request for Proposal
<b>VAT</b>	Value Added Tax



## 1. Introduction

### 1.1 Introduction to the Global Center on Adaptation (GCA)

The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyze action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future. Adapting to impacts of climate change provides a “win-win” for livelihoods, food security, water supply, health, security, and economic growth. The work of the GCA elevates the visibility and political importance of climate adaptation and facilitates solutions, such as smarter investments, new technologies and better planning to become more resilient to climate related threats. GCA is a rapidly growing organization with offices in Abidjan, Beijing, Dhaka, Groningen, and Rotterdam.

GCA’s ambitious 2020-2025 business plan and strategy have three pillars:

- Programs: Food Security; Using Nature for more resilient infrastructure; Water for Urban Growth and Resilience; Climate Finance; Youth Leadership.
- Knowledge: Building adaptation knowledge globally through cutting edge products such as the State and Trends in Adaptation Report and the Adaptation Knowledge Portal.
- Advocacy and Awareness: Formulating policy messages to move the global, regional, and local adaptation agendas forward.

### 1.2 Introduction to the Requesting Program

GCA’s Water and Urban Program provides technical assistance for cities and national governments to identify priorities for climate adaptation investment. This is done together with Multilateral Development Banks and other partners that support water services, urban development and informal settlements upgrading.

Comprehensive Climate Risk Assessments typically serve some or all of the following purposes for water services, urban development, and informal settlements upgrading:

- Informing detailed planning and design of investment projects, sector master plans, resilience roadmaps and community resilience investments. As part of this process, adaptation options are prioritized, including nature-based solutions (NbS).
- Development of investment plans, adaptation project concepts, and other actions to enable adaptation financing.

Rapid Climate Risk Assessments typically serve some of or all the following purposes:

- Initiating or advancing a dialogue between city officials and financiers (currently Multilateral Development Banks and Development Banks) towards an urban investment portfolio that is informed by climate risk.
- Building capacity and aligning city decision-makers around a climate adaptation agenda that has clear priorities.



- A precursor for detailed climate risk assessment and resilience options appraisal linked to a specific urban investment.

## **1.3 Introduction to this Request for Proposals**

### **1.3.1 Purpose of this Request for Proposals (RFP)**

GCA is launching this RFP to solicit competitive proposals and select Firms (hereto referred to as “Service Provider”) to enter into a Framework Agreement. The selection process aims to ensure that the final selected Service Providers are of the highest caliber professionally, technically and ethically to implement and execute the necessary services.

### **1.3.2 Information about the Framework Agreement**

#### **1.3.2.1 Purpose and Duration**

GCA intends to enter into a Framework Agreement for Carrying out **Comprehensive and Rapid Climate Risk Assessments in Africa and South Asia** based on TORs/specifications/SOW included in this document. The agreement will be **valid for an initial two (2) year term, renewable up to two (2) 1-year terms subject to performance, availability of funds and mutual agreement.**

#### **1.3.2.2 Financial Envelope and Work Orders**

The maximum financial envelope for services is **EUR 2,500,000 (Two Million, Five Hundred Thousand Euros)**. Work Orders will be issued following a Mini-competition process, under the terms and conditions of the Framework Agreement.

#### **1.3.2.3 Estimates and Commitments**

Estimates provided in this Solicitation Document are not binding commitments for future purchases but rather provided in good faith and shall not in any way be deemed to be a commitment. GCA is not obligated to meet minimum procurement values or issue a set number of Work Orders. This Solicitation Document shall not be construed as an offer capable of being accepted.

There will be no legal/contractual obligation for GCA to procure any minimum value of services during the duration of the Framework Agreement or issue any minimum number of Work Orders during the term/duration of the Framework Agreement with the Service Provider(s). GCA shall not be liable for any cost in the event that no purchases are made under any resulting Framework Agreement.

#### **1.3.2.4 Draft Framework Agreement**

The draft Framework Agreement, inclusive of GCA's contractual terms and conditions, is attached as an Annex for reference. **No modifications to the Framework Agreement will be made after the deadline for clarification requests.** Bidders are invited to submit any clarification request regarding the draft Framework Agreement before the closing date for clarifications (refer to paragraph 2.5 of the RFP). Submission of a proposal implies acceptance of the conditions outlined in this Request for Proposal and the attached Draft Framework Agreement.



### 1.3.3 Indicative Procedure Timetable

The timetable for the procurement process presented below is indicative and, while GCA does not intend to depart from the timetable, it reserves the right to do so at any time respecting the GCA/EU/Donor Procurement Regulations.

Activity	Estimated date
Request for Proposals published/issued	5 November 2024
Deadline for sending a request for clarification to GCA	26 November 2024
Deadline for submission of proposals	23 December 2024
Bid opening	23 December 2024
Evaluation of the proposals	4 January 2025
Notice of intent to award (NOITA)	10 January 2025
Standstill period ends	20 January 2025
Signature of Framework Agreements	30 January 2025

## 2. Submission and Opening of Proposals

### 2.1 Submission of the Proposal

If you are interested in participating in this Request for Proposal, you are requested to submit your proposal to the submission link: [https://gcaorg-my.sharepoint.com/:f:/g/personal/chisala\\_mutale\\_gca\\_org/EgCoM8z4x0IHkKiB-DGpwZIBr1gwcmi28kSnJ\\_kklroObA](https://gcaorg-my.sharepoint.com/:f:/g/personal/chisala_mutale_gca_org/EgCoM8z4x0IHkKiB-DGpwZIBr1gwcmi28kSnJ_kklroObA) no later than **23 December 2024, 16:00:00 hours (04:00:00 p.m. o'clock), Central European Time (CET)** (hereinafter referred to as “the closing date and time”).

Bidders are cautioned that the timing of submission is based on when the proposal is received by the GCA, not when a proposal is submitted by a bidder. As transmission can be delayed due to file transfer size, transmission speed or other technical factors, bidders should plan to submit proposals well in advance of the Submission Deadline to avoid submitting late due to technical issues. Bidders submitting near the Submission Deadline do so at their own risk.

**Proposals received after the closing date and time will be rejected. GCA will confirm receipt of proposals within 24 hours from the closing date and time. Bidders that do not receive this confirmation must contact GCA within 48 hours from the closing date and time. After 48 hours from the closing date and time, GCA shall not respond to any queries related to whether a bidder’s proposal was received.**

Your proposal and all supporting documents must be uploaded to the submission link in **PDF format** before the closing date and time stipulated above. **Submissions requesting GCA to click on links to download documents shall not be accepted.** When uploading the Proposal, the first and last name must be the name of the organization submitting the Proposal as shown in the screenshot below.



## GCA-PR-23-338 - Submit Proposal

RFP-GCA-PR-23-338-TECHNICAL Propos... ×

+ Add more files Total 2 files 685 KB

<p>First name *</p> <input type="text" value="Global Center"/>	<p>Last name *</p> <input type="text" value="on Adaptation"/>
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Upload

Please adhere to the following instructions, unless otherwise provided in the relevant Bid Documents. The Submission must be drafted in **English** and contain:

- Proposal Submission/Identification Form (Annex 1)
- A signed **Declaration on Honor** (Annex 2)
- A **Technical Proposal**: Shall contain the “Technical Proposal” and supporting documentation, with clear and concise description of your proposed actions to execute the Scope of Work/Terms of Reference and Deliverables (Annex 3). The Technical Proposal **should not exceed 15 pages (excluding supporting documentation, staff profiles and CVs, and company profile) and 25MB in size for successful delivery**. The GCA will not be held responsible for the non-delivery of proposals exceeding 25MB.
- **All the supporting documentation** in relation to the evaluation criteria.
- Proposals must be uploaded in as few documents as possible, preferably **one PDF document**.
- The Technical Proposal must be named **RFP-GCA-PR-24-609-TECHNICAL Proposal** and no other characters or spacing should be included in the file name.
- Proposals which do not comply with these requirements other than the outlined above, will be rejected.
- In case of a joint proposal, all the partners (except the lead partner) shall submit a **power of attorney**, signed by an authorized representative of each partner, designating the lead partner to represent them and to sign the contract on their behalf in relation to this Request for Proposals.

**Late submissions shall be rejected. Non-compliance with the above requirements regarding the presentation of the RFP may lead to exclusion from the RFP process for this contract.**



## 2.3 Signature of the Proposal

The signature of the authorized representative of the Bidder (single Bidder or lead partner in case of a joint proposal) in Annex 1 will be considered as the signature of the proposal, binding the Bidder to the terms included in the proposal.

## 2.4 Validity of the Proposal

The proposal must be valid for the period of **120 days** from the deadline of proposals as indicated in Section 2.1. The bidder shall provide a statement confirming the period of validity of its proposal when submitting the required documents for this RFP.

GCA shall endeavor to conclude the RFP process within the validity period of the RFP. If, however, there are any circumstances that require GCA to request for validity extension from bidders, GCA shall do so in writing. Bidders have the right to accept or reject the request. In the latter case, the bidder's proposal shall not be evaluated.

## 2.5 Communication during the Tendering Process

The point of contact for all questions or requests for additional information is [procurement@gca.org](mailto:procurement@gca.org). All contact with personnel employed by the Global Center on Adaptation with respect to this RFP is prohibited, except for messages to the above email address. Improper contact may constitute grounds for rejection of your proposal. All inquiries regarding this RFP must be submitted in writing. Interest to bid and questions shall be sent to the above email address before the date mentioned in the timeline and must be labelled "**Clarification Request - GCA-PR-24-609 – Comprehensive and Rapid Climate Risk Assessments (RCRAs) in Africa and Asia**". Each inquiry must include the inquirer's name, firm and telephone number. The Global Center on Adaptation will share the answers to all questions of a reasonable nature with all the parties that have expressed their interest.

Clarifications by GCA will be communicated, in a suitably anonymous form, to all bidders on the GCA website and/or by email. No approach of any kind in connection with this request for proposal should be made to any other person within, or associated with, GCA. Failure to adhere to this requirement may result in exclusion from this Request for Proposals. Please note that GCA will not enter a detailed discussion on the requested services at this stage.

The closing date for clarifications concerning this Request for Proposal is **26 November 2024, 16:00:00 hours (04:00:00 p.m. o'clock), Central European Time (CET)**.

Bidders are cautioned that the timing of submitting a clarification is based on when the proposal is received by the GCA, not when a clarification is submitted by a bidder. As transmission can be delayed due to file transfer size, transmission speed or other technical factors, bidders should plan to submit requests for clarification well in advance of the clarification Deadline to avoid submitting late due to technical issues. Bidders submitting near the clarification Deadline do so at their own risk.

All clarifications from each bidder must be placed in one consolidated request.





## 2.6 Bid Opening and Evaluation of Proposals

The proposals received will be opened strictly by the Evaluation Committee/Procurement Officials, in line with the Bid opening schedule as indicated in Section 1.3.3. The evaluation of proposals will follow the procedure described in Section 5.

## 2.7 Proposal Presentations and Interviews

GCA may request all Bidders who have passed the Selection Criteria stated in Section 5.2 of this Request for Proposal to present their proposals to the GCA Evaluation Committee by video link or online meeting. Further details will be communicated to invited short-listed Bidders closer to the time if required by GCA.

## 3. Scope of Services

Within the current Request for Proposal, bidders are requested to provide a comprehensive response to GCA's requirements, in the "Technical Section" of their submission (see the section related to the Content of the Proposal), to demonstrate their understanding of GCA's work and their technical capacity to implement the activities outlined in the Scope of Work/Terms of Reference (Annex 3).

The specific Scope of Work/Terms of Reference related to that presented in Annex 3 is then to be fulfilled only within the Framework Agreement, each assignment being awarded to a bidder(s) via a Work Order after a mini-competition or secondary bidding among Framework Agreement holders.

## 4. Joint Proposals and Subcontracting

An interested bidder may submit a proposal as a single entity or collaborate with other service providers to present a proposal either by submitting a joint proposal or through subcontracting. Proposals may also combine both approaches. The legal status and role of each legal entity shall be clearly described in the proposal, as well as the responsibilities of each entity in providing the services required by this RFP.

Joint proposals will be evaluated on a consolidated basis. Joint bidders/ventures must appoint a lead firm/organization and a point of contact authorized to act on their behalf in connection with the submission of the proposal and all relevant questions, clarification requests, notifications, etc. that may be received during the evaluation and award phases, and until signature of the contract.

In case of a joint proposal, all the partners (except the lead partner) shall submit a **power of attorney**, signed by an authorised representative of each partner, designating the lead partner to represent them and to sign the contract on their behalf in relation to this procurement.

If the joint proposal is successful, the GCA will sign the contract with the lead firm/organization, authorized by the other members to sign the contract on their behalf. All members of the joint proposal assume joint and several liability towards the GCA for the performance of the contract. Changes in the composition of the group after the proposal submission deadline and before the contract signature may lead to the rejection of the proposal except in case of a merger/takeover



of a member of the group, in which case all Eligibility, Selection and Award Criteria must still be fulfilled by the new group.

## 5. Evaluation of Proposals and Bidders

The evaluation of bidders and proposals will be done in accordance with the following subsequent steps:

- Verification that the bidder fulfils the Exclusion Criteria in Section 5.1 and that it is not in one of the situations covered by the exclusion criteria listed in EU Directive 2014/24/EU.
- Verification that the bidder has the appropriate capacities to perform the contract based on the Selection Criteria described in Section 5.2 (only for those bidders that were not excluded based on the Exclusion Criteria).
- Evaluation of the bidder based on the Award Criteria described in Section 5.3 (only for those bidders that have met the Selection Criteria). Scoring for each Award Criterion will be conducted using the following scale:
  - Excellent
  - Good
  - Average
  - Below average
  - Poor
- Where individual scoring is adopted, the Evaluation Committee shall discuss scores where there are significant discrepancies prior to computing the average score for each criterion.

The proposal must comply with the GCA strategic requirements set in this RFP as a precondition to be assessed. In a case of non-compliance with the Exclusion Criteria, the bidder will be informed of the grounds for rejection without being given feedback on the content of the proposal other than on the non-compliant elements.

The evaluation of proposals shall be carried out by an Evaluation Committee made up of representatives of GCA. Any attempt by a bidder to influence the Evaluation Committee in the process of examination, clarification, evaluation, due diligence checks, etc., to obtain information on how the procedure is progressing or to influence GCA in its decision concerning the award of the contract, will result in the immediate rejection of the bidder's proposal.

In the interest of transparency and equal treatment, without being able to modify their proposals, bidders may be required, at the sole written request of the Evaluation Committee (via Procurement), to provide clarifications within 48 hours. Requests for clarification will only seek minor clarifications of information already submitted by the bidder. No modifications to a proposal can be sought or accepted through a request for clarification (except for the correction of arithmetical errors discovered during the evaluation of the proposal).



### 5.1 Exclusion Criteria

Participation in this Request for Proposal is open on equal terms to any natural and legal companies not in any of the situations listed in Article 57 of the EU Directive 2014/24/EU.

Bidders shall provide a Declaration of Honor (see Annex 2), duly signed and dated, including a statement that they are not in any of the situations listed in Article 57 of EU Directive 2014/24/EU. In case of a joint proposal such declaration shall be submitted for each partner. The declaration shall also be submitted for the subcontractors, when relevant.

Besides the submission of the signed Declaration of Honor, the Bidder undertakes to inform GCA, without delay, of any changes to their situation in this regard.

Bidders may be excluded from participation in this Request for Proposal if they are found to be in one of the situations for exclusion or fail to submit the above-mentioned declaration.

### 5.2 Selection Criteria

Bidders will be evaluated against each Selection Criterion based on the documentary evidence submitted by the bidder. Failure to submit the evidence requested will lead to a rejection of the proposal. Bidders will be evaluated against the Selection Criteria on a **pass/fail basis**. Bidders who meet the Selection Criteria will be put on an equal footing for the next stage of the evaluation process based on the Award Criteria.

Bidders shall be evaluated against the following Selection Criteria:

- (1) Professional and Legal Capacity
- (2) Financial and Economic Standing
- (3) Technical Standing

#### (1) Professional and Legal Capacity

Criterion	<ul style="list-style-type: none"> <li>• Bidders must be a registered entity in their home country regulatory agency, as and where applicable, for a minimum of 3 years.</li> <li>• All Bidders must have a current team of at least 5 technical staff members.</li> </ul>
Documentary evidence	<ul style="list-style-type: none"> <li>• Provide a copy of the statutes/registration of the legal entity (in case of a joint proposal the company registration shall be submitted for each partner) and evidence concerning the appointment of the persons authorized to represent the Bidder in dealings with third parties and in legal proceedings.</li> </ul>

#### (2) Financial and Economic Standing

Criterion	<ul style="list-style-type: none"> <li>• Have financial resources and overall financial capabilities to perform with sufficient financial and economic standing to guarantee continuous and satisfactory performance throughout the envisaged lifetime of the contract.</li> <li>• Financial capability tests will be run (Any of the two tests below will be applied to judge financial soundness):             <ul style="list-style-type: none"> <li>○ Liquidity: Current assets/Current liabilities for the last 1 year must be <math>\geq 1</math> (rounding from 0.9 and above), or</li> <li>○ Unqualified audit opinion with no going concern warning.</li> </ul> </li> </ul>
Documentary evidence	<ul style="list-style-type: none"> <li>• Audited financial statements signed by registered auditor(s), annual reports, or other management approved statements/reports showing the liquidity</li> </ul>



	<p>(assets/liabilities) of the bidder for 1 year in the last 2 fiscal years, which must be submitted in English, or</p> <ul style="list-style-type: none"> <li>Unqualified audit opinion with no going concern warning, which must be submitted in English.</li> </ul>
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### (3) Technical Standing

Criteria	<ul style="list-style-type: none"> <li>At least <b>10 completed project references</b> on climate risk assessments, including development of climate adaptation and resilience options, in Africa or South Asia</li> </ul>
Documentary evidence	<ul style="list-style-type: none"> <li>The Technical Proposal contains a section specifically highlighting the experience above.</li> </ul>

The bidders who do not meet all the Selection Criteria shall not proceed to the next evaluation stage and their proposals shall not be evaluated further based on the Award Criteria.

### 5.3 Award Criteria

The Framework Agreement shall be awarded to a **minimum of three (3) bidders that obtained the Minimum Technical Score or more** in accordance with the Award Criteria in the table below, provided that there are enough acceptable tenders to meet this requirement. The Award Criteria will be calculated based on a total of 100 points for the Technical Criteria (TC). There is no Financial Proposal required at this stage, as financial proposals will be requested and evaluated for each Mini-competition process.

**It is important to note that the required Minimum Technical Score for the Technical Proposal is 75%. Only proposals obtaining the Minimum Technical Score or more will be considered for the award of framework agreements.**

Technical Proposals scoring less than the Minimum Technical Score will be considered of insufficient quality and shall be rejected.

Award Criterion	Maximum Score	Minimum Score
<p><b>TC.1. Specific experience of the firm</b>  <i>Please note that:</i>            - A single project reference can be used to meet multiple award criteria.            - The minimum budget for each project should be at least EUR 100,000.            - All project references should be from Africa or South Asia. (Having experience in multiple regions is not a requirement, but firms will only be selected for the locations where they have experience)</p>	<b>42</b>	<b>31.5</b>
<p>TC.1.1. At least 5 project experience references executing climate hazard assessments, preferably in highly data scarce environments.</p>	8	



TC.1.2. At least 5 project experience references in vulnerability assessments in multiple contexts (e.g., places, people, institutions, infrastructures, including assets, systems, services).	8	
TC.1.3. At least 5 project experience references developing climate risk assessments and applying their results to the design and prioritization of climate adaptation and resilience options, including nature-based solutions, for at least two of the following areas: <ul style="list-style-type: none"> <li>• Urban planning and development (e.g., master planning, sectoral planning instruments, informal settlements upgrading, infrastructure development, advising on urban investments, capital planning),</li> <li>• Water supply, sanitation, and water utility services, and</li> <li>• Water resources assessments at catchment/watershed or bulk water supply system level, including modelling supply and demand while integrating climate change projections.</li> </ul>	12	
TC.1.4. At least 3 project experience references managing diverse, governmental and non-governmental, stakeholders and community engagement with participatory approaches.	4	
TC.1.5. At least 3 project experience references showcasing the application of climate risk assessment to influence investment projects of Multilateral Development Banks and other financiers during project preparation or implementation.	6	
TC.1.6. At least 3 project experience references showcasing the application of climate risk assessment to influence any of the following: <ul style="list-style-type: none"> <li>• National governments,</li> <li>• Local governments, and</li> <li>• Project teams leading the preparation and implementation of large-scale investment projects.</li> </ul>	4	
<b>TC.2. Responsiveness to the TORs and proposed methodology</b>	<b>34</b>	<b>25.5</b>
TC.2.1. Demonstration of the following experience about climate risk assessments ( <i>Tasks 1, 2 and 3</i> ):		
<i>TC.2.1.1. Structuring a sound approach for climate hazard, vulnerability, and risk assessments with clear steps, tools, and visualization methods/tools</i>	8	
<i>TC.2.1.2. Adaptability to work in data scarce and informal environments</i>	4	
<i>TC.2.1.3. Innovative approaches (e.g., mitigating data scarcity, improving granularity or localization), including methodologies and approaches developed in-house</i>	4	
TC.2.2. Demonstration of experience, clear steps, tools (including those developed in-house), and visualization methods/tools to identify, prioritize, and conduct economic cost-benefit analysis for climate adaptation and resilience options ( <i>Task 4</i> ).	6	



TC.2.3. Quality and robustness of participatory approach and methods (including those developed in-house) to engage with stakeholders and conduct consultation, awareness raising, and capacity development activities outlined as climate risk dialogues as per TOR (Task 5).	4	
TC.2.4. Demonstration of capability to communicate the results from climate risk assessments to non-technical stakeholders, which should be exemplified through 2 work samples no longer than two (2) A4 pages (Task 5).	4	
TC.2.5. A clear and concise proposal.	4	
<b>TC.3. Firm's expert team and partners (qualifications as per TOR)</b>	<b>24</b>	<b>18</b>
TC.3.1. Overall in-house capacity of the firm	8	
TC.3.2. Qualifications of the firm's experts	8	
TC.3.3. Local partners (i.e. local organizations that have been supporting past project assignments in Africa or South Asia, which are not included among the bidder's consortium partners)	8	
<b>Total Technical Score</b>	<b>100%</b>	
<b>Minimum Technical Score</b>		<b>75%</b>
<b>Contract Award:</b> All bidders that obtain the minimum technical score		
Scoring for each Award Criterion will be conducted using the following scale:		
<ul style="list-style-type: none"> <li>• Excellent</li> <li>• Good</li> <li>• Average = Minimum Technical Score</li> <li>• Below Average</li> <li>• Poor</li> </ul>		

**Proposals scoring less than the Minimum Technical Score for any main technical award criterion (TC.1, TC.2, and TC.3) will be considered of insufficient quality and shall be rejected.**

After careful scoring of each bidder's proposal, GCA will make the final award decision which will be communicated by email to all bidders.

#### **5.4. Content of the Technical Proposal**

The assessment of the technical quality will be based on the ability of the Bidder to meet the purpose of the framework agreement and fulfill the Scope of Work/Terms of Reference (Annex 3). To this end, the **Technical Proposal** shall clearly contain the following information, to allow evaluation of their offer according to the technical award criteria stated in the Award Criteria (Section 5.3):

- Company profile including list of clients with contacts for obtaining a reference. The company could also include available reference letters.
- Summary table showcasing the reference projects and demonstrating the bidder's technical, regional and sectorial experience relevant for the Scope of Work/Terms of Reference. For each project listed, the Technical Proposal must clearly state at least the



bidder's contribution to the project, the project's outcome, and which field of experience the project illustrates.

- Consortium organogram with name and role of each team member.
- Summary table and expert profiles that demonstrates the qualifications and experience of company's technical staff that match the technical capacity and skills requirements as described in Section 6 of the Scope of Work/Terms of Reference. (If the bidder is a consortium, this information should be disaggregated by consortia partner.)
- Summary overview of local partner organizations that have been supporting past project assignments in Africa or South Asia (which are not included among the bidder's consortium partners) and detailed profiles of at least three (3) such partner organizations as described in Section 6 of the Scope of Work/Terms of Reference.

**Technical Proposal Appendixes** should include at least the following mandatory requirements:

- Two (2) work samples no longer than two (2) A4 pages which demonstrate company's capability to communicate the results from climate risk assessments to non-technical stakeholders.
- Tailored CVs to illustrate the capacity and core competencies of the firm.
- All information/documents requested in Sections 2 and 5 of this Request for Proposal.

## 6. RFP Cancellation

GCA reserves the right to cancel this RFP process at any point. GCA shall not be liable for any compensation with respect to interested bidders whose submissions have not been accepted, nor shall it be liable if it decides not to award the contract.

Cancellation may occur where:

- 1) The RFP procedure has been unsuccessful. For example, where no technically/financially responsive proposal has been received or there has been no response at all.
- 2) The economic or technical parameters of the project have been fundamentally altered.
- 3) Exceptional circumstances or force majeure render normal performance of the project impossible.
- 4) All technically compliant proposals significantly exceed the financial resources available.
- 5) There have been irregularities in the procedure where these have prevented fair competition.

In the event of cancellation of RFP procurement proceedings, bidders will be notified by GCA.

## 7. Ownership of Proposals Submitted

Any document submitted in reply to this RFP will become the property of GCA and will be regarded as confidential.





## 8. Liabilities for Errors

GCA, its employees and agents shall not be held liable or accountable for any error or omission in any part of this RFP or response to bidders' questions. While the GCA, and/or its employees and agents have made conscious efforts to ensure an accurate representation of information in this RFP, the information contained in the RFP is supplied solely as a guideline for bidders. The information is not guaranteed or warranted to be accurate by GCA, and/or its employees or agents, nor is it necessarily comprehensive or exhaustive. Nothing in this RFP is intended to relieve bidders from the responsibility of conducting their own investigations and research and forming their own opinions and conclusions with respect to the matters addressed in this RFP. Bidders will be solely responsible to ensure that their proposal meets all requirements of the RFP, to advise GCA immediately of any apparent discrepancies or errors in the RFP, and to request clarification if in doubt concerning the meaning or intent of anything in the RFP.

## 9. Additional Provisions

- Changes to proposals will be accepted only if they are received before the final date set for the receipt of proposals.
- GCA will not reimburse any costs incurred by Bidders in connection with the preparation and submission of their responses to this open Request for Proposal.
- No information of any kind will be given on the state of progress regarding the evaluation of proposals.
- GCA reserves the right to cancel the tendering procedure at any point. GCA shall not be liable for any compensation with respect to Bidders whose proposals have not been accepted. Nor shall it be so liable if it decides not to award the contract.
- Direct or indirect canvassing of any GCA employee by a Bidder concerning this Call for Tender or any attempt to obtain unfair advantage from any GCA employee, may result in the disqualification of the Bidder from consideration for this Request for Proposal.
- GCA is concerned to avoid conflict of interest. Bidders should note that GCA reserves the right to disqualify Bidders where there is an actual or potential conflict of interest.
- Any document submitted in reply to this Request for Proposal will become the property of GCA and shall be considered confidential.





## Annex 1: Bidder Identification Form

GCA-PR-24-609: Request for Proposal for Comprehensive and Rapid Climate Risk Assessments (RCRAs) in Africa and Asia

<b>IDENTIFICATION OF BIDDER</b>	
Full Legal Name of the Firm	
Legal Form (Partnership, LLC, Corporation, etc.)	
Date of Registration	
Country of Registration	
Registration Number	
VAT Number	
Address	
E-mail	
Authorized Signatory	
Authorized Signatory's E-mail address	

<b>PROCUREMENT ADVERT/OPPORTUNITY</b>	
How did you find out about this procurement? (Devex, dgMarket, GCA website, UNDB, GCA invite, etc.)	

<b>CONTACT POINT FOR THIS PROCUREMENT</b>	
Name	
Position	
Company	
Telephone Number	
E-mail	



<b>BIDDER'S BANK ACCOUNT INFORMATION</b>	
Bank account holder's full name: (must match the legal name above)	
Name and address of recipient's bank:	
Recipient's account number/IBAN:	
Recipient bank's SWIFT or BIC code:	
Recipient bank's routing information:	
Bank account currency:	

<b>SIGNATURE OF PROPOSAL</b>	
<p>I, the undersigned, confirm: The acceptance of the conditions in the Request for Proposal. The acceptance of the contract terms and conditions in their entirety and without reservation. That the period of validity of my proposal is <b>120 days</b> from the deadline of this Request for Proposal. Compliance with the requirements relating to the Scope of Work/Terms of Reference as defined in Annex 3 of this Request for Proposal, and That the information given in this proposal is correct.</p>	
Place and Date	
Signature (Authorized representative)	
Full Name	



## Annex 2: Declaration on Honor

The undersigned [*insert name*]....., representing:

Full official name: Official legal form: Statutory registration number: Full official address: VAT registration number: ('the <b>Organization</b> ')
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### I – Situations of exclusion

(1) declares that the above-mentioned Organization is in one of the following situations:	YES	NO
(a) it is bankrupt, subject to insolvency or winding-up procedures, its assets are being administered by a liquidator or by a court, it is in an arrangement with creditors, its business activities are suspended, or it is in any analogous situation arising from a similar procedure;	<input type="checkbox"/>	<input type="checkbox"/>
(b) it has been established by a final judgement or a final administrative decision that the Organization is in breach of its obligations relating to the payment of taxes or social security contributions in accordance with the applicable law;	<input type="checkbox"/>	<input type="checkbox"/>
(c) it has been established by a final judgement or a final administrative decision that the Organization is guilty of grave professional misconduct by having violated applicable laws or regulations or ethical standards of the profession to which the Organization belongs, or by having engaged in any wrongful conduct which has an impact on its professional credibility where such conduct denotes wrongful intent or gross negligence, including, in particular, any of the following:		
(i) fraudulently or negligently misrepresenting information required for the verification of the absence of grounds for exclusion or the fulfilment of eligibility or selection criteria or in the performance of a contract or an agreement;	<input type="checkbox"/>	<input type="checkbox"/>
(ii) entering into agreement with other parties with the aim of distorting competition;	<input type="checkbox"/>	<input type="checkbox"/>
(iii) violating intellectual property rights;	<input type="checkbox"/>	<input type="checkbox"/>
(iv) attempting to influence the decision-making process of the contracting authority during the award procedure;	<input type="checkbox"/>	<input type="checkbox"/>
(v) attempting to obtain confidential information that may confer upon its undue advantages in the award procedure;	<input type="checkbox"/>	<input type="checkbox"/>



(d) it has been established by a final judgement that the Organization is guilty of any of the following:		
(i) fraud, as defined in applicable laws and regulations;	<input type="checkbox"/>	<input type="checkbox"/>
(ii) corruption, as defined in applicable laws and regulations;	<input type="checkbox"/>	<input type="checkbox"/>
(iii) conduct related to a criminal organization;	<input type="checkbox"/>	<input type="checkbox"/>
(iv) money laundering or terrorist financing, as defined in applicable laws and regulations;	<input type="checkbox"/>	<input type="checkbox"/>
(v) terrorist offences or offences linked to terrorist activities, or inciting, aiding, abetting, or attempting to commit such offences;	<input type="checkbox"/>	<input type="checkbox"/>
(vi) child labor or other offences concerning trafficking in human beings as defined in applicable laws and regulations;	<input type="checkbox"/>	<input type="checkbox"/>
(e) it has been established by a final judgment or final administrative decision that the Organization has created an entity under a different jurisdiction with the intent to circumvent fiscal, social or any other legal obligations in the jurisdiction of its registered office, central administration, or principal place of business.	<input type="checkbox"/>	<input type="checkbox"/>

## II – Situations of exclusion concerning natural or legal person with power of representation, decision-making or control over the legal Organization and beneficial owners

(2) declares that a natural or legal person who is a member of the administrative, management or supervisory body of the Organization, or who has powers of representation, decision, or control with regard to the above-mentioned Organization (this covers e.g., company directors, members of management or supervisory bodies, and cases where one natural or legal person holds a majority of shares) is in one of the following situations:	YES	NO	N/A
Situation (c) above (grave professional misconduct)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Situation (d) above (fraud, corruption, or other criminal offence)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Situation (e) above (creation of an entity with the intent to circumvent legal obligations)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## III – Remedial measures

If the Organization declares one of the situations of exclusion listed above, it must indicate



measures it has taken to remedy the exclusion situation, thus demonstrating its reliability. This may include e.g., technical, organizational and personnel measures to prevent further occurrence, compensation of damage or payment of fines or of any taxes or social security contributions. The relevant documentary evidence which illustrates the remedial measures taken must be provided in annex to this declaration. This does not apply for situations referred to in point (d) of this declaration.

#### IV – Evidence upon request

Upon request the Organization must provide information on natural or legal persons that are members of the administrative, management or supervisory body or that have powers of representation, decision, or control, including legal and natural persons within the ownership and control structure and beneficial owners. It must also upon request provide production of recent certificates issued by the competent authorities and/or a recent extract from the judicial record or, failing that, an equivalent document recently issued by a judicial or administrative authority in the country of establishment of the Organization showing that those requirements are satisfied. These documents must provide evidence covering all taxes and social security contributions for which the Organization is liable, including for example, VAT, income/company tax and social security contributions.

#### V – Final

The signatory declares that the above-mentioned Organization has truthfully provided the information herein.

The above-mentioned Organization shall immediately inform the contracting authority of any changes in the situation as declared.

The above-mentioned Organization may be subject to rejection from the contracting or selection procedure and to legal claims if any of the declarations or information provided as a condition for contracting with GCA prove to be false.

The above-mentioned Organization will comply with the UN Supplier Code of Conduct, to the extent applicable. The code is available on: <https://www.un.org/Depts/ptd/about-us/un-supplier-code-conduct>.

Full name:

Date:

Signature (authorized representative):



## Annex 3: Scope of Work/Terms of Reference

### 1. Objective

GCA's Water and Urban Program provides technical assistance for cities and national governments to identify priorities for climate adaptation investment. This is done together with Multilateral Development Banks and other partners that support water services, urban development and informal settlements upgrading.

**Comprehensive Climate Risk Assessments** typically serve some or all of the following purposes for water services, urban development, and informal settlements upgrading:

- Informing detailed planning and design of investment projects, sector master plans, resilience and community resilience investments. As part of this process, adaptation options are prioritized, including nature-based solutions (NbS).
- Development of investment plans, adaptation project concepts, and other actions to enable adaptation financing.

**Rapid Climate Risk Assessments** typically serve some of or all the following purposes:

- Initiating or advancing a dialogue between city officials and financiers (currently Multilateral Development Banks and other development banks) towards an urban investment portfolio that is informed by climate risk.
- Building capacity and aligning city decision makers around a climate adaptation agenda that has clear priorities.
- A precursor for detailed climate risk assessment and resilience options appraisal linked to a specific urban investment.

Within this context, GCA is seeking Service Provider(s) to enter into a Framework Agreement to carry out Comprehensive and Rapid Climate Risk Assessments in Africa and South Asia.

### 2. Tasks and activities

Both rapid and comprehensive climate risk assessments typically comprise of the tasks outlined below. The granularity and level of detail of each task will depend on the objectives and scope of work of individual assignments, which will be specified in each Work Order.

#### Key tasks

##### 1. Climate hazard assessment

For rapid climate risk assessments, key climate hazards are typically analyzed from secondary data and/or global data (e.g., major databases and credible sources), and from interviews and discussions with key stakeholders.

For comprehensive assessments, remote sensing and other data from monitoring stations are also used to estimate parameters needed for hydrological and hydraulic modeling as appropriate.



This task may include:

- Historical analysis and future projection of temperature and precipitation for at least two (2) time horizons and two (2) emissions scenarios.
- Historical analysis and future projection of climate hazards over at least two (2) time horizons with estimates of intensity, frequency, and duration.
- Development of climate hazard maps with validation by relevant officials and key stakeholders.
- Rapid scan of climate hazards using global data for multiple cities.

## 2. Vulnerability assessment

Analysis of the sensitivity and adaptive capacity of systems (e.g., places, people, institutions, infrastructures, including assets, systems, services) when exposed to key hazards. The impacts of hazards on systems are prioritized and mapped to identify hotspots. For some assignments, detailed analysis is done for hotspots.

In some cases, methodologies may be adapted to place emphasis on informal settlements and vulnerable groups.

This task may include:

- For infrastructure systems, physical assets and facilities, and economic land-uses: estimation of flood impacts from direct asset or economic damage and/or indirect service disruption.
- For rapid city assessments: identification of key city sectors, sub-sectors, infrastructures, assets, services and service chains, urban areas, and/or communities that will be impacted by hazards.
- Combination of climate hazard assessment and the most relevant non-climatic trends (e.g., demographics; socio-economic development), to identify the areas where most people will be affected by hazards.
- Identification of enabling environment factors (e.g., governance and institutions, policies, regulations, technical/technological capacities of stakeholders, community dynamics, and funding and financing mechanisms) that influence the system's sensitivity and adaptive capacity to respond to climate hazards and their impacts.
- Identification of any societal, environmental, or economic factors that either worsen impact or that lead to beneficial impacts.

## 3. Climate risk assessment

Task 3 combines outputs of tasks 1 and 2 and aims to produce risk maps and/or risk matrices (which can be for, but not limited to, sectors, sub-sectors, infrastructures, assets, services and service chains, urban areas, and/or communities).

This task may include:

- Development of climate risk maps and matrices as a function of hazard likelihood and impact severity given the level of system vulnerability
- Validation and prioritization of climate risk assessments with key stakeholders.
- Dissemination and communication of climate risks to relevant stakeholders.



#### 4. Climate adaptation and resilience options assessment

Task 4 builds on the outputs of tasks 1, 2, and 3, and aims to prioritize climate adaptation and resilience options/solutions to address climate risks (which can be of, but not limited to, sectors, sub-sectors, infrastructures, assets, services and service chains, urban areas, and/or communities).

This task may include:

- Development of a menu of climate adaptation and resilience options, building on the outputs from tasks 1-3.
- Identification and development of criteria for prioritizing climate adaptation and resilience options, through multi-criteria analyses, economic cost-benefit analyses, or other methods.
- Prioritization of climate adaptation and resilience options.
- Validation of climate adaptation and resilience options by key stakeholders.
- Detailed economic cost-benefit analyses of climate adaptation and resilience options.
- Dissemination of climate adaptation and resilience options, including their investment rational, to relevant stakeholders.

#### 5. Climate risk dialogues and stakeholder engagement

The multiple purposes of climate risk assessments (e.g., influencing the design of an investment project or influencing upstream investment planning), the uniqueness of clients (cities, national governments, and communities), and other project and location contextual factors (society, economy, nature, informality) make stakeholder and community engagement essential for all tasks. Thus, this task is fully integrated into tasks 1 to 4 but is described separately given its importance.

This task may include:

- Consultation workshops, meetings, key informant interviews, and other stakeholder engagement and participatory approaches, methods, and tools – both in-person and online, based on the nature of the assignment. Due to the presence of informal settlements in many cities within the assignment’s geographic area, stakeholder engagement may need to be adapted to this context, when needed.

Technical proposals should briefly describe the purposes and methodological approaches of stakeholder engagement

- Communication of technical results to non-technical stakeholders.

Technical proposals should also include two (2) work samples (no longer than 2 A4 pages) that demonstrate the firm’s capacity to communicate the results from climate risk assessments to non-technical stakeholders.

The proportional Level of Effort (LOE) between steps 1-3 may differ for each Work Order. The full scope, detail, intensity, and work effort associated with the services will be defined with each





Work Order. However, the Service Provider(s) are required to be able to complete all general tasks and activities listed above.

An estimated 12 assignments are planned (mostly for cities) over a period of two years in Africa and South Asia.

### 3. Expert Team

The Service Provider(s) should demonstrate capacity to mobilize the in-house expertise and local partners in Africa and South Asia, with which they've previously worked with.

Each Work Order will have its own expertise requirements. Firms are not obliged to propose the same experts for the Work Orders.

The Technical Proposal should:

- (1) Provide a concise overview (not more than 3 to 4 pages) presenting relevant methodologies that have been used to carry out climate hazard, vulnerability, and risk assessments, climate adaptation and resilience options assessments, stakeholder engagement and/or climate risk dialogues. Innovative methods and approaches that have been developed in-house and methodologies applicable for data scarce environments should be highlighted.
- (2) Indicate the number of technical staff that meets the requirements for the roles listed in the table below. (If the bidder is a consortium, this information should be disaggregated by consortia partner.)
- (3) Indicate the number of technical staff that would meet the qualification to be a Team Leader, that means that this expert would meet the requirements of any of the roles below with at least 8 years' experience or at least 8 relevant project references. (If the bidder is a consortium, this information should be disaggregated by consortia partner.)
- (4) Provide profiles of three (3) technical staff members for at least three (3) expert categories, which should include:
  - a. short bios for each expert (no longer than a half A4-page),
  - b. language skills of each expert, and
  - c. a list of the projects they worked on and their role, which were part of the list demonstrating "specific experience of the firm" (no longer than a half A4-page).

The CVs of the experts should be presented as an appendix; however, the evaluation will focus primarily on maximum 15 expert profiles.

- (5) Provide an overview of local partner organizations that have been supporting past project assignments in Africa or South Asia, and provide detailed profiles of at least three (3) such partner organizations, which should include:
  - a. Brief description of the local partner organization (no longer than a half A4-page), highlighting their expertise and capabilities. These expertise areas could include, but not limited to,
    - climate modelling,
    - climate hazard exposure, vulnerability, and risk assessments,
    - social development,



- economic analysis of projects,
  - nature-based solutions and green infrastructure development,
  - stakeholder engagement, and
- b. capacity building on relevant fields (e.g. climate resilience, NbS, resilient water resources management), including training development and delivery. A list of projects, with the Service Provider has previously worked with the local partner and the partner’s role in the project.

Role	Requirements
<b>Climate Risk Assessment Expert</b>	<ul style="list-style-type: none"> <li>• A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to:               <ul style="list-style-type: none"> <li>○ Climate hazard, vulnerability and risk assessments.</li> <li>○ Advising, developing, or managing large-scale investment projects (preferably urban and or water), especially with Multilateral Development Banks.</li> <li>○ Stakeholder engagement for facilitating urban planning or development, urban climate resilience, water management, or large-scale investment projects.</li> </ul> </li> <li>• At least a master’s degree in hydrology, engineering, climate sciences or a field related to climate risk assessment.</li> </ul>
<b>Urban Development and Planning Expert</b>	<ul style="list-style-type: none"> <li>• A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to:               <ul style="list-style-type: none"> <li>○ Urban planning or development (such as master planning, sectoral planning instruments, informal settlements upgrading, urban infrastructure development, urban capital planning).</li> <li>○ Climate risk assessment for urban resilience.</li> <li>○ Advising, developing, or managing large-scale urban investment projects, especially with Multilateral Development Banks.</li> <li>○ Stakeholder engagement for facilitating urban planning or development, urban climate resilience, water management, or large-scale investment projects.</li> </ul> </li> <li>• At least a master’s degree in urban planning, architecture, engineering or a field relevant to urban planning and development.</li> </ul>
<b>Water Management Expert</b>	<ul style="list-style-type: none"> <li>• A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to:</li> </ul>



	<ul style="list-style-type: none"> <li>○ Water resources assessments at catchment/watershed or bulk water supply system level including modelling supply and demand while integrating climate change projections.</li> <li>○ Advising, developing, or managing large-scale urban investment projects, especially with Multilateral Development Banks.</li> <li>○ Stakeholder engagement for facilitating water resources planning and management, climate resilience, or large-scale investment projects.</li> <li>● At least a master’s degree in water resources management, hydrology, engineering or a field related to water resources management.</li> </ul>
<p><b>Water Services Expert</b></p>	<ul style="list-style-type: none"> <li>● A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to: <ul style="list-style-type: none"> <li>○ Water supply, sanitation, and services related assessments, integrating climate change projections.</li> <li>○ Advising on, developing or managing large scale water service utility investment projects, especially with Multilateral Development Banks.</li> <li>○ Stakeholder engagement for facilitating water resources planning and management, climate resilience, or large-scale investment projects.</li> </ul> </li> <li>● At least a master’s degree in water resources management, hydrology, engineering or a field related to water services management.</li> </ul>
<p><b>Nature-based Solutions (NbS) Expert</b></p>	<ul style="list-style-type: none"> <li>● A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to: <ul style="list-style-type: none"> <li>○ Environmental science and ecology with a deep understanding of NbS and their environmental impacts.</li> <li>○ Integration of NbS to the design and implementation of infrastructures and to urban areas, particularly for informal settlements in Africa or South Asia.</li> <li>○ Advising, developing, or managing large-scale urban investment projects, especially with Multilateral Development Banks.</li> <li>○ Stakeholder engagement for facilitating NbS for urban climate resilience or large-scale investment projects.</li> </ul> </li> <li>● At least a master’s degree in civil engineering, environmental engineering, landscape architecture, environmental science, ecology or a field related to NbS.</li> </ul>
<p><b>Development or Infrastructure Economist</b></p>	<ul style="list-style-type: none"> <li>● A minimum of 5 years’ experience or at least 5 project references are required. Experience and project references should be related to: <ul style="list-style-type: none"> <li>○ Economic and financial cost-benefit analyses for climate adaptation and resilience solutions, including urban infrastructure, urban services, informal settlements upgrading and/or nature-based solutions projects.</li> </ul> </li> </ul>



- |  |  |
|--|--|
|  | <ul style="list-style-type: none"><li>○ Economic and financial analyses involving informal settlements in Africa and South Asia.</li><li>○ Advising, developing, or managing large-scale urban investment projects, especially with Multilateral Development Banks.</li><li>○ Stakeholder engagement for facilitating economic and financial analyses for climate resilience or large-scale investment projects.</li><li>● At least a master's degree in economics, development economics or environmental economics or a related field.</li></ul> |
|--|--|



## Annex 4: Framework Agreement

**FRAMEWORK AGREEMENT NO. [INSERT NUMBER]**  
**(MINI-COMPETITION)**  
BETWEEN  
**THE GLOBAL CENTER ON ADAPTATION**  
AND  
**[CONTRACTOR]**  
FOR  
**[CONTRACT TITLE]**

This Agreement is entered into between the **Global Center on Adaptation**, a foundation, incorporated under Dutch law, having its headquarters at Antoine Platekade 1006, 3072 ME Rotterdam, The Netherlands (hereinafter referred to as the “**GCA**”) duly represented by Prof. Dr. Patrick Verkooijen and **[Contractor]**, registered at **[Contractor’s address]** and duly represented by **[Contractor’s authorized representative]** (hereinafter referred to as the “**Contractor**”). The GCA and the Contractor are collectively referred to herein as the “Parties”, and individually as a “Party”.

### WITNESSETH

#### WHEREAS:

- a) The Global Center on Adaptation (GCA) is an international organization that works as a solutions broker to catalyze action and support for adaptation solutions, from the international to the local, in partnership with the public and private sector, to ensure we learn from each other and work together for a climate resilient future;
- b) The Contractor represents that it possesses the requisite knowledge, skill, personnel, resources and experience, and that it is fully qualified, ready, willing and able to provide such services in accordance with the terms and conditions set forth in this Contract;
- c) The Parties elect to enter into a contract only on the basis of a contract for services within the meaning of Article 7:400 and further of the Dutch Civil Code (*BW*);
- d) The Parties explicitly acknowledge that they do not elect to enter into an employment agreement within the meaning of Book 7610 and further of the Dutch Civil Code;
- e) The Parties explicitly acknowledge that a fictitious employment (“*fictieve dienstbetrekking*”) of homeworkers (“*thuiswerkers*”) or equivalent workers (“*gelijkgestelden*”) as referred to in Articles 2b and 2c of the Implementing Decree Wage



Tax Act 1965 (*Uitvoeringsbesluit Loonbelasting 1965*) and Articles 1 and 5 of the Decree of December 24, 1986, Stb. 1986, 655 are out of scope and therefore agree upon this contract before payment;

- f) This Contract is consistent with the model contract ("*modelovereenkomst*") published by the Dutch Tax Authorities (DTA) on 29-02-2016 under number 9015550000-06-2;
- g) The Parties have agreed to establish a framework for their collaboration and have for this purpose entered into this Framework Agreement (hereinafter referred to as the "**Agreement**" or "**Framework Agreement**") and wish to set out the terms and conditions.

**NOW THEREFORE**, in consideration of the mutual promises and covenants herein contained, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

## ARTICLE 1

### 1. Agreement Documents

- 1.1 This document, together with the Annexes attached hereto and referred to below, all of which are incorporated herein and made part hereof, constitute the entire contract between the GCA and the Contractor for the provision of **[Contract title/description of the services]** (the/this "Agreement" or "Framework Agreement"):

Annex 1	Statement of Acknowledgment
Annex 2	Declaration on Honor
Annex 3	Terms of Reference/Statement of Work/Specifications
Annex 4	Work Order
Annex <b>XX</b>	<b>Xxxx (Other necessary Annexes)</b>

- 1.2 The documents comprising this Agreement are complementary of one another, but in case of ambiguities, discrepancies or inconsistencies between or among them, the following order of priority shall apply:

- 1.2.1 This document,
- 1.2.2 Annex 1 – Statement of Acknowledgment,
- 1.2.3 Annex 2 – Declaration on Honor,
- 1.2.4 Annex 3 – Terms of Reference/Statement of Work/Specifications, and
- 1.2.5 Annex 4 – Work Order
- 1.2.6 Annex **XX** – **Xxxx (Other necessary Annexes)**

- 1.3 This Agreement embodies the entire contract between the Parties regarding the subject matter hereof and supersedes all prior representations, agreements, contracts and proposals, whether written or oral, by and between the Parties on this subject. No



promises, understandings, obligations or agreements, oral or otherwise, relating to the subject matter hereof exist between the Parties except as herein expressly set forth.

- 1.4 Any notice, document or receipt issued in connection with this Agreement shall be consistent with the terms and conditions of this Contract, and in case of any ambiguity, discrepancy or inconsistency, the terms and conditions of this Contract shall prevail.
- 1.5 The following documents are referred to in this Agreement only as aids in interpretation of the rights and obligations of the Parties under the Contract but shall not be construed, for any purposes or under any circumstances, as creating any such rights or obligations:
  - 1.5.1 Request for Proposal GCA-PR-2X-XXX dated 7 May 2024,
  - 1.5.2 Addenda No. XX, XX dated 7 May 2024, 7 May 2024 respectively,
  - 1.5.3 Annex 5 – Contractor’s Proposal in response to Request for Proposal GCA-PR-2X-XXX.
- 1.6 The documents referred to in Article 1.5 above are not attached hereto but are known to, and in the possession of, the Parties.

## ARTICLE 2

### 2. General

- 2.1 The present Agreement is given a framework character due to the fact that the project requires a high flexibility by GCA to detail work undertaken by the Contractor, but it will be filled with project tasks in the form of Work Orders with defined outputs and deliverables.
- 2.2 The Contractor will assume full professional responsibility for quality, quantity and schedule of the required work and outputs vis a vis GCA as a customer.
- 2.3 The Contractor undertakes, based on the GCA requirements as described in the Agreement, Scope of Services and individual Work Orders, and for the whole duration of the Contract:
  - 2.3.1 to perform the work and services as per the Statement of Work and individual Work Orders;
  - 2.3.2 to make available for these tasks the necessary personnel, material, equipment and facilities;
  - 2.3.3 to undertake work according to the Work Order procedure specified in Clause 6 to the present Agreement.

## ARTICLE 3

### 3. Contractual Baseline

- 3.1 The work shall be performed in accordance with the specific Articles of this Agreement, its Annexes as well as with the applicable documents called out therein, applicable in whole,



or in part, to the extent quoted or relevant, and all of which, in their latest agreed issue, are defined as the contractual baseline of the Agreement, and referred to either as such or as " Framework Agreement", or "Contract", unless otherwise expressly stated.

- 3.2 Within this contractual baseline, the order of precedence, in case of conflict or for the sake of interpretation, shall be:
  - 3.2.1 This Contract and its Annexes including the Scope of Services and related Annexes;
  - 3.2.2 Each subsequent Work Order placed including its associated Statement of Work;
  - 3.2.3 The Contractors Proposal for the Framework Agreement;
  - 3.2.4 The Contractors Proposal for each Work Order;

#### ARTICLE 4

##### 4. Nature of the Framework Agreement

- 4.1 This Agreement does not constitute any specific project or contract and it does not constitute any obligation or commitment by either Party to enter into any subsequent contracts. It does not create any financial obligation on either Party towards the other Party other than through signed executed Work Orders.
- 4.2 Any activity for GCA (as set out in, and accordance with, the Statement of Work issued by GCA for the procurement of **(PROCUREMENT TITLE)**, and the Contractor's response thereto) in the areas of:
  - 4.2.1 **(List type of Services)**
  - 4.2.2

under this Agreement shall be organized on the basis of specific Works Orders, which shall be laid down in a separate contract ("Work Order"). Each Work shall be signed by the Parties and appended to this Agreement.

- 4.3 The Parties may negotiate and agree additional or alternative terms and conditions ("**Specific Conditions**") that will apply to specific Work Orders under this Agreement on a case-by-case basis. The detailed terms of Specific Conditions shall be laid down in the relevant Work Order.

#### ARTICLE 5

##### 5. Price

- 5.1 The total maximum financial envelope for the required services during the entire Framework Agreement period referred to herein, is estimated at **(AMOUNT IN EURO)** The actual amount for each Service Provider will be determined by individual Work Orders, priced based on the detailed Scope of Services for each project. There will be no obligation for GGA to request or obligate itself to a minimum value of services during the duration of





the Framework Agreement or obligate issuing a minimum number of Work Orders during the course of the Framework Agreement with the Service Provider/s.

- 5.2 The above amount shall be defined as the Limit of Liability of GCA.
- 5.3 This amount will become incrementally available for performance of tasks according to the Work Order procedure (see Clause 6). Each Work Order will be concluded on a firm fixed price Basis.
- 5.4 The cumulative amount of all Work Orders shall in no case exceed the amount of the Limit of Liability specified above.

## ARTICLE 6

### 6. Work Order Procedure (Mini-competition)

- 6.1 GCA may during the course of the contract, identify tasks to be performed by the Contractor within the scope of the contract by issuing requests to the Contractor. To this end GCA may initiate a Mini-competition process to support specific projects by sending a specific Request for Proposal (RFP) via email to Contractors within the Framework Agreement. Upon written request by GCA, the Contractor shall submit a technical and financial proposal within the time limit specified by GCA in such request.
- 6.2 Each RFP shall include, as a minimum, the following:
  - 6.2.1 **Services:** A specification of the services to be performed;
  - 6.2.2 **Deliverables:** A specification of any reports or other documents, materials, or services to be delivered;
  - 6.2.3 **Time schedule:** Time schedule and any deadlines for the services and deliverables.
- 6.3 Following receipt of such RFP, the Contractor shall promptly and in any event within a time specified (taking into account all relevant circumstances in relation to the subject matter and nature of the RFP) notify GCA of its confirmation to take part in the Mini-competition Procedure and submit a (technical and financial) proposal for that specific project or notify GCA it will not bid.
- 6.4 The Contractor's proposal shall include, as a minimum, the following:
  - 6.4.1 Consultant's experience in undertaking similar assignments;
  - 6.4.2 Methodology and work plan for undertaking the assignment;
  - 6.4.3 Personnel proposed for the assignment with CVs;



- 6.4.4 Total Firm Fixed Price in EURO for the activities, including travel if any, with and travel/subsistence plan as per the Financial Proposal Form;
- 6.4.5 Other requirements as specified in the Mini-competition RFP.
- 6.5 To conclude the Mini-competition Procedure, GCA shall evaluate proposals received and determine the successful Contractor for the specific project's Work Order.
- 6.6 The criteria for awarding each Work Order shall be based on a price-quality approach, least cost approach or other criteria as specified in the applicable Request for Proposal.
- 6.7 Upon mutual agreement between the Parties regarding the contents of the Work Order, including any potential Specific Conditions, the Work Order shall be formally executed by their duly authorized representatives.
- 6.8 GCA will issue a Work Order following the form given as Annex 1 hereto. Only upon signature of this Work Order by both Parties shall the Contractor be deemed authorized to perform the work. If, however, for urgency reasons, GCA decides to provide the Contractor with a preliminary authorization in writing to proceed with the work foreseen under a Work Order, such authorization (following the approval of GCA's signatory of this Agreement and subject to availability of funds) will be binding for both Parties upon agreement on the work to be performed, the price to be paid and the schedule for the work.
- 6.9 Work under this Work Order procedure can be placed until the end of this Framework Agreement. GCA reserves the right to redirect work, at no cost impact, within specific Work Orders in the same area of work. The parties will agree the implementation of such a redirection.

## ARTICLE 7

### **7. Undertakings of the Contractor**

- 7.1 The Contractor has, and shall maintain, the capability of performing the services to be rendered under this Agreement and its Work Orders with sufficient flexibility.
- 7.2 The Contractor shall:
  - 7.2.1 Be responsible for the proper performance of the services to be rendered under the Contract and its Work Orders and shall keep his personnel fully up to date of any development in relation with the said services.
  - 7.2.2 Bear all the costs (and salaries of personnel) related to the training and keeping up to date technical knowledge of his personnel (participation to training courses, seminars, conferences, etc.).
  - 7.2.3 Perform the tasks and provide the deliverables described in the present Contract and individual Work Orders.



- 7.2.4 Be responsible for organizing quarterly reviews to discuss with GCA representatives all matters related to the performance of the Agreement/Work Orders. The Contractor shall also arrange ad hoc meetings if required by the circumstances. The Contractor shall provide minutes of all meetings in two copies.
- 7.2.5 The Contractor, upon request of GCA, shall provide reasonable assistance to any successor Contractor, on a temporary or definitive basis if needed.

## ARTICLE 8

### 8. Costs

- 8.1 Each Party shall bear its own costs and expenses incurred in connection with this Agreement, including the negotiation and finalization of any Work Order.

## ARTICLE 9

### 9. Standard of Performance

- 9.1 The Contractor shall use the degree of skill, care and diligence reasonably expected of a professional and experienced contractor providing works and services similar to those carried out under this Agreement and any Work Orders. Any activity and deliverables provided by the Contractor shall be suitable for their intended purpose. The Contractor undertakes to carry out the work defined under the present Agreement/specific Work Orders to the reasonable professional standards and in accordance with the latest state of the art as appropriate.
- 9.2 The Contractor shall carry out the works or services under each Work Order in accordance with applicable laws and regulations, permits and authorizations, and recognized up-to-date professional practices and standards.
- 9.3 The Contractor shall:
- 9.3.1 Keep GCA fully informed of the progress of the services under any Work Orders, including providing GCA with such reports in writing as may be specified in the Statement of Work or as GCA may otherwise reasonably require;
  - 9.3.2 Attend meetings and briefings with the staff of GCA as reasonably required by GCA; and
  - 9.3.3 Take all other reasonable actions which are necessary to enable GCA to monitor the technical and financial performance of the services and works being executed under any Work Order.
- 9.4 As regards documentation and reports, should the GCA's Technical Officer not accept the deliverables from the Contractor, he/she shall so inform the Contractor. If no decision has been notified to the Contractor within one month of receipt by GCA of the deliverables, the deliverables shall be considered as having been accepted. Notwithstanding the aforesaid,



the Contractor's obligation to bring a non-conforming deliverable up to the required standard shall remain unaffected.

- 9.5 Rejected deliverables must be rendered compliant with GCA's requirements and represented for acceptance within a time scale fixed in writing by GCA.
- 9.6 Failure to comply with the obligations of the Work Order determines the application of monetary penalties in the terms to be defined in each Work Order.
- 9.7 Penalties for late delivery are not applicable unless specifically incorporated in a Work Order. Notwithstanding anything to the contrary in the Agreement or in the Works Order, the penalties for delay shall be limited to 10% of the value of the Work Order, and shall not be considered as the sole and exclusive remedy for late delivery.

## ARTICLE 10

### 10. Duration and Termination

- 10.1 This Agreement shall commence on **22 January 2024** (hereinafter referred to as the "Effective Date") and shall remain in effect until **22 January 2025**, at which date it shall automatically terminate unless prolonged by the Parties in writing. It is renewable for a further **one (1) year subject to performance and availability of funds**, and its total duration may not exceed **four (4) years**.
- 10.2 Subject to the conditions laid down in Clause 6 (Work Order Procedure) of the present Agreement, the Agreement shall be deemed automatically extended to cover the period needed for the performance of any Work Order signed by both Parties before the end date of the contract mentioned above.
- 10.3 GCA may, in its sole discretion, terminate this Agreement at any time by 3 (three) months written notice to the Contractor. As soon as this notice is received, the Contractor shall do its best efforts to minimize expenditure related to this Agreement or any Work Order under it.
- 10.4 Each Party may terminate this Agreement if the other Party is in material breach of its obligations under this Agreement or any Work Orders and fails to rectify such breach within 30 days of a notice in writing from the complaining Party, or if the other Party should enter into liquidation, or become insolvent or be declared bankrupt.
- 10.5 Upon any termination of this Agreement, the Contractor shall promptly deliver to GCA all documents and other information prepared or received in connection with this Agreement or any Work Order, whether completed or in progress, which are in the Contractor's possession.



- 10.6 Notwithstanding termination of this Agreement in accordance with Clauses 10.2 and 10.3 above, on-going Work Orders shall continue to bind the Parties in regard of the provisions of the corresponding Work, except where the breach also represents a breach of that Work Order or adversely affects its execution.
- 10.7 Termination of this Agreement shall not affect the rights and obligations of the Parties under Clauses 4 and 7 of this Agreement or under any other clauses of this Agreement or the Work Order which by their nature or by their express terms are intended to survive the termination of this Agreement, including Warranties, Indemnification, Confidentiality and Intellectual and Proprietary rights.
- 10.8 In the event of termination by GCA due to the fault of the Contractor, the GCA shall withhold and deduct from any payment to Contractor in the relevant Work Order the amount reasonably deemed necessary to complete the Services and deliver the Deliverables.
- 10.9 Unless otherwise specified in this Contract, on the termination of this Agreement for any reason, GCA shall be responsible for paying the part of the costs for deliverables and work that have been accepted by GCA up to and including the effective date of termination.
- 10.10 In addition to any statutory provisions pertaining to termination and in addition to each Party's termination rights set forth elsewhere in this Agreement, in the cases below, without judicial intervention or further notice of default, whole or partial termination of this Agreement shall be possible, with immediate effect, for:
- 10.10.1 Either Party, if the other Party has applied for a suspension of payment, or that other Party has been adjudicated bankrupt;
  - 10.10.2 Either Party, if the other Party is prevented by force majeure from complying with its obligations wholly or in part for a period of three months or more;
  - 10.10.3 Either Party, if the other Party discontinues its business operations;
  - 10.10.4 GCA, if the Contractor, after a written demand from GCA allowing a reasonable time for compliance, still fails attributable to comply promptly, properly or at all with any obligation under this Agreement;
  - 10.10.5 GCA, if one or more of the exclusion criteria mentioned in section I or section II of the signed Declaration on Honor becomes true for the Contractor.

## ARTICLE 11

### 11. Right to the Results of Events

- 11.1 All rights, title and interest in and to any drawings, calculations, reports, models, articles, equipment, machines, prototypes and other documents and material of any nature and in any form or medium prepared and/or delivered by or on behalf of the Contractor to GCA in connection with this Agreement, regardless of the state of completion, as well as any copyright, design rights, patents and other intellectual property rights and know-how with respect thereto, shall, without any obligations of any kind further than the ones expressly



stated in this Agreement, vest exclusively in GCA automatically and immediately upon their creation to the fullest extent permitted by law and GCA shall be the sole and unlimited owner thereof and of rights therein throughout the world forever. Accordingly, GCA shall have the exclusive right to use such drawings, documents and materials and intellectual property rights without restriction, including for the avoidance of doubt the right to make changes, further developments, licenses, transfers, copies and publications. GCA grants a non-exclusive and non-transferable user license for the Contractor to use such drawings, documents and material prepared and/or deliver to GCA, for which the Contractor needs to notify GCA. The Contractor shall however remain owner of his Pre-existing Intellectual Property Rights, and GCA shall be granted a license to use such Pre-existing Intellectual Property to the extent necessary to fully exercise its ownership rights as set out above in this Clause. "Pre-existing Intellectual Property Rights" shall mean any intellectual property rights and know-how already existing at the effective date of the Agreement or independently developed or acquired by the Contractor during the term of the Agreement without using any information disclosed by GCA. This includes methodologies, tools, report, among other materials, produced and/or delivered by the Contractor in connection to other projects and/or own internal products.

- 11.2 The Contractor shall ensure that all such drawings and other documents and material referred to in Clause 11.1 above, which are prepared and/or delivered by or on behalf of the Contractor in connection with this Agreement or any Work Order, do not infringe any copyright, patent, design rights or other intellectual property right of any third party and can be used by GCA for their intended purpose.
- 11.3 The Contractor agrees to execute and deliver, and to use maximum endeavors to cause any subcontractor to execute and deliver, to GCA any and all instruments, source documents, designs, instructions and codes reasonably required by GCA in connection with the use, adaption and enjoyment of the Deliverables and of the GCA's rights therein and thereto.
- 11.4 The Contractor undertakes to do everything necessary to see to it that all existing and any future Intellectual Rights - Copyrights or Industrial Property Rights pertaining to results in connection with the Services are (and remain) vested with GCA or with third party designated by it. The rates that Contractor charges to GCA in the context of the Agreement shall be deemed, in as far as necessary, to include payment for these Intellectual and/or Industrial Property Rights. To affect this transfer, the following acts must at any rate be performed: by signing the Agreement, Contractor transfers to GCA all existing and future Intellectual and/or Copy Right/Industrial Property Rights to existing and future results of the Work.
- 11.5 Insofar as these acts prove not to see to it that all Intellectual and/or Industrial Property Rights are transferred to GCA, Contractor undertakes, if the situation arises, to do everything possible to affect the transfer, without the Contractor being allowed to attach further conditions to its cooperation. As long as this has not been done, Contractor hereby



gives GCA: irrevocable authorization to exercise and protect the powers arising from the relevant Intellectual and/or Industrial Property Rights in and out of court; an exclusive, irrevocable license, not subject to a time limit, to the relevant Intellectual and/or Industrial Property Rights.

- 11.6 Insofar as Contractor has made any material available to GCA, to which material the Contractor has Intellectual and/or Industrial Property Rights, the Contractor states that it shall grant GCA a non-exclusive and non-transferable right to use this material. Furthermore, Contractor indemnifies GCA from claims of third parties based on (alleged) Intellectual and/or Industrial Property Rights or on any other basis.
- 11.7 It is acknowledged and agreed by the Parties that GCA owns all property rights and may use, adapt, add to and subtract from the Deliverables and combine these with other artistic or literary material and to publish the result by any means, it being understood that the Contractor (including its employees and subcontractors) hereby waives and agrees not to exercise any so-called “moral rights” which may now or may hereafter be recognized.

## ARTICLE 12

### 12. Organization

12.1 Each Party shall designate a contact person who will act in a liaison capacity throughout the term of this Agreement. Each Party will immediately notify the other Party in writing of changes in its contact person.

12.2 The following persons shall be contacted for the liaison of this Agreement or such successors as each Party may designate and communicate in writing to the other Party:

**For GCA (Technical):** [name], [email], [telephone]

**For GCA (Contract Management):** Procurement Team, [procurement@gca.org](mailto:procurement@gca.org)

**For Contractor:** [name], [email], [telephone]

12.3 The Contractor shall ensure the selection of personnel with the necessary skills and competence to take part in each Work Order under this Agreement.

12.4 The Contractor’s personnel shall remain employees of the Contractor and GCA’s personnel shall remain employees of GCA. As employers, GCA and the Contractor shall each bear exclusive responsibility for the remuneration, social security and insurance, whether professional or non-professional, of its personnel. Each Party shall indemnify and hold the other Party free and harmless from any cost, expense or liability in this respect.

12.5 The Contractor shall provide the works and services in such places as the GCA may reasonably specify. Whenever the Contractor, the Contractor’s staff or any other personnel working on the behalf of the Contractor work on GCA’s premises, the Contractor shall





ensure their compliance with GCA's Code of Conduct as well as fire, health and safety rules and procedures.

- 12.6 Unless otherwise agreed in a Work Order, each Party shall bear the travel and accommodation costs of its personnel.

### ARTICLE 13

#### 13. Time Schedule

- 13.1 A time schedule shall be made for each separate Work Order (see Clause 6 above).

### ARTICLE 14

#### 14. Remuneration

- 14.1 GCA shall pay remuneration to the Contractor in accordance with the terms laid out in each Work Order (see Clause 6 above). Unless otherwise agreed for a specific Work Order, the remuneration shall be based on the hourly/daily rates set out in the Contractor's Proposal associated with this Agreement.
- 14.2 Unless expressly stated otherwise in a Work Order, any types of expenses shall not be reimbursed by GCA but borne by the Contractor. Any hourly/daily rate or other fixed fee shall also be considered to include all materials, labor and equipment needed for the performance of the respective Work Order.
- 14.3 The Contractor shall notify GCA of any need for the rendering of services or works not covered by the Work Order. Without the prior written approval of GCA, the Contractor shall not be entitled to perform any such services or works for GCA.
- 14.4 Except for value added tax (VAT), all taxes, charges and fees of whatever nature which may be imposed by any authority on the amounts paid to the Contractor under this Agreement or any Work Order shall be paid and borne by the Contractor.

### ARTICLE 15

#### 15. Liability and Insurance

- 15.1 The total liability of the Contractor on any and all claims, whether in contract, tort (including negligence), by way of indemnity or otherwise arising out of or connected with or resulting from this Agreement (including Work Orders), shall be limited to and shall not exceed in aggregate the sum of the fee of the relevant Work Order. Contractor's liability is limited to a period of two (2) years after the end of the Agreement. The contractor's financial liability shall be limited to the value of each Work Order.





- 15.2 It is the responsibility of the Contractor to cover, through personal accident insurance contracts, any personal accident risks suffered by its personnel or by its subcontractors' personnel, in the context of actions under this contract.
- 15.3 Personal accident insurance shall provide that compensation shall be paid to the injured party or, in the event of death, to whomsoever proven to be entitled, in accordance with the law of succession or other applicable legal provisions.
- 15.4 To the maximum extent permitted, neither party hereto shall have any liability to the other for any lost revenues, lost profits or anticipated profits, cost of capital, loss of production, loss of product, or any special, indirect, consequential or punitive damages suffered, sustained, paid by the other party hereto or any third party and whether or not foreseeable at the time of entering into this Agreement.

## ARTICLE 16

### 16. Confidentiality and Marks

- 16.1 "Confidential Information" means any scientific, technical, financial, commercial or other information of any nature and in any form provided by either Party to the other Party which is not in the public domain and which relates to the affairs of the Party or any of its business contacts.
- 16.2 The parties shall keep confidential all information and technical and non-technical, commercial or other documentation related to the recipients that may be known under or in connection with the performance of this contract.
- 16.3 The duty of confidentiality provided for in the preceding paragraph shall include, in particular, written documents, personal data, drawings, plans, applications and software in the form of source code or object code, specifications, trade secrets, methods and formulas, internal situations, of a labor or other nature.
- 16.4 The information covered by the obligation of secrecy may not be transmitted to third parties, nor object of licensing or any other use or mode of economic use, unless expressly authorized in writing by the contracting entity.
- 16.5 The co-contractor may only transmit confidential information to its employees and, in any case, only if the following circumstances occur cumulatively:
- 16.5.1 The employees concerned need to know this information in order to fulfil their tasks under the contract.
  - 16.5.2 The employees are informed about the confidential nature of the information.
  - 16.5.3 Employees are obliged to comply with the obligation of secrecy arising from this Clause.



16.6 The Contractor is responsible for the fulfilment of the duty of confidentiality on the part of its collaborators, whatever the legal nature of the bond, even after its termination, regardless of the cause of the termination.

## ARTICLE 17

### 17. Payments

17.1 All payments shall be made according to the provisions hereunder:

17.1.1 Payment of each Work Order will be made in accordance with a payment plan included in the relevant Work Order agreed between the parties.

17.1.2 Each payment milestone shall be unique and shall identify a portion of work measured by an amount of money recognizable.

17.1.3 A payment Milestone shall be deemed achieved, if an item, event or service, specified as entitling the Contractor to milestone payments, is delivered, supplied, reached or rendered as specified. If it should subsequently be shown that proper completion of the milestone was not achieved while milestone payment was made, GCA can adjust the value of the ensuing milestone payment(s) accordingly.

17.1.4 Milestone payments will become due upon achievement of the defined payment Milestones.

17.1.5 Payments shall be made within 30 days of presentation of the documents listed below:

17.1.5.1 Advance payment: Invoice, to be submitted after signature of each Work Order by all parties.

17.1.5.2 Progress payments: Invoice; Certification, to be submitted for acceptance and signature to GCA, that the stage foreseen has satisfactorily been completed.

17.1.5.3 Final settlement: Invoice; Certification, to be submitted for signature to GCA, of satisfactory delivery of all deliverable items due under the Work Order concerned.

17.1.6 The GCA will credit the account of the Contractor shown on its invoices, on its behalf and on behalf of its subcontractors. The Contractor shall be responsible for paying the accounts of its subcontractors for this contract in a timely and proper manner in accordance with normal commercial practice and law. It shall indemnify GCA against any claims arising from such subcontractors caused by their failure to pay such subcontractors.

17.1.7 GCA reserves the right to visit the Contractor's and/or Sub-contractor(s) premises and ascertain the progress of the work under the Contract prior to making the payment concerned.



- 17.1.8 All invoices shall bear a clear description of activities performed and deliverables achieved. Evidence of deliverables shall be attached to the invoice, if applicable. GCA retains the right to request further details if it deems this necessary.
- 17.1.9 In case of an audit of GCAs expenses, the Contractor shall cooperate fully and shall respond to all of GCAs and the auditor's requests for information truthfully and timely. The Contractor shall permit the auditor access to its premises if this is necessary to conduct the audit.
- 17.1.10 All invoices must be **in English** and addressed to: The Global Center on Adaptation, Antoine Platekade 1006, 3072 ME Rotterdam and shall be sent by email to [finance@gca.org](mailto:finance@gca.org).
- 17.1.11 GCA will transfer the payments to the following Contractor's bank account:

Bank account holder's full name:	
Name and address of recipient's bank:	
Recipient's account number/IBAN:	
Recipient bank's SWIFT or BIC code:	
Recipient bank's routing information:	
Bank account currency:	

- 17.2 The Contractor is required to submit invoices for all payments due under the Contract, by email to **GCA Finance Division**. The Contractor undertakes to submit complete invoices (including instructions for billing taxes and duties, where applicable), and to provide all supporting documentation as required by the Contract in support of the claims.
- 17.3 Payments shall be made by the Agency in EUROS, to the account(s) specified by the Contractor. Payments shall be considered as effected on time if the Agency's orders of payment reach its bank within the payment period stipulated in this contract.
- 17.4 Any special charges related to the execution of payments will be borne by the Contractor.
- 17.5 Without prejudice to any other rights or remedies to which GCA may be entitled under this Contract, in the event of delayed delivery of Services or insufficient or inadequate performance by the Contractor of its obligations under this Contract, GCA shall be entitled to adjust the Fee, the respective dates of payment and the schedule of the Deliverables to reflect the actual progress of the Services.



## ARTICLE 18

### 18. Withholding of Payments

- 18.1 GCA reserves the right to withhold any advance, progress or final settlement payment, until withdrawal or rectification by the Contractor, as the case may be, of intellectual property right statements on documents, reports, plans, designs, data packages and other items, which are not in line with the contractual provisions and any special exceptions or additions thereto.
- 18.2 GCA shall not unreasonably withhold any payment to Contractor. For the avoidance of doubt, GCA shall pay in time the part of the invoice which is undisputed.

## ARTICLE 19

### 19. Subcontracting

- 19.1 The Contractor shall have the right to involve subcontractors, availing of specific expertise, in the performance of the Agreement. The Contractor shall notify GCA of all subcontractors, specifying in each case their specific expertise.
- 19.2 Each Work Order will stipulate whether any of the work will be subcontracted with the agreement of GCA.
- 19.3 The subcontracting of any part of the Agreement shall not relieve the Contractor from any liability or obligation under the Agreement. The Contractor is fully responsible for the compliance with the Agreement by all of its subcontractors.

## ARTICLE 20

### 20. Key Personnel

- 20.1 Unless previously and expressly agreed upon otherwise by GCA in writing, the work shall be executed by such key personnel as have been proposed by the Contractor for Work Orders, such personnel shall be available throughout the concerned Work Orders for the work allocated to them according to the Contractor's and its Subcontractor's respective offers.
- 20.2 Key personnel for the purpose of this Article shall be defined as personnel indicated on the Contractor's or its Subcontractor's organizational chart involved in project execution down to and including at least one level below the Contractor's or Subcontractor's Project Manager.
- 20.3 Any replacement or part-time assignment to other tasks of such key personnel requires prior GCA written approval. Appropriate requests from any level of contracting shall be channeled through the Contractor, and shall be accompanied by a justification for the



proposed change and by a comprehensive Curriculum Vitae of the new key personnel proposed.

- 20.4 GCA approval of the replacement will not be unreasonably withheld provided the replacement personnel proposed by the Contractor have the equivalent or better qualifications and experience than those to be replaced. In the affirmative case, GCA's representatives mentioned will supply the Contractor with a written approval.
- 20.5 GCA shall have such personnel replaced, if such personnel do not comply with their assigned duties, or on giving other important reasons. In such a case the Contractor, and its Subcontractor shall replace that person within 2 (two) calendar months by another person having the requested qualifications.

## ARTICLE 21

### 21. Special Conditions of Performance

- 21.1 GCA will not be entitled to give directives to the Contractor's and Sub-contractors' personnel (hereinafter designated by "the Contractor's personnel" or "its personnel") other than operational and safety instructions necessary to the performance of the services described in the Scope of Services. These instructions do not in any way create a link of authority or management control of the Contractor's personnel by GCA.
- 21.2 The legal relationship resulting from the Contract of employment between the Contractor's personnel and the Contractor shall not be affected by this Contract/or subsequent Work Order.
- 21.3 The Contractor shall observe the normal safety regulations in force at the places of performance in any country where the services are effectively performed and also any special safety instructions issued by the GCA.

## ARTICLE 22

### 22. Use of GCA Images or Logos

- 22.1 Without the prior written consent of GCA, the Contractor shall not use or make reference to any images or logos of GCA.

## ARTICLE 23

### 23. Publicity

- 23.1 Without the prior written consent of GCA, the Contractor shall not use or make reference to any images or logos of GCA.



## ARTICLE 24

### **24. Fortuitous or Force Majeure Cases**

- 24.1 Neither party shall incur liability if, by accident or force majeure, it is prevented from fulfilling its obligations under the framework agreement.
- 24.2 A fortuitous or force majeure event is any unforeseeable and exceptional situation or event, independent of the will of the parties, and does not derive from the lack or negligence of any of them.
- 24.3 The party invoking cases of force majeure or force majeure shall communicate and justify such situations to the other party, as well as informing the foreseeable period of time to restore the situation.

## ARTICLE 25

### **25. Suspension of the Framework Agreement**

- 25.1 Without prejudice to the right of withdrawal from the framework agreement, GCA may at any time, on grounds of public interest, in particular where public security reasons are involved, suspend the implementation of the framework agreement in whole or in part.
- 25.2 The suspension shall take effect on the day following the date of notification of the contracting parties to the framework agreement, unless the said notification contains a later date.
- 25.3 GCA may at any time lift the suspension of the implementation of the framework agreement.
- 25.4 Service providers selected as co-contractors in the framework agreement may not claim or require any compensation or compensation on the basis of total or partial suspension of the framework agreement.
- 25.5 If the Contractor selected does not provide sufficient resources to perform the contracted service, GCA reserves the right, with just cause, and without prejudice to a resolution under the terms of the following paragraph, to suspend agreement, without prejudice to a resolution under the terms of this agreement.

## ARTICLE 26



## **26. Temporary Impossibility to Provide Services**

- 26.1 Whenever the Contractor is temporarily unable to provide services, he shall inform GCA accordingly.
- 26.2 For the purposes of the preceding paragraph, a temporary interruption of service provision is considered an interruption for a period not exceeding 60 (continuous) days.
- 26.3 Upon the expiration of the period provided for in the previous paragraph without the situation being settled, the Contractor shall request the extension of the term, GCA, however, reserves the right to terminate the contract.
- 26.4 The temporary impossibility of providing services in the first 4 (four) months of the framework agreement, which will be considered as non-compliance with the implementation deadlines.

## **ARTICLE 27**

### **27. Indemnification**

- 27.1 The Contractor shall indemnify and hold harmless GCA against all claims, fines, costs and damages in connection with and/or resulting from any default in relation to employees taxes and insurances in connection to the services provided to GCA during the duration period of this Framework Agreement and execution of eventual Work Order(s) awarded to the Contractor.
- 27.2 Contractor shall further indemnify and hold harmless GCA against all claims, costs and damages in connection with and/or resulting from any act or omission to act where the Contractor fails to exercise reasonable skill, care, and diligence in relation to the Services, unless such act or omission to act directly results from any act of GCA for which it can be seriously blamed. Contractor waives any entitlement pursuant to article 7:658 sub 4 BW Dutch of laws.
- 27.3 Without prejudice to any other rights set out in this Contract, each Party (hereinafter the “Indemnifying Party”) hereby agrees to indemnify and hold harmless the other Party (hereinafter the “Indemnified Party”) from and against any and all direct losses arising out of or in relation to third-party claims of any kind which, if proven by a non-appealable decision by the courts, would constitute a breach of the warranties made by the Indemnifying Party.
- 27.4 If a claim is made that may give rise to a claim for indemnity under this article 27, then the Indemnified Party shall notify the Indemnifying Party of such claim immediately, give the Indemnifying Party all reasonable co-operation and shall not negotiate the claim without the consent of the Indemnifying Party in writing.



## ARTICLE 28

### 28. Amendments

- 28.1 This Agreement constitutes the entire agreement between the Parties with respect to the subject matter hereof and supersedes all prior agreements, whether written or oral with respect to the subject matter of this Agreement. Amendments to or changes of this Agreement or any Work Order under it shall, in order to be valid, be made in writing and signed by authorized representatives of both Parties and shall be clearly stated as amendments to or changes of this Agreement or the Work Order, as the case may be.
- 28.2 If at any time one or more of the provisions of this Contract becomes invalid, illegal or unenforceable under any law, the validity, legality and enforceability of the remaining provisions of this Contract shall not in any way be affected or impaired.

## ARTICLE 29

### 29. Assignment

- 29.1 GCA shall have the right to assign or otherwise transfer any or all of its rights and obligations under this Agreement to a successor company or other legal entity established by the partner countries in the GCA project. The Contractor is not entitled to assign this Agreement, in full or in part, without GCA's prior written consent.

## ARTICLE 30

### 30. No Waiver

- 30.1 The provisions of the Agreement may not be waived except in writing. The failure of a party to insist upon strict adherence to any provision of the Agreement shall not be considered a waiver of any right under the Agreement, and shall not deprive that party of the right at any later time to insist upon the strict adherence to the Agreement.

## ARTICLE 31

### 31. Anti-Corruption

- 31.1 The Contractor warrants that no offer, payment, consideration, or benefit of any kind which constitutes an illegal or corrupt practice has been made or shall be made, either directly or indirectly, as an inducement or reward for entry into this Agreement by GCA or in the subsequent execution of the Agreement. Any such practice will be grounds for terminating the





Agreement without any compensation to the Contractor and for such other additional actions, civil and/or criminal, as may be applicable.

### ARTICLE 32

#### 32. Settlement of Disputes and Governing Law

32.1 This Contract and any agreement resulting from this Contract shall be governed by and construed in accordance with the laws of the Netherlands.

32.2 In the event of any dispute of difference of opinion between the Parties arising out of or in connection with this Agreement or any Work Order, each of the Parties shall use its best efforts to settle each dispute or difference in opinion amicably by negotiations. Failing such an amicable settlement, the parties shall resort to arbitration under the rules of the International Chamber of Commerce (ICC). Any unresolved dispute shall be settled exclusively by the Dutch competent court in Rotterdam.

32.3 The place of arbitration shall be the Hague, the Netherlands. The language to be used in the arbitral proceedings shall be English.

**IN WITNESS WHEREOF**, the Parties have, through their authorized representatives, signed this Contract on the date herein below written.

For and on behalf of:

**Stichting The Global Center on Adaptation**

For and on behalf of:

**[Contractor]**

\_\_\_\_\_  
Name: Prof. Dr. Patrick Verkooijen

Position: CEO

Date:

\_\_\_\_\_  
Name:

Position:

Date:



### 33. Annex 1 – Statement of Acknowledgment

I acknowledge that I have received a copy of the **Code of Conduct**, which describes the standards of behavior expected by GCA and I agree to act in accord with those standards as a condition of my agreement with GCA.

I have read and understood the **Anti-Fraud Policy**, which describes acts or omissions that are considered as fraud or corruption and that are not permitted by GCA. I agree to act in accord with the Anti-Fraud Policy as a condition of my agreement with GCA.

I also acknowledge that I understand the reporting process for complaints and any suspicions of misconduct, illegal actions, or violations of the Code of Conduct or any other GCA policies and rules. I will use the webform on GCA's website at <https://gca.org/about-us/contact-us/> to report such incidents. In case I encounter difficulties accessing the online complaint form, I will send an email to [speakup@gca.org](mailto:speakup@gca.org) to report integrity violations or to [safeguarding@gca.org](mailto:safeguarding@gca.org) to report incidents of Sexual Exploitation, Abuse, and Harassment. If I need to report anything urgently, I will call or use WhatsApp to contact the Whistle Officer at **+31 643147051**. I understand that it is my duty to speak up immediately if I witness an incident, suspect an integrity violation, suspect a breach of a policy, feel harassed, or have a complaint regarding GCA or its associated parties or individuals.

I understand that if I have questions at any time about any of these documents, I will consult the GCA contact person or the Human Resources staff for clarification.

Finally, I understand that the contents of the documents may change at any time at the sole discretion of GCA, which relevant changes GCA informs me of. Changes are effective as of the date of their publication.

Signature:

Name:

Date:



GLOBAL  
CENTER ON  
ADAPTATION

## **34. Annex 2 – Declaration on Honor**



## **35. Annex 3 – Terms of Reference/Statement of Work/Specifications**



### 36. Annex 4 – Work Order

<b>GCA Work Order No.:</b> GCA-PR-2X-XXX RFP XX-2X <b>OR</b> GCA-PR-2X-XXX-XX		GLOBAL CENTER ON ADAPTATION							
CONTRACTOR:	DATE:								
TITLE OF WORK ORDER:	GCA CONTRACT NO:  GCA-PR-2X-XXX								
DESCRIPTION OF ACTIVITIES:  Detailed requirements and deliverables are provided in the Request for Proposal GCA-PR-2X-XXX RFP XX-2X									
PERIOD OF PERFORMANCE:									
PRICE AND PAYMENT PLAN:  <b>Price:</b> € <b>Payment plan:</b>									
<table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr style="background-color: #cccccc;"> <th style="width: 50%;">Deliverable</th> <th style="width: 50%;">Payment</th> </tr> </thead> <tbody> <tr> <td style="height: 20px;"> </td> <td> </td> </tr> <tr> <td style="height: 20px;"> </td> <td> </td> </tr> </tbody> </table>				Deliverable	Payment				
Deliverable	Payment								
APPLICABLE CONDITIONS:  As per Terms and Conditions of Framework Agreement No. GCA-PR-2X-XXX and the Proposal submitted by the Contractor on DD/MM/YYYY.  Framework Agreement Title: [insert title] dated DD/MM/YYYY.									
<b>GCA</b>	Chief Executive Officer: Prof. Dr. Patrick Verkooijen	DATE:	SIGNATURE:						
<b>CONTRACTOR</b>	Authorized Representative:	DATE:	SIGNATURE:						